

1 **Advances in the Genetics and Pathology of Lewy Body Dementia**

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3 Sonja W. Scholz, MD^{1,2}, Njideka U. Okubadejo, MD³, Priya Prakash, PhD⁴, Shane A.
4 Liddelw, PhD^{4,5,6}, Mina Ryten, MD⁷, Glenda M. Halliday, PhD^{8,9}

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6 1. Neurodegenerative Diseases Research Section, National Institute of Neurological
7 Disorders and Stroke, National Institutes of Health, Bethesda, MD, USA
8 2. Department of Neurology, Johns Hopkins University Medical Center, Baltimore, MD,
9 USA
10 3. Neurology Unit, Department of Medicine, Faculty of Clinical Sciences, College of
11 Medicine, University of Lagos, Lagos, Nigeria
12 4. Institute for Translational Neuroscience, NYU Grossman School of Medicine, New
13 York, New York, USA
14 5. Department of Neuroscience, NYU Grossman School of Medicine, New York, New
15 York, USA
16 6. Department of Ophthalmology, NYU Grossman School of Medicine, New York, New
17 York, USA
18 7. UK Research Institute, Cambridge University, Cambridge, UK
19 8. The University of Sydney Brain and Mind Centre & Faculty of Medicine and Health
20 School of Medical Sciences, Sydney, NSW 2050, Australia
21 9. Aligning Science Across Parkinson's (ASAP) Collaborative Research Network, Chevy
22 Chase, MD, 20815, USA

23
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26
27 Corresponding author:

28 Sonja W. Scholz, MD, PhD

29 Neurodegenerative Diseases Research Section

30 National Institute of Neurological Disorders and Stroke

31 National Institutes of Health

32 35 Convent Drive, Room 1B-205

33 Bethesda, MD 20892, USA

34 Email: sonja.scholz@nih.gov

35 Phone number: +1 (301) 496-0013

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46 Lewy body dementia is a heterogeneous disease that is underdiagnosed and poorly
47 understood. Pathologically, Lewy body dementia is characterized by the accumulation of
48 intraneuronal aggregates of misfolded α -synuclein, known as Lewy bodies and Lewy
49 neurites. The genetic architecture of Lewy body dementia is complex, involving both
50 common genetic variants with small risk effects and rare genetic variants with large
51 effects. Alzheimer's disease pathology frequently coexists with Lewy body pathology and
52 influences the clinical presentation. A deeper understanding of the pathophysiological
53 pathways, including mitochondrial dysfunction, lysosomal dysfunction, and
54 neuroinflammation, can enhance disease modeling, and this knowledge will ultimately
55 facilitate the development of therapeutic interventions. The biological relationships that
56 Lewy body dementia shares with other neurodegenerative and psychiatric disorders may
57 also prove crucial for the development of therapeutic strategies.

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92 1. INTRODUCTION

93 Lewy body dementia (LBD) is the second most prevalent neurodegenerative form of
94 dementia in people aged 65 and older after Alzheimer's disease. It manifests with varying
95 signs and symptoms that can present in combination, such as fluctuating attention,
96 parkinsonism, visual hallucinations, and rapid eye movement (REM) sleep behavior
97 disorder.¹ Additional clinical features, such as dysautonomia and mood disorders, can
98 contribute to the heterogeneity in presentation in people with the condition.¹ Its defining
99 pathological hallmark is the neuronal cytoplasmic deposition of misfolded α -synuclein
100 protein. These protein deposits, called Lewy bodies, are also present in postmortem
101 neuropathological analyses of most patients with Parkinson's disease, and the two
102 conditions are collectively classified as Lewy body diseases. LBD is an umbrella term
103 encompassing two clinical syndromes, Parkinson's Disease Dementia and Dementia with
104 Lewy Bodies.

105
106 Parkinson's Disease Dementia and Dementia with Lewy Bodies are clinically
107 distinguished on the basis of the time of motor symptom onset relative to developing
108 dementia, following the "1-year rule", an arbitrary but pragmatic consensus tool for
109 splitting the disease continuum into the two entities.² In Parkinson's Disease Dementia,
110 motor symptoms precede dementia by at least one year, while persons with Dementia
111 with Lewy Bodies manifest with dementia before or within one year of motor symptom
112 onset. However, people with Parkinson's Disease Dementia and Dementia with Lewy
113 Bodies are neuropathologically indistinguishable in terms of their Lewy pathology, and
114 their clinical presentations are considered to exist on a disease spectrum.

115
116 This article is the first in a three-part Series covering recent advancements in Dementia
117 with Lewy Bodies. In this first article, we discuss advances in molecular understanding
118 based on genetic and pathological insights. We also cover new evidence on the Lewy
119 body dementia continuum.

120 2. EPIDEMIOLOGY

121
122 The median age at diagnosis for patients with LBD is 76 years, with initial signs and
123 symptoms usually appearing at age 50 years or older.³ Men have a higher incidence than
124 women, particularly after the age of 60 (Panel 1).³ LBD is often misdiagnosed as a
125 psychiatric disorder or another form of dementia, at least initially, leading to delays in
126 establishing the diagnosis. This underdiagnosis hinders accurate epidemiological
127 measurements.^{4,5} Nonetheless, population-based studies report an incidence between
128 3.6 and 5.9 per 100,000 person-years,^{3,6,7} rising to 77.1 per 100,000 person-years for the
129 older population (80–99 years).³ Median survival is 5 to 8 years from the time of
130 diagnosis^{8,9} and is typically shorter than the life expectancy observed among patients
131 diagnosed with Alzheimer's disease.^{9,10}

132
133 Extrapolating from these data, researchers estimate LBD accounts for 20–30% of all
134 dementia cases,^{8,11} and the disease affects about 1.4 million people in the United
135 States.¹² This large number of cases places an enormous burden on healthcare systems
136 and communities.¹³ The expenditure is magnified by the high cost of caring for LBD
137 patients due to the complex nature of the disease and the multidisciplinary care

138 requirements.^{14,15} The treatment options are limited to symptomatic therapies,
139 highlighting the need to advance our understanding of LBD's pathobiology.

140

141 3. GENETICS OF LEWY BODY DEMENTIA

142 While LBD is predominantly a sporadic, clinically heterogeneous condition of late
143 adulthood, the description of rare familial presentations and the fact that siblings of
144 Dementia with Lewy Bodies patients have a two-fold risk of developing the disease
145 underscore the significant role of genetic factors in the disease (Figure 1).¹⁶⁻²⁰

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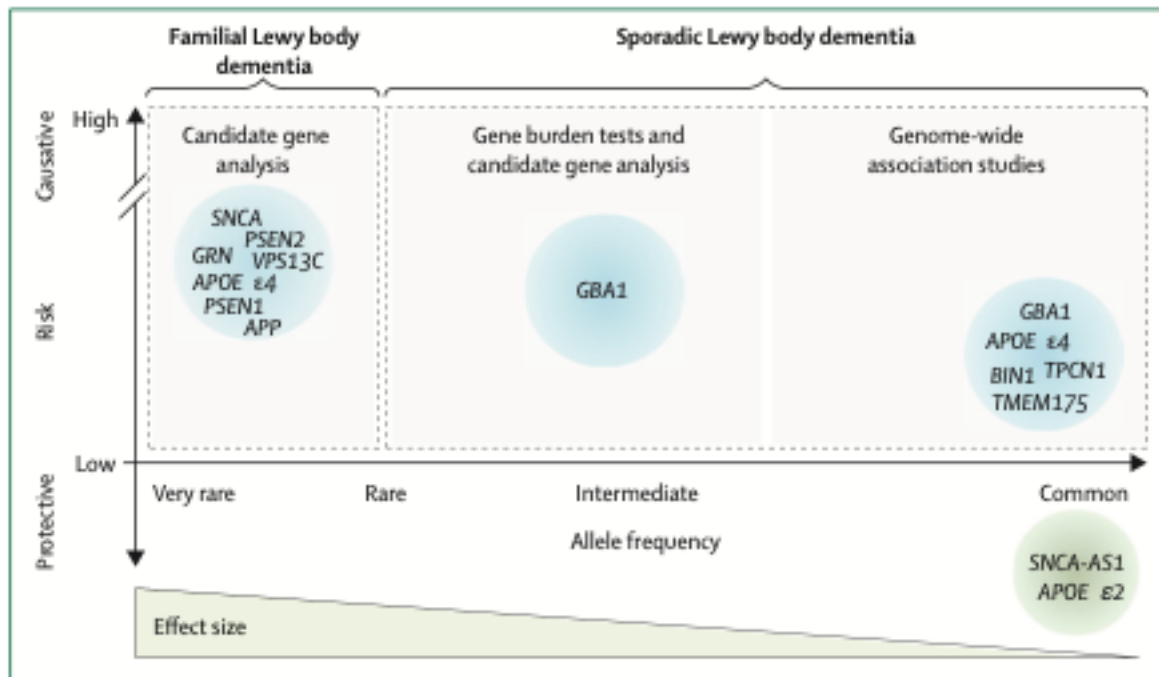


Figure 1: The complex genetic architecture of Lewy body dementia

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149 Genomic studies have enhanced our understanding of the genetic architecture of LBD.
150 The field has also greatly benefited from research insights derived from related
151 neurodegenerative diseases, such as Alzheimer's disease and Parkinson's disease.
152 Recently, the direct application of genome-wide association studies (GWAS), whole
153 genome sequencing, and other modern complex genetic approaches to large LBD case-
154 control cohorts has unveiled crucial insights into the genetic risk factors and pathways of
155 disease susceptibility. Familial aggregation, twin, and heritability studies indicate a
156 significant genetic component (18% for twin studies,²¹ 11% for whole genome sequencing
157 studies²²). However, current knowledge has only explained a small fraction of the
158 measured heritability, indicating that much work remains to be done.²²

159

160 Insights from Parkinson's Disease Genetics

161

162 Missense and copy number mutations in the *SNCA* gene on chromosome 4q22.1, which
163 encodes α -synuclein, have been identified as a rare cause of familial, autosomal
164 dominantly inherited Parkinson's disease.²³ Researchers quickly noticed that patients

165 with pathogenic mutations in *SNCA* often show prominent non-motor features consistent
166 with LBD, especially carriers of whole gene duplications.^{24,25} Besides these rare
167 inherited cases, common non-coding variation at the *SNCA* locus has also been
168 implicated in this form of dementia using GWAS approaches.^{22,26} Pleomorphic risk genes,
169 such as *SNCA*, are those in which rare, highly-penetrant mutations and common
170 susceptibility variants contribute to the risk of a disease. The pleomorphic characteristics
171 of *SNCA*, along with the fact that it encodes the protein that is the major component of
172 Lewy bodies, underscore its pivotal role in the pathogenesis of LBD.²⁷

173
174 The comparison of the *SNCA* risk variants in Parkinson's disease with those associated
175 with LBD revealed notable differences at this locus.^{22,28,29} In Parkinson's disease, the
176 strongest association variant is within intron 4 of *SNCA*. In LBD, however, the primary
177 signal is located closer to the 5'-region²⁹ and in close proximity to the non-coding
178 antisense RNA *SNCA-AS1*, which modulates the *SNCA* expression in neurons.
179 Integrative genomic evaluations examining the effect of the association variants on local
180 gene expression nominated *SNCA-AS1* as the gene underlying disease risk in LBD.²²
181 The observed inverse relationship between *SNCA-AS1* and *SNCA* expression uncovers
182 new therapeutic opportunities by suggesting that even a modest lowering of *SNCA*
183 expression could be protective. Notably, the same signal has also been associated with
184 REM sleep behavior disorder, a common prodromal manifestation of LBD.³⁰ However, the
185 notion that increased expression of α -synuclein is driving disease has been recently
186 called into question. Instead, synucleinopenia resulting from the sequestration of α -
187 synuclein in Lewy bodies has been proposed as an alternative explanation.³¹

188
189 Another seminal discovery is the association of heterozygous variants within the gene
190 encoding the lysosomal enzyme glucocerebrosidase (*GBA1*) with an increased risk of
191 developing Parkinson's disease.^{32,33} Homozygous or compound heterozygous mutations
192 in *GBA1* cause Gaucher's disease, a lysosomal storage disorder with heterogeneous
193 clinical features, including hepatosplenomegaly, bone disease, anemia, and neurological
194 symptoms. The observation of a higher-than-expected risk of Parkinson's disease among
195 family members of Gaucher's disease patients prompted further exploration of *GBA1*.^{32,33}
196 Parkinsonism due to *GBA1* mutations is commonly complicated by REM sleep behavior
197 disorder and cognitive impairment, and subsequent association studies demonstrated a
198 prominent role of *GBA1* variants in LBD.^{22,26,28,30,34}

199
200 Research into genotype-phenotype correlations in *GBA1*-related neurodegeneration has
201 provided further insights. Parkinson's disease patients carrying highly penetrant (severe)
202 *GBA1* mutations, as defined by a standard classification scheme for Gaucher's disease,³⁵
203 have a higher risk of dementia progression than those with variants of lower effect size.³⁶
204 Furthermore, those with severe mutations can have a disease onset approximately five
205 years earlier than mild mutation carriers.³⁷ Additionally, patients carrying *GBA1* mutations
206 often have shorter life expectancy and are more likely to present with severe motor
207 symptoms than non-carriers.³⁶

208
209 The frequency, distribution, and effect sizes of *GBA1* risk alleles vary across populations.
210 In LBD patients of European descent, about 13% of cases carry risk variants,^{34,38} rising

211 to about 31% among Ashkenazi Jewish populations.³⁹ Detecting variants in the *GBA1*
212 locus is challenging due to a nearby pseudogene with high sequence homology. The
213 mechanism by which mutations in *GBA1* lead to neurodegeneration is still a topic of
214 ongoing debate. A reciprocal relationship between glucocerebrosidase activity and α -
215 synuclein expression exists, with decreased activity leading to increased α -synuclein
216 aggregation.⁴⁰ These data favor the hypothesis that haploinsufficiency is the predominant
217 molecular mechanism in *GBA1*-related neurodegeneration, and this observation might
218 prove critical for developing targeted therapies.

219
220 Homozygous or compound heterozygous pathogenic mutations in *VPS13C*, which
221 encodes vacuolar protein sorting 13 homolog C, are a rare cause of an autosomal
222 recessive form of Lewy body disease.⁴¹ The clinical presentations are heterogeneous and
223 include early-onset parkinsonism with rapid progression and cognitive decline.⁴¹⁻⁴³ On a
224 molecular level, mutations in this gene lead to mitochondrial dysfunction and
225 mislocalization of *VPS13C* in the cytoplasm.^{41,43}

226
227 Although many LBD risk genes overlap with those associated also with other
228 neurodegenerative diseases, notable differences are also evident. For instance, the
229 genes *MAPT* and *LRK2* have been reproducibly associated with the risk of developing
230 Parkinson's disease within cohorts of European ancestry.⁴⁴⁻⁴⁶ However, despite
231 sufficiently powered studies, no associations between these genes and susceptibility to
232 LBD have been identified.^{22,26,47} These findings suggest that the genetic architecture of
233 LBD only partially intersects with Parkinson's disease.

234 235 **Insights from Alzheimer's Disease Genetics**

236
237 Genetic studies have established a connection between the *APOE* gene, encoding
238 apolipoprotein E, and the risk of Alzheimer's disease. Individuals with the *APOE* ϵ 4 allele
239 have a threefold increased risk of developing Alzheimer's disease compared to the
240 general population, while homozygous carriers have a 15-fold increased risk.⁴⁸ Numerous
241 candidate gene studies have shown that *APOE* ϵ 4 is similarly linked to higher risk for LBD,
242 with the same dose-dependent associations as found in Alzheimer's disease.^{34,49} Further,
243 like Alzheimer's disease, the *APOE* ϵ 2 allele decreases the risk for LBD.^{50,51} Recent
244 evidence has shown that *APOE* ϵ 4 is a significant modifier gene that increases the risk of
245 progression from Parkinson's disease to PDD.⁵²

246
247 However, it remains unclear whether *APOE* ϵ 4 impacts the risk for α -synuclein pathology
248 independently of amyloid- β deposition. The *APOE* ϵ 4 allele directly regulates α -synuclein
249 deposition in transgenic mouse models that overexpress human *APOE* isoforms.^{53,54}
250 However, in neuropathologically confirmed human series, an association with *APOE* ϵ 4 is
251 only observed when Alzheimer's disease copathology is present.^{49,55} This observation
252 suggests that *APOE* ϵ 4 might influence α -synuclein pathology in the presence of
253 Alzheimer's disease, but more research is needed to fully understand this relationship.
254 Several inherited forms of Alzheimer's disease can have prominent Lewy body co-
255 pathology and mixed clinical presentations of both LBD and Alzheimer's disease.
256 However, pathogenic mutations in *PSEN1* (encoding presenilin 1), *PSEN2* (encoding

257 presenilin 2), and *APP* (encoding amyloid β precursor protein) can rarely be found in LBD
258 cases.^{34,56,57} These findings emphasize the close molecular genetic relationship between
259 LBD and Alzheimer's disease.

260

261 **Other Genes Implicated in LBD**

262

263 Progranulin is a ubiquitously expressed growth factor involved in processes relevant to
264 neurological disorders, such as neural circuit development, lysosomal homeostasis, and
265 neuroinflammation.^{58,59} Researchers identified loss-of-function mutations in the *GRN*
266 gene encoding this protein as a common cause of frontotemporal dementia.⁶⁰ More
267 recently, the gene has been implicated in other neurodegenerative diseases, such as
268 Alzheimer's disease and Parkinson's disease,^{45,61,62} indicating that this locus has
269 pleiotropic effects. Lewy body co-pathology has also been identified in the brains of FTD
270 patients carrying *GRN* mutations.^{62,63}

271

272 Subsequent genetic studies identified pathogenic loss-of-function mutations in *GRN* as a
273 rare cause of autosomal dominant dementia with prominent Lewy body and TDP-43 co-
274 pathology and clinical features resembling LBD.⁶⁴ *GRN* mutations accounted for less than
275 one percent of cases of LBD in a European ancestry cohort.⁶⁴ Despite being rare, finding
276 a *GRN* mutation in a patient could have clinical significance, as there are ongoing clinical
277 trials for targeted treatments of this genetic form of neurodegeneration.⁶⁵

278

279 **Insights from Genome-wide Analyses**

280 The application of modern genomic approaches has revealed additional crucial insights
281 into the complex genetic architecture of LBD. In prior candidate gene studies, common
282 genetic variants in the *SNCA*, *GBA1*, and *APOE* loci have been associated with LBD.
283 Later on, GWAS approaches confirmed these associations.^{22,26,47}

284

285 Additional association signals were discovered on chromosome 4 within the gene
286 *TMEM175* (encoding transmembrane protein 175), an endolysosomal gene previously
287 linked to Parkinson's disease, and on chromosome 2 near *BIN1* (encoding bridging
288 integrator 1), a locus implicated in Alzheimer's disease.²² Using a GWAS of structural
289 variants, Kaivola and colleagues discovered an association between a 300-base pair
290 deletion in the gene *TPCN1* and LBD.⁶⁶ This locus had an odds ratio of 1.4 and was found
291 in 8% of LBD cases, highlighting its vital role in the pathogenesis. *TPCN1* encodes two
292 pore segment channel 1, a voltage-dependent calcium channel located at the
293 endolysosomal membranes.⁶⁷ Interestingly, this gene has also been suggested as a risk
294 locus for Alzheimer's disease.⁶⁸

295

296 Taken together, these observations illustrate the intricate connections between LBD,
297 Alzheimer's disease, and Parkinson's disease. Polygenic risk assessments in LBD case-
298 control cohorts have provided further insights and showed that LBD patients have an
299 increased risk for both neurodegenerative diseases, even after accounting for the *APOE*
300 and *GBA1* high-risk loci.^{22,69} These findings suggest polygenic contributions play a role in
301 determining an individual's susceptibility to LBD. They also show that Alzheimer's
302 disease, Parkinson's disease, and LBD are considered part of a disease continuum.

303 Under this paradigm, LBD has clinical, pathological, and molecular features of both
304 Alzheimer's disease and Parkinson's disease.

305
306 Pathway analysis based on the combination of genetic variables helps us gain a deeper
307 understanding of the biological processes underlying LBD.⁶⁹ Several cellular mechanisms
308 involved in LBD are related to pathways affected in Alzheimer's disease or Parkinson's
309 disease. These pathways include the regulation of amyloid- β formation, regulation of
310 endocytosis, tau protein binding, and lysosomal dysfunction.²²

311
312 Recent evidence also shows a genetic overlap between Dementia with Lewy Bodies and
313 cardiovascular diseases (hypertension, diabetes mellitus, hyperlipidemia).⁷⁰ These
314 relationships hold therapeutic significance, as many cardiovascular risk factors can be
315 modified. Other studies have suggested connections between LBD and the adaptive
316 immune system, and with neuropsychiatric conditions, notably schizophrenia.^{71,72}

317

318

319 **4. NEUROPATHOLOGY OF LEWY BODY DEMENTIA**

320

321 Lewy pathology results from the aggregation of α -synuclein in intraneuronal cytoplasmic
322 inclusions that are pathognomonic for LBD (and Parkinson's disease). Lewy pathology
323 occurs in axons and, to a lesser extent, in dendrites—both referred to as Lewy neurites—
324 as well as in neuronal soma, known as Lewy bodies (Figure 2). Lewy bodies are highly
325 variable in shape, and it is thought that they can disrupt key cellular functions, ultimately
326 leading to neurodegeneration. Lewy neurites are thought to form first and move centrally
327 to the neuronal soma, creating morphologically diverse aggregates that later condense
328 into mature fibrillar Lewy bodies.⁷³⁻⁷⁵ Recent modeling has shown that mature Lewy
329 bodies take just under a decade to reach their maximum size, regulated by the production
330 rates of both lipid membrane fragments and α -synuclein monomers from a malfunctioning
331 degradation machinery.⁷⁶ Thus, Lewy pathology causes progressive neuronal dysfunction
332 for a considerable time prior to neuronal degeneration due to disruption of cellular
333 functions.⁷⁷

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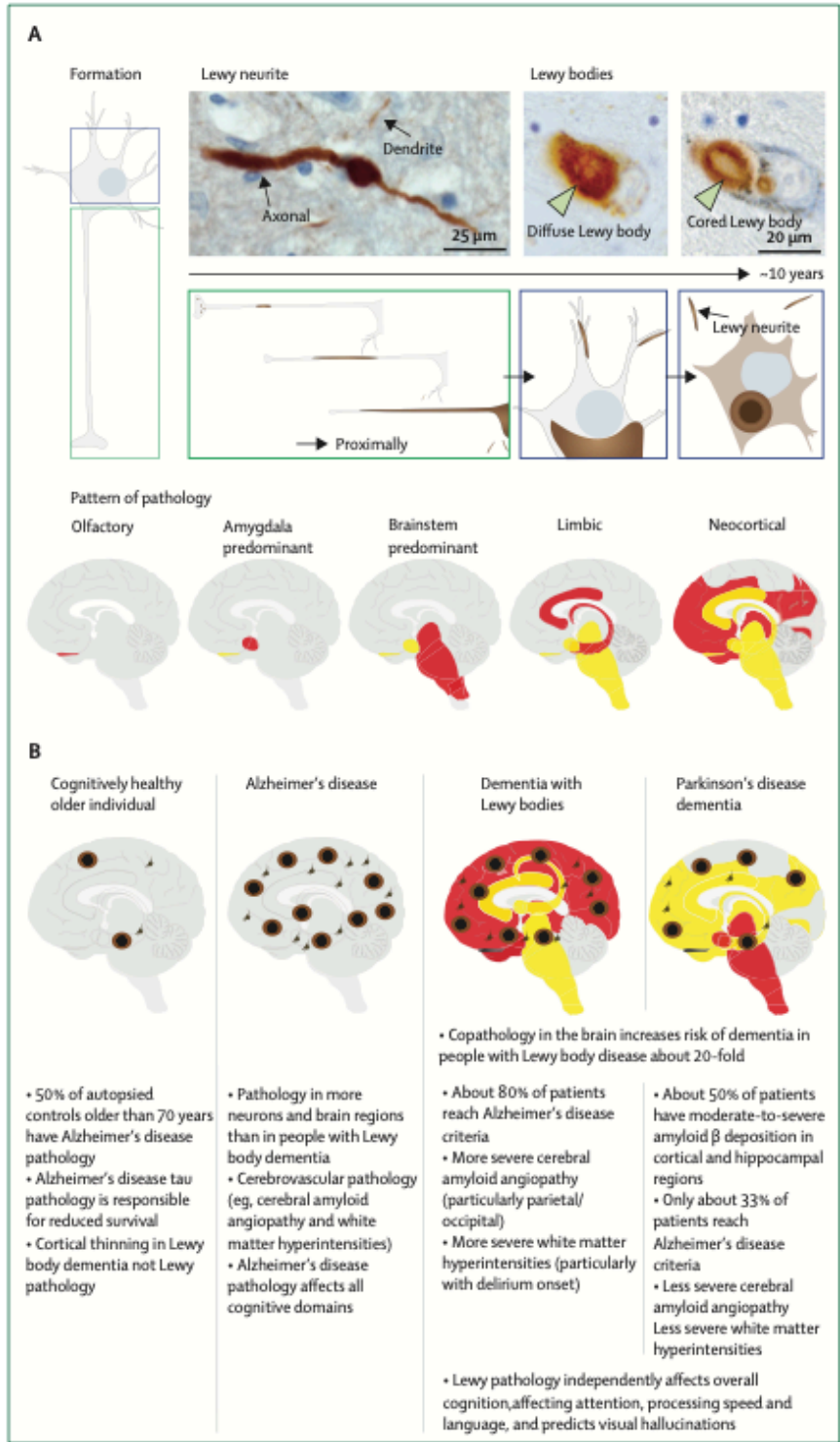


Figure 2: The neuropathology of Lewy body dementia

(A) The scheme of a typical neuron (left) indicates the areas of formation of Lewy pathology; α -synuclein first accumulates in Lewy neurites in the axons and dendrites, and then centrally in the neuronal soma, forming Lewy bodies. Five patterns of Lewy pathology can be distinguished: olfactory, amygdala-predominant, brainstem-predominant, limbic, and neocortical pattern. Red colour indicates brain regions with predominant Lewy pathology for a given pattern. Yellow colour indicates regions where Lewy pathology can be either present or absent. (B) Common copathologies in typical ageing, Alzheimer's disease, dementia with Lewy bodies, and Parkinson's disease dementia. Brown and black ovals indicate Alzheimer's disease pathology.

336
337 The presence of Lewy pathology in the brain does not mean that a clinical LBD syndrome
338 is inevitable; rather, it is the regional distribution of the pathology that is important. An
339 autopsy study involving an elderly cohort found that 24% of cognitively normal individuals
340 had Lewy body pathology.⁷⁸ However, Lewy pathology in the neocortex, which is the most
341 common, or in limbic brain regions, is associated with a three- to five-times higher risk of
342 dementia compared to Lewy pathology found elsewhere.⁷⁹⁻⁸¹ In the neocortex, only
343 specific neurons are impacted by Lewy pathology—namely, layer 5 and 6b neurons⁸² —
344 which are integral to enhancing attention and neural activity.⁸³ Although Lewy pathologies
345 in these critical cortical neurons are prevalent in most patients with LBD, those with a
346 dementia dominant syndrome can have Lewy pathology confined to the amygdala,^{79,84}
347 affecting neurons involved in cognition, motivation, and stress responses. The amygdala
348 is often involved in degenerative dementias and is considered an ‘incubator’ for misfolded
349 proteins,⁸⁵ with specific truncated forms of highly aggregating α -synuclein that are unique
350 to this region in LBD.⁸⁴

351

352 **Diagnostic Criteria for Lewy Body Disease Pathology**

353 The neuropathological consensus criteria for Lewy pathology are based on determining
354 the distribution of Lewy pathology in the brain.⁷⁹ Incorporating regional differences is due
355 to the recognition that Lewy pathology is often not widespread but occurs in different
356 patterns in selective neurons in predilection regions of the brain. These patterns match
357 imperfectly to clinical syndromes, and so the consensus is to describe the pattern in any
358 individual and diagnose one of five regional patterns assessed by the presence or
359 absence of the pathology (Figure 2).⁷⁹ The five regional patterns of Lewy pathology are:
360 1) olfactory only, 2) amygdala predominant, 3) brainstem, 4) limbic, and 5) neocortical.

361

362 Part of the reason these patterns of Lewy pathology imperfectly match clinical syndromes
363 is the coexistence of Alzheimer pathology in LBD. For this reason, the neuropathological
364 diagnostic criteria for Dementia with Lewy Bodies takes Alzheimer copathology into
365 consideration, requiring the assessment of this copathology for definitive diagnosis.¹ A
366 Dementia with Lewy Bodies diagnosis is assigned only to those that do not meet the
367 criteria for intermediate or severe amounts of Alzheimer’s disease neuropathologic
368 change, as in those cases the dementia syndrome is unlikely to be due to the Lewy
369 pathology.¹ Approximately 65% of all dementia cases meet Alzheimer’s disease
370 neuropathological criteria.^{86,87}

371

372 It should be noted that 1) Alzheimer pathology affects a considerably greater volume of
373 brain tissue than Lewy pathology, depositing extracellular as well as intracellular proteins
374 in more neurons in more brain regions; 2) Alzheimer pathology is highly prevalent with
375 aging (at least 50% of all autopsied cases having some degree of hippocampal and
376 cortical Alzheimer pathology by the age of 70,⁸⁸ including those but with Lewy
377 pathology);⁸⁹ 3) even a low degree of copathology in the brain (Alzheimer or Lewy
378 pathology) increases the risk of transitioning to dementia 20-fold;^{87,89} and 4) Alzheimer
379 tau pathology is responsible for reduced survival and cortical thinning in people with LBD
380 not Lewy pathology.^{90,91}

381

382 Regarding the prevalence of copathologies in LBD, it differs depending on whether the
383 patient has a dementia presentation, such as Dementia with Lewy Bodies, or a motor
384 presentation that progresses to dementia after at least one year from motor symptom
385 onset (Parkinson's Disease Dementia). Nearly 80% of Dementia with Lewy Bodies cases
386 have intermediate to severe Alzheimer's copathology,^{92,93} while 50% of Parkinson's
387 Disease Dementia cases have moderate to severe amyloid- β deposition in cortical and
388 hippocampal regions (but not in other brain regions), while only a third have additional tau
389 pathology that meets diagnostic criteria for Alzheimer neuropathologic change.^{80,92,93} In
390 Parkinson's Disease Dementia, the reduced accumulation of amyloid- β is reflected by
391 less compact and less structured tau, indicating a later occurrence in Alzheimer
392 copathology in Parkinson's Disease Dementia compared to Dementia with Lewy
393 Bodies.⁹⁴ It is important to note that in Parkinson's disease, amyloid- β copathology is
394 associated with a more rapid cognitive decline and mortality than in cases with pure Lewy
395 body pathology.^{80,95,96}

396
397 The differences in Alzheimer's copathology prevalence between Dementia with Lewy
398 Bodies and Parkinson's Disease Dementia are also reflected in the prevalence,
399 distribution, and severity of other Alzheimer's disease-associated pathologies, i.e.,
400 cerebral amyloid angiopathy^{89,97-99} and TDP-43 pathology.^{80,89} Of note, in Parkinson's
401 Disease Dementia, cerebrovascular and TDP-43 pathologies are not correlated with
402 dementia,⁸⁰ supporting the concept that these copathologies are not driven by Lewy
403 pathology.

404
405 A considerable effort has gone into assessing the relative contributions of mixed
406 pathologies to cognition. The latest data from the Alzheimer's Coordinating Centers in the
407 United States indicate that the total number of copathologies per individual directly
408 correlates with cognitive performance, except for cerebrovascular and uncommon
409 pathologies which have more variable effects^{87,100} Lewy pathology has an independent
410 effect on overall cognition, influencing attention, processing speed, and language,
411 whereas Alzheimer's pathology affects all cognitive domains.¹⁰⁰ Additionally, Lewy
412 pathology is associated with visual hallucinations, that can occur in patients with LBD.⁸⁷

413 414 **5. MOLECULAR PATHOGENESIS OF LEWY BODY DEMENTIA**

415
416 Mitochondrial and lysosomal dysfunction,¹⁰¹⁻¹⁰³ neuroinflammation,^{71,104,105} as well as
417 synaptic dysregulation and density loss are key pathways of LBD pathogenesis.^{106,107}
418 Mitochondrial dysfunction results in impaired energy production and increased oxidative
419 stress, while lysosomal dysfunction results in aberrant degradation of α -synuclein, leading
420 to increased synuclein accumulation and cellular toxicity. Neuroinflammation involves
421 reactivity responses induced in microglia and astrocytes, contributing to neuronal
422 damage. Synaptic dysregulation affects neurotransmitter release and synaptic plasticity,
423 worsening cognitive decline (Figure 3).

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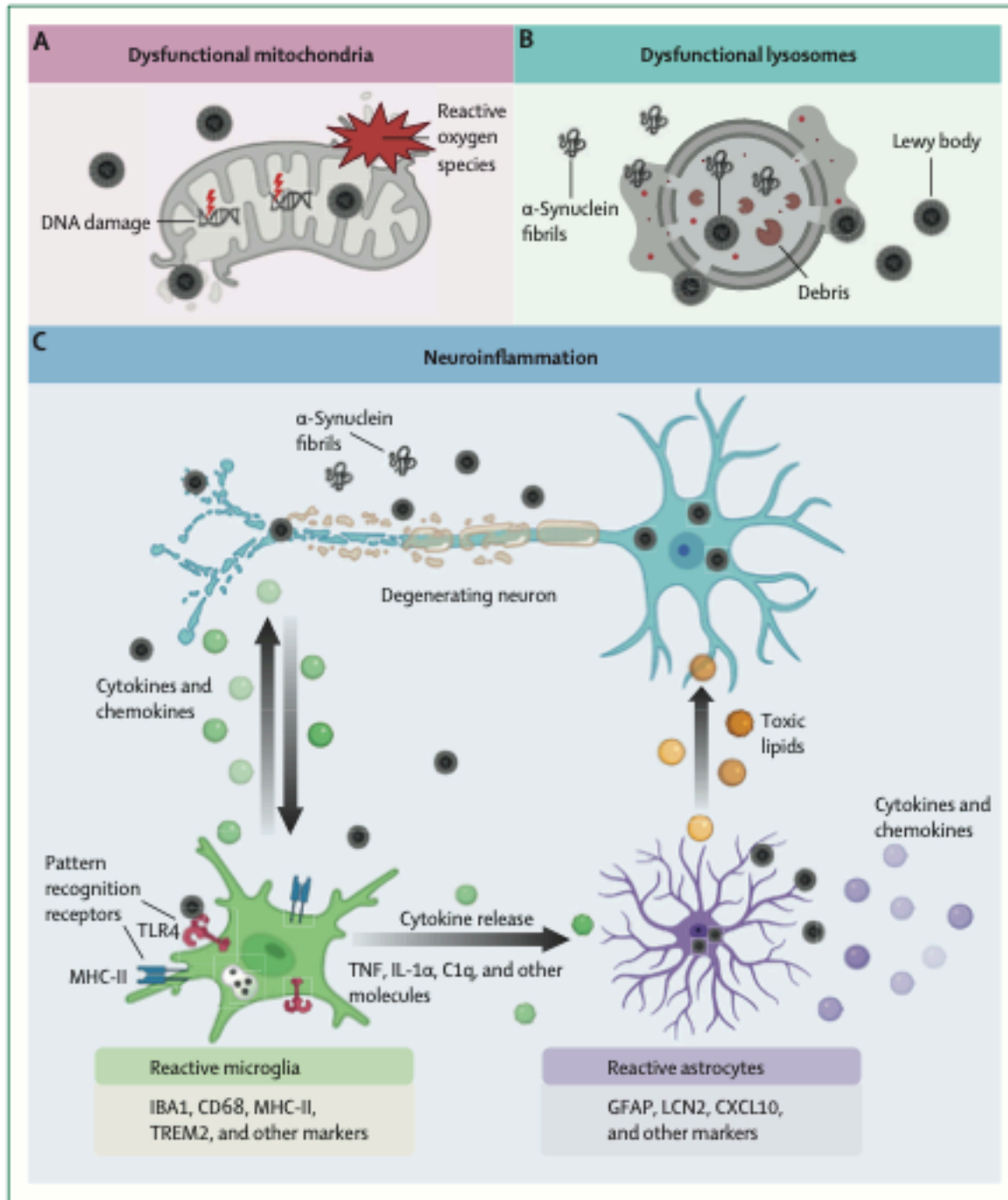


Figure 3: Molecular mechanisms in Lewy body dementia

(A) Mitochondrial dysfunction leads to DNA damage and the production of reactive oxygen species, activating stress-related pathways. (B) Lysosomal dysfunction impairs protein clearance, resulting in the accumulation of Lewy bodies and contributing to cellular dysfunction and damage. (C) Microglial reactivity and phagocytic activity are induced by α -synuclein aggregates. This activation occurs through the engagement of pattern recognition receptors. Reactive astrocytes can also contribute to inflammation and neuronal death by secreting neurotoxic lipids, primarily very long-chain saturated free fatty acids.¹²³ These neurotoxic reactive astrocytes are generated in response to reactive microglia, which release inflammatory cytokines.¹²¹ Figure created in BioRender.com.

427 Converging evidence underscores additional dysfunctions of protein degradation
428 pathways, including impairments of the ubiquitin-proteasome system and dysregulation
429 of the autophagy-lysosome pathway.¹⁰⁸ Other crucial dysfunctions include the
430 posttranslational modification of α -synuclein and the formation of α -synuclein oligomers
431 and prefibrillar structures that alter lysosomal trafficking and mitochondrial function;¹⁰⁹
432 differential expression of genes involved in astrocyte function and their alternative splicing
433 isoforms are also pathological mechanisms;¹¹⁰ B-cell involvement and T-cell
434 lymphocytes, which are often reactive against α -synuclein.¹¹¹⁻¹¹³ However, the order in
435 which these processes occur, the extent to which they are drivers of disease versus
436 compensatory mechanisms, and how they interact is largely unknown. Understanding
437 these molecular pathways is key to developing targeted therapies for LBD.

438
439 Emerging technologies, including single-cell/nucleus omics and spatial transcriptomics,
440 can offer valuable insights into the mechanisms underlying LBD using postmortem brain
441 tissue. Single-nucleus RNA sequencing will enable the identification of neuronal and glial
442 cell subtypes specific to LBD. Spatial transcriptomics can further enhance this approach
443 by mapping these unique cell types within vulnerable brain regions, facilitating a deeper
444 understanding of region-specific pathological processes.

445
446 **Mitochondrial and lysosomal dysfunction**
447 LBD-associated genes influence mitochondrial and lysosomal functions through various
448 mechanisms, including the regulation of mitophagy, oxidative stress response, and
449 lysosomal protein clearance. For instance, misfolded α -synuclein aggregates resulting
450 from pathogenic *SNCA* mutations can increase mitochondrial reactive oxygen species
451 (ROS) production,^{114,115} leading to neuronal toxicity and cell death.¹¹⁴ Studies indicate
452 that α -synuclein aggregates directly interact with cardiolipins—phospholipids specific to
453 the mitochondrial membrane—disrupting membrane integrity and function. Additionally,
454 misfolded α -synuclein can inhibit complex I of the electron transport chain, further
455 elevating ROS levels.^{116,117} Lewy body pathology has been detected in older individuals
456 with mitochondrial disease caused by mutations in mitochondrial DNA or nuclear-
457 encoded mitochondrial genes,¹¹⁸ reinforcing the connection between mitochondrial
458 dysfunction and Lewy body accumulation.

459
460 Lysosomal dysfunction is another key contributor to the impaired clearance of misfolded
461 α -synuclein, leading to the buildup of Lewy body aggregates and disruption of cellular
462 homeostasis. Genetic variants in *GBA1* and *TMEM175* are directly linked to defects in
463 lysosomal degradation pathways.^{22,58,103} Loss-of-function mutations in *GBA1* reduce
464 glucocerebrosidase enzyme activity, causing glucocerebroside to accumulate within
465 lysosomes.¹¹⁹ This lipid buildup alters lysosomal pH, impairs hydrolase activity, and
466 affects α -synuclein metabolism.¹⁰³ Similarly, *TMEM175* variants that impair lysosomal
467 potassium channel function disrupt pH homeostasis, reduce protease activity, and impair
468 autophagic flux, further preventing protein degradation and promoting α -synuclein
469 aggregation.¹²⁰ These lysosomal deficits drive the formation of Lewy body inclusions in
470 neurons. Importantly, genetic variants affecting lysosomal function, such as variants in
471 *GBA1*, are associated with faster cognitive decline and more severe Lewy pathology.¹²¹

472

473 **Immune System Involvement and Inflammatory Mechanisms**

474 Inflammation is a crucial component of pathophysiology of many diseases including LBD.
475 In the central nervous system (CNS), inflammation is driven by reactive glia, including
476 microglia and astrocytes. Glia modulate cell-intrinsic and extrinsic pathways of neuronal
477 cell death (Figure 3).^{122,123}

478
479 Neuroinflammation is primarily driven by microglia, the brain's resident macrophages.¹²⁴
480 In healthy tissue, microglia display a ramified morphology and actively clear misfolded
481 protein aggregates and cell debris through phagocytosis.¹²⁴ However, in response to
482 pathological insults, such as α -synuclein-rich dystrophic neurites or extracellular α -
483 synuclein aggregates from spilled-over Lewy body components, resting microglia can
484 become "reactive" and adopt a more spheroidal, amoeboid morphology with altered
485 functions. α -Synuclein aggregates induce microglial reactivity and phagocytic activity in
486 both *in vitro* (cell culture) and *in vivo* (rodent) models.¹²⁵⁻¹²⁷

487
488 The distribution of the reactive microglia provides important insights. Ionized calcium-
489 binding adaptor molecule 1-positive (IBA1⁺) microglia with spheroidal, beaded, de-
490 ramified, or fragmented processes have been identified in the CA1 region of the
491 hippocampus of LBD patient brains.¹²⁸ Several of these microglia were positive for CD68,
492 a lysosomal marker associated with phagocytic activity, suggesting active clearance of
493 extracellular α -synuclein aggregates and/or Lewy body-laden neurites by microglia.^{128,129}

494
495 However, the concept that reactive microglia directly cause neuronal toxicity has been
496 questioned. Instead, chronically active microglia can adopt "disease-associated"
497 phenotypes characterized by dysfunctional states, such as impaired phagocytosis or
498 ineffective clearance of toxic debris. In one study, microglia containing α -synuclein
499 aggregates were shown to transfer these aggregates to healthy microglia through
500 tunneling nanotubes to facilitate their clearance.¹³⁰ Interestingly, the donor microglia that
501 offloaded the aggregates also received healthy mitochondria from the recipient microglia.
502 Together, these findings suggest that in LBD, microglia engage in dynamic interactions
503 with other microglia and injured neurons to exchange α -synuclein and support tissue
504 repair. Rather than contributing to neurotoxicity, these studies highlight a potentially
505 neuroprotective role for reactive microglia.

506
507 Astrocytes are the most abundant type of glial cell in the CNS and support neurons via
508 the secretion of neurotrophic and metabolic factors, regulation of ion homeostasis, and
509 maintenance of synaptic activity.¹³¹ Like microglia, astrocytes also become reactive in
510 neurodegenerative diseases and have altered morphology and function (Figure 3).¹³²⁻¹³⁴
511 Astrocytes with increased immunoreactivity for glial fibrillary acidic protein (GFAP⁺), a
512 historical but poor marker of reactive astrocytes, have been found in close proximity to
513 extracellular α -synuclein-positive Lewy bodies in brains from patients with.¹³⁵ Astrocytes
514 with α -synuclein aggregates have been detected in several regions of the LBD patient
515 brains, including the hippocampus, substantia nigra, amygdala, and cortex.^{136,137}
516 However, how and why α -synuclein accumulates in astrocytes and the consequences of
517 astrocytic α -synucleinopathy remain unclear.¹³⁶

518

519 The interplay between microglia and astrocytes is central to the inflammatory processes
520 occurring in LBD (Figure 3). Glial cells are highly heterogeneous, with different substates
521 of microglia and astrocytes having varied functions in response to pathological conditions
522 and environmental stimuli.¹³⁸ Advances in transcriptomics, proteomics, and metabolomics
523 have revealed new subpopulations of glial cells in various pathological contexts, which
524 are being validated in both human beings and rodent models.^{139,140} Continued mining of
525 such datasets will likely identify additional functional interactions between microglia and
526 astrocytes that are perturbed in LBD – findings that are crucial for pinpointing specific cell
527 types and novel drug targets.

528
529 The involvement of microglia and astrocytes (as well as other non-neuronal cells in the
530 CNS, such as oligodendrocyte lineage cells and endothelial cells) may vary considerably
531 across different genetic forms of LBD, parkinsonism, and other dementias.¹⁴¹⁻¹⁴⁵ The
532 specific genetic mutation often determines the primary glial cell type involved and the
533 regional distribution of glial reactivity. Mutations in *SNCA* are linked to increased α -
534 synuclein expression and aggregation, which coincides with reactivity in both microglia
535 and astrocytes.^{136,146,147} In Alzheimer's disease, frontotemporal dementia, and LBD, glial
536 responses involving microglia and astrocytes are closely associated with the primary
537 accumulating protein (e.g., amyloid β , tau, TDP-43, α -synuclein) and the resulting
538 neuropathology.¹⁴⁸ Therefore, the relative contribution and characteristics of microglial
539 versus astrocyte pathology are a direct consequence of the underlying genetic defect and
540 the resulting disease mechanisms.¹⁴⁵

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543 **5. CONCLUSIONS AND FUTURE DIRECTIONS**

544 Advances in the genetic and pathological characterization of LBD have dramatically
545 accelerated our understanding of this neurodegenerative condition. The genetic
546 architecture of LBD is complex, with both common and rare variants contributing to
547 disease susceptibility. While a significant portion of the heritability of LBD remains elusive,
548 substantial knowledge has been acquired through the examination of related
549 neurodegenerative disorders, illustrating that the LBD risk profile partially overlaps with
550 those of Parkinson's disease and Alzheimer's disease. Although these insights are not
551 comprehensive, they provide crucial perspectives on pathophysiological mechanisms,
552 highlighting the impairment of lysosomal function, dysfunction of mitochondrial
553 homeostasis, and neuroinflammation as critical factors. Nevertheless, significant
554 knowledge gaps persist (Panel 2). Increased efforts to study clinically and pathologically
555 well-characterized cohorts across the natural history of LBD are essential. Incorporating
556 multi-omic data within a systems biology framework will enhance insights into the dynamic
557 networks of interacting components at the cellular and tissue levels. As our molecular
558 understanding expands, there is increasing optimism that this knowledge will improve
559 disease modeling and ultimately facilitate its translation into therapeutic targets.

560

561 **Contributors**

562 All authors contributed to the conceptualization of this review. SWS coordinated the
563 writing of this manuscript. All authors contributed equally to the literature search, design
564 of display items, and writing.

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Declaration of interests

SWS serves on the Scientific Advisory Council of the Lewy Body Dementia Association, Mission MSA, and the G-Can initiative. SWS receives research support from Cerevel Therapeutics. SWS is an editorial board member for JAMA Neurology and the Journal of Parkinson’s Disease. SAL maintains stock options as a financial interest in AstronauTx Ltd. and Synapticure. SAL is an editorial board member for Glia and Cell Reports and serves on the Scientific Advisory Board of RM Global, Catalyst for a Cure (Glaucoma Research Foundation), Concept Life Sciences, and the Tambourine ALS Research Program. MR serves on the Scientific Advisory Board of Astex. GMH is an editorial board member of Acta Neuropathologica, Journal of Parkinson’s Disease, Neurobiology of Disease, Neuropathology and Applied Neurobiology, Science Advances, and Translational Neurodegeneration. All other authors report no competing interests.

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Search strategy and selection criteria

References for this Review were identified by searches of PubMed between 2015 and May 2025, by use of the following terms: “Lewy body,” or “Lewy body dementia” or “Parkinson disease dementia” or “dementia with Lewy bodies” in combination with “pathology,” “genetics,” “biomarker,” “RT-QuIC,” “alpha-synuclein,” “synucleinopathy,” or “molecular.” Bibliographies of papers were also reviewed. Papers published in English were considered. The final reference list was generated based on relevance to the topics covered in this Review.

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PANELS

Panel 1: Influence of Sex on LBD

The data regarding the sex distribution of LBD are conflicting.^{149,150} Of the eight studies that reported sex data, half found a higher male incidence, and the converse for the other half. The small cohorts reported in these studies and the predominance of prevalence-derived estimates mean that caution is required in interpreting the findings.

In contrast, neuropathological studies indicate a consistent over-representation of males in cases of Lewy body pathology consistent with LBD. Males have more frontal atrophy on neuroimaging than women.¹⁵¹ Sex differences might decline with age, especially above age 75. The severity of dementia in Dementia with Lewy Bodies is reportedly higher in females, presumably due to a higher burden of Alzheimer's disease co-pathology.¹⁵² In contrast, the effect of sex on disease progression and survival is similar in men and women.¹⁵²

Longitudinal data indicate that the probability of dementia in Parkinson's disease varies by disease duration and is 27% at ten years of disease, 50% at 15 years, and 74% at 20 years.¹⁵³ Male sex is a risk factor for the development of cognitive decline in Parkinson's disease.¹⁵⁴ Considerable heterogeneity has been observed in the cognitive profile of patients with Parkinson's disease, which has been attributed to the effect of individual risk factors (such as genetics) modulating the underlying brain pathology, and the presence of Alzheimer's disease co-pathology.¹⁵⁵⁻¹⁵⁷

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Panel 2: Research priorities for the genetic and pathological characterization of LBD

Defining the genetic architecture of LBD

- Increase the cohort size of patients with longitudinal clinical, molecular, and pathological information across the natural history of LBD to improve the power of gene discovery efforts (i.e., investigating the missing heritability).
- Enhance recruitment of ancestrally diverse individuals.
- Increase recruitment of familial LBD cases.
- Improve investigations into less studied genetic variants and their role in LBD (e.g., copy number variants, somatic mutations, repeat expansions).

Integrating multi-omic data

- Integrate epigenomic, genomic, transcriptomic, proteomic, metabolomic, and environmental data to interpret molecular discoveries within a systems biology framework.
- Expand innovative data analysis techniques.
- Create foundational molecular resources to accelerate drug discovery and repurposing.
- Expand data sharing to ensure reproducible research practices.

Understanding the contributions of Lewy body pathology and co-pathologies

- Increase the number of autopsies in deeply characterized LBD cases.
- Develop scalable methods to assess LBD-associated pathologies.
- Improve the harmonization of pathological data and enhance best practices for neuropathological evaluations.
- Expand deep learning techniques and automated digital image analysis.
- Investigate the relationship between novel biomarkers and LBD pathology.

Investigating the mechanisms associated with the onset and progression of LBD

- Increase insights into cellular pathogenesis using single-cell models, assembloids, and spatial transcriptomic approaches.
- Expand the availability of *in vitro* and *in vivo* models using genetic engineering approaches.
- Investigate posttranslational modifications and the conformational state of α -synuclein in modulating protein aggregation, seeding capacity, and toxicity.
- Investigate the molecular underpinnings of the clinical and pathological heterogeneity of LBD.
- Develop and improve biomarkers of key biological processes.
- Translate genetic variants into disease mechanisms and therapeutic targets.

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