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To cite this article before publication: Emily Hewson *et al* 2022 *Phys. Med. Biol.* in press <https://doi.org/10.1088/1361-6560/ac8967>

Manuscript version: Accepted Manuscript

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Optimising Multi-Target Multileaf Collimator Tracking Using Real-Time Dose for Locally Advanced Prostate Cancer Patients

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Abstract

Objective: The accuracy of radiotherapy for patients with locally advanced cancer is compromised by independent motion of multiple targets. To date MLC tracking approaches have used 2D geometric optimisation, where the MLC aperture shape is simply translated to correspond to the target's motion, which results in sub-optimal delivered dose. To address this limitation, a dose-optimised multi-target MLC tracking method was developed and evaluated through simulated locally advanced prostate cancer treatments.

Approach: A dose-optimised multi-target tracking algorithm that adapts the MLC aperture to minimise 3D dosimetric error was developed for moving prostate and static lymph node targets. A fast dose calculation algorithm accumulated the planned dose to the prostate and lymph node volumes during treatment in real time, and the MLC apertures were recalculated to minimise the difference between the delivered and planned dose with the included motion. Dose-optimised tracking was evaluated by simulating five locally advanced prostate plans and three prostate motion traces with a relative interfraction displacement. The same

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3 simulations were performed using geometric-optimised tracking and no tracking. The dose-
4
5 optimised, geometric-optimised, and no-tracking results were compared with the planned
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7 doses using a 2%/2mm γ criterion.
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10 **Main Results:** The mean dosimetric error was lowest for dose-optimised MLC tracking, with
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12 γ -failure rates of 12% \pm 8.5% for the prostate and 2.2% \pm 3.2% for the nodes. The γ -failure rates
13
14 for geometric-optimised MLC tracking were 23% \pm 12% for the prostate and 3.6% \pm 2.5% for
15
16 the nodes. When no tracking was used, the γ -failure rates were 37% \pm 28% for the prostate and
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18 24% \pm 3.2% for the nodes.
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23 **Significance:** This study developed a dose-optimised multi-target MLC tracking method that
24
25 minimises the difference between the planned and delivered doses in the presence of
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27 intrafraction motion. When applied to locally advanced prostate cancer, dose-optimised
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29 tracking showed smaller errors than geometric-optimised tracking and no tracking for both
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31 the prostate and nodes.
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35 **Introduction**

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37 Radiotherapy for patients with locally advanced cancer can require multiple targets to be
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39 irradiated simultaneously, however differential motion of these targets [1-4] can result in
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41 decreased treatment accuracy [5,6]. Currently available real-time adaptation systems, such as
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43 the CyberKnife [7] or Radixact [8] involve specialised treatment systems that adapt to the
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45 radiation to a target's motion in real time. However, no currently available commercial
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47 system is able to adapt to multiple targets that have independent motion. Multileaf collimator
48
49 (MLC) tracking [9-11] can be implemented on standard clinical systems and has been utilised
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51 to develop a multi-target tracking method that was demonstrated to track multiple
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53 independent targets in a simulation study [12], as well as experimentally on a standard linac
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55 [13] and an MRI-linac [14].
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3 Previous implementations of MLC tracking were performed using a geometric
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5 optimisation approach. Geometric-optimised tracking adapts the MLC leaf positions such that
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7 the 2D fluence of the radiation beam that is passing through a moving target and surrounding
8
9 tissue is consistent with what was planned [9], minimising the 2D overexposure and
10
11 underexposure to the tissue at that timestep [15,16]. However, the exposure of the target seen
12
13 in 2D from the beam's-eye-view (BEV) is not representative of the 3D dose that has been
14
15 delivered to the tissue, which is more closely linked to clinical patient outcomes from
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17 radiotherapy [17-19]. Thus, it would be advantageous to adapt the treatment based on the 3D
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19 dose over 2D geometry.
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24 While clinical applications of geometric-optimised MLC tracking have been
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26 demonstrated to deliver a dose distribution closer to the plan than what would be delivered
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28 using the standard of care [20-22], limitations with the MLC tracking method, including the
29
30 limited leaf width and speeds, can result in dosimetric error [23]. Geometric-optimised MLC
31
32 tracking does not account for the errors that accumulate throughout a treatment, instead only
33
34 optimising for the 2D geometry at each timestep throughout the treatment. In addition to
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36 these limitations, multi-target MLC tracking was also found to result in dosimetric error
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38 resulting from limitations with forming an adapted aperture for targets that overlap in the
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40 BEV [13,12].
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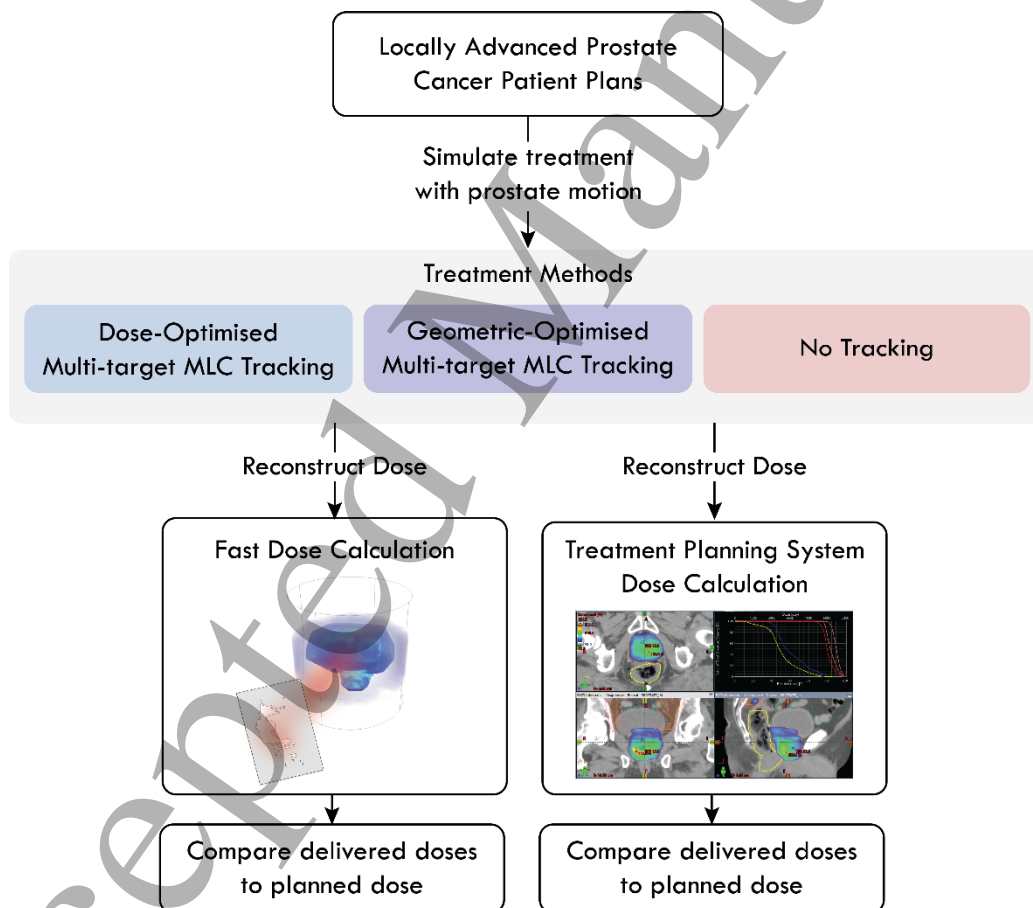
46 To address the limitations of the previous MLC tracking algorithm, a novel MLC
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48 tracking method that optimises the adapted leaf positions to the 3D dose in real time was
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50 developed by Mejnertsen *et al.* [26]. This dose-optimised MLC tracking method incorporates
51
52 a real-time 3D dose accumulation method to calculate the MLC leaf positions that correct for
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54 motion, as well as the dosimetric errors that occur throughout a treatment. Dose-optimised
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56 MLC tracking was found to reduce the delivered dosimetric error compared to geometric-
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3 optimised tracking for single-target prostate tracking with a mean computation time within 40
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5 ms for each aperture.
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8 The aim of the current study was to further develop dose-optimised MLC tracking for
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10 the implementation of multi-target tracking. The performance of dose-optimised multi-target
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12 MLC tracking was evaluated through simulations of treatments for locally advanced prostate
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14 cancer patients and compared to the previously used geometric-optimised multi-target MLC
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16 tracking algorithm, and standard of care treatment with no tracking.
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21 Methods

22 An outline of the study is summarised in Figure 1.
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55 **Figure 1.** An outline of the simulations performed in this study. Treatments were simulated
56 using the dose-optimised multi-target MLC tracking algorithm and compared to geometric-
57 optimised multi-target MLC tracking and no tracking. The doses delivered using each
58 treatment method were reconstructed using the fast dose calculation used to guide dose-
59 optimisation to evaluate the performance of the leaf-optimisation. Doses were also
60

reconstructed using a treatment planning system to evaluate the clinically relevant delivered dose. Delivered doses were compared to the planned doses using a 3D γ comparison.

Dose-Optimised Multi-Target MLC Tracking

A multi-target MLC tracking method that adapts to independently moving targets by re-optimising the MLC leaf positions based on the delivered dose was developed. The steps performed for multi-target dose-optimised MLC tracking method are described below and summarised in Figure 2.

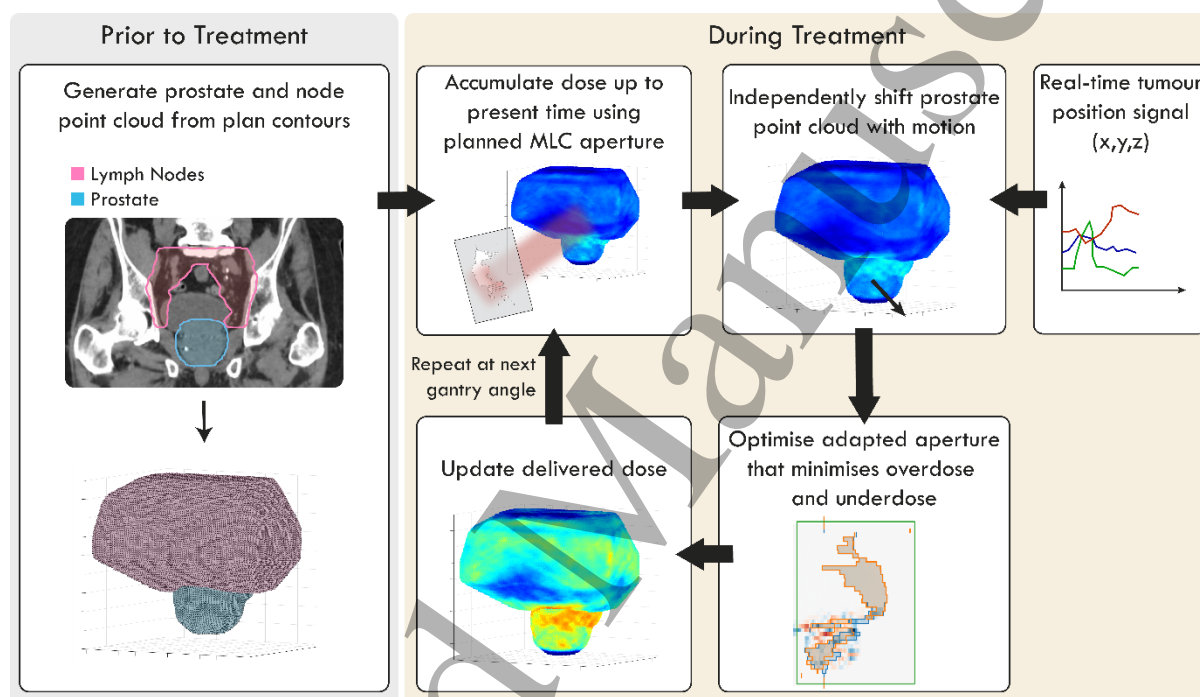


Figure 2. The dose-optimisation method used to adapt the MLC leaves to the independent motion of targets. Prior to treatment, a volume of dose points is generated based on the contours from the treatment planning system. Throughout a treatment, the planned dose is calculated using the planned MLC aperture at each timestep. The prostate dose point cloud is shifted to correspond to the motion while the lymph node dose point cloud is kept static. The new MLC leaf positions are then calculated using a cost function that minimises the dosimetric error between the planned and delivered doses. Finally, the delivered dose is updated, and this process is repeated until the end of treatment.

Prior to treatment, a 3D volume was generated containing dose points arranged in a staggered grid with a spacing of 2 mm along each 3D axis. The dose delivered during treatment was accumulated on these points, referred to as the dose point cloud. A unique dose point cloud was generated for each patient, where the prostate and lymph node point clouds

1
2
3 were created based on the respective planning target volume (PTV) contours, and each point
4 cloud was expanded by 1 cm in each direction to encompass the dose fall-off outside of the
5 target and to ensure that there are no gaps in dose points within the MLC apertures. The
6 convex hull of the lymph node PTV was then generated as a boundary for the point cloud so
7 that dose points could be arranged within this boundary to fill the empty space in the centre
8 the lymph nodes. The prostate point cloud was not expanded in the superior direction and the
9 lymph node point cloud was not expanded in the inferior direction as this was the region
10 where the targets overlapped. Each dose point was labelled as either belonging to the prostate
11 or lymph nodes. As the prostate PTV and lymph node PTVs overlap, separate dose points are
12 generated for each target and can exist within the same region of space but undergo
13 independent motion during treatment simulation.

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A detailed description of the dose optimisation algorithm, including equations used, can be found in Mejnertsen *et al.* [26]. A brief description of the algorithm is included below. During the treatment, the dose optimisation process occurs over several iterations with a timestep of 50 ms. At each timestep, the planned dose that is delivered to the dose point cloud up until that timepoint was calculated based on the planned MLC apertures. The 3D dose calculation method was performed by accounting for the gantry angle, collimator angle, and MLC leaf positions, and used a simple line-of-sight dose calculation, where one dose unit is deposited to all dose points that are exposed within the open aperture. To ensure fast dose calculation factors such as beam divergence, attenuation and heterogeneous tissue composition were not considered.

Once the planned dose for that iteration was calculated, the dose points that have been assigned to the prostate are shifted independently to correspond to the prostate's displacement at that point in the treatment. The dose points that are assigned to the lymph nodes remain

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3 stationary. This planned dose with the shifted dose points indicates the desired dose to be
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5 delivered considering the plan and target motion.
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9 This 3D shifted planned dose was then projected onto the 2D BEV plane by
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11 integrating all dose points along the gantry axis. The difference between the shifted planned
12
13 dose and the dose that has been delivered up until the timepoint was used to optimise the
14
15 MLC positions. For each leaf pair, the dose cost was calculated and the leaf positions that
16
17 minimise that cost were selected for the adapted aperture.
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21 Once the adapted leaf positions have been calculated and adjusted, the delivered dose
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23 to the dose point cloud was updated, and this process repeated for each timestep until the end
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25 of treatment. The mean computation time for dose accumulation at each timestep was 32 ± 10
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27 ms, and each aperture optimisation took 47 ± 13 ms.
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30 *Treatment Simulations*

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32 To evaluate the performance of dose-optimised multi-target MLC tracking, the algorithm was
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34 tested *in silico* and compared to the geometric-optimised multi-target MLC tracking method
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36 which has been described in further detail by Hewson *et al.* [12], as well as standard of care
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38 treatment where motion for each target is not managed during treatment.
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42 Each treatment method was evaluated by simulating treatment where the prostate
43
44 undergoes relative motion to the pelvic lymph nodes. Five treatment plans for patients with
45
46 locally advanced prostate cancer were selected, which had a prescription dose of 60 Gy
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48 delivered over 20 fractions, delivered using volumetric modulated arc therapy (VMAT). The
49
50 plans were each generated with three 358° arcs with gantry rotation covering 181° to 179° .
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52 While standard treatments for locally advanced cancer patients previously planned the
53
54 collimator angles to 10° , 350° , and 0° , the plans in this study were generated with collimator
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56 angles of 45° , 315° , and 45° as these angles are favourable for the performance of dose-
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3 optimised MLC tracking. The jaws were also expanded by 1 cm in each direction to allow for
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5 motion to be tracked.
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9 The physical leaf limitations including leaf widths and velocity were based on a
10 Millennium 120-leaf MLC for the treatment simulations performed in this study. To simulate
11 deliverable MLC apertures, the limited leaf velocity was taken into account during the leaf-
12 optimisation step such that the maximum distance that could be travelled at each timestep
13 was limited by a speed of 3.6 cm/s [27]. Gantry trajectory was based on treatment log files
14 from patient treatment.
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23 Three intrafraction prostate motion traces measured during patient treatment by
24 Langen *et al.* [28] were selected to represent a range of motion that the prostate could
25 undergo. Treatments were simulated using these three prostate motions for each of the five
26 locally advanced prostate patients. For locally advanced prostate cancer treatments, the
27 displacement of the prostate relative to the lymph nodes may be different at the beginning of
28 treatment compared to the planning computed tomography (CT) image. To simulate this
29 scenario, an interfraction prostate displacement was included at the beginning of each motion
30 trace. The prostate was shifted by 3 mm, 2 mm, and 2 mm in the posterior, inferior and right
31 directions respectively. This interfraction displacement was selected based on the root-mean-
32 square deviations of internal prostate displacements with respect to the bony anatomy
33 measured by Bylund *et al.* [29]. The selected prostate motion traces are shown in Figure 3.
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The lymph nodes were assumed to be fixed to the vasculature and remained static [30]. In a
practical treatment scenario on a standard linac, the position of the prostate would be

monitored through on-board imaging of implanted fiducial markers, and the lymph nodes could be monitored by using the bony anatomy as a surrogate.

For both the dose-optimised and geometric-optimised MLC tracking treatment simulations, patients were set up to the bony anatomy and the prostate motion was managed using MLC tracking. Thus, each tracking strategy corrected for prostate motion that included both interfraction and intrafraction displacement. For the no tracking strategy, the patient was set up to the position of the prostate to replicate the dose that would be delivered during the standard of care. Using this patient setup, the prostate motion began at zero and instead displaced the lymph nodes by 3 mm, 2 mm, and 2 mm in the anterior, superior, and left directions respectively.

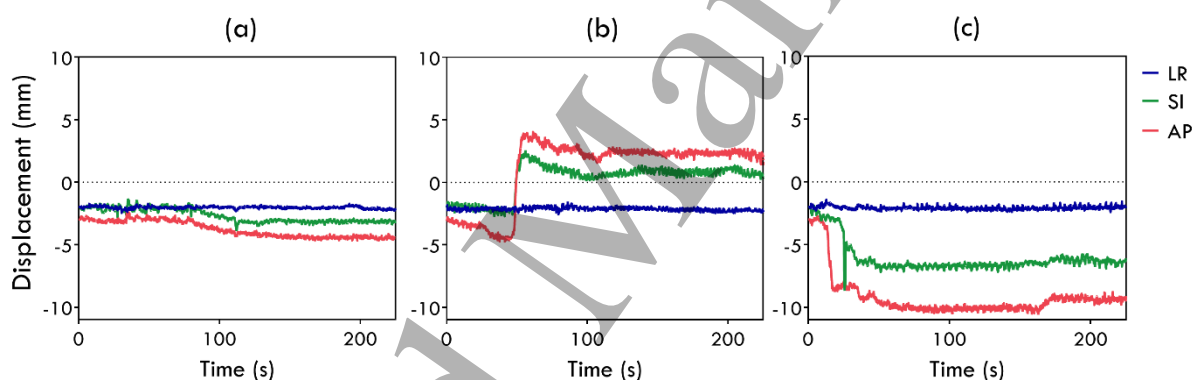


Figure 3. The prostate motion traces that were used for the treatment simulations relative to the planned position, including (a) a small prostate motion, (b) persistent motion, and (c) a large drift. Each motion trace started with an interfraction prostate displacement relative to the lymph nodes.

Fast Dose Error

To assess the performance of the leaf optimisation method to minimise dosimetric differences, the doses accumulated in the dose point clouds using the fast dose calculation were evaluated. The doses delivered during each treatment method with motion were accumulated in the dose point clouds (shown in Figure 2) and compared to the planned dose delivered using the planned MLC apertures without motion, accumulated in the same volume

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3 using the fast dose calculation described in detail by Mejnertsen et al. [26]. The delivered and
4 planned doses calculated in the prostate and lymph node volumes with the fast dose
5 calculation were compared using a 3D γ comparison with a 2% relative dose/2 mm distance-
6 to-agreement pass criterion, where the planned dose was considered the ground truth. Errors
7 accumulated in the dose point clouds were considered to evaluate the capability of the MLC
8 optimisation method to adapt the leaves to the given desired dose distribution, ignoring the
9 error contribution that would result from the use of a simplified real-time dose accumulation
10 algorithm.
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21 22 *Clinical Dose Error*

23 While the multi-target dose-optimised MLC tracking method relies on a fast dose calculation
24 to perform real-time adaptation, these dose point clouds are only an approximation. To
25 evaluate the dosimetric error resulting from each of the treatment methods, the delivered
26 doses were also calculated using a clinical treatment planning system (TPS) (Eclipse version
27 16.1, Varian Medical Systems, Palo Alto, California, USA).
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36 Analysis of the clinical dose error was performed using a dose reconstruction method
37 previously described by Poulsen *et al.* [31] The DICOM plan files were first exported from
38 the TPS. The DICOM plan for each patient was then modified using a computer code
39 developed using MATLAB (MathWorks, Natick, Massachusetts, USA) where the prostate
40 motion was encoded by dividing the plan into multiple sub-arcs, shifting the isocentre for
41 each sub-arc corresponding to the displacement of the prostate divided into 1 mm bins.
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51 For each treatment, two separate dose reconstructions were calculated in the TPS to
52 reconstruct the clinical dose to the independently moving structures. One dose reconstruction
53 encoded the prostate motion to evaluate the dose delivered to the moving structures which
54 included the prostate, bladder, and rectum. The other dose reconstruction did not encode
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3 motion to evaluate the dose delivered to the static structures which included the lymph nodes
4 and small bowel. Treatments using MLC tracking had the adapted MLC apertures encoded.
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6 Each DICOM file was then re-imported into the TPS and the doses were calculated with a
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8 grid size of $2.5 \times 2.5 \times 2.5 \text{ mm}^3$.
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13 The doses calculated in the TPS were exported, and the delivered doses using each
14
15 treatment method were compared to the planned dose volumes using a 3D γ comparison [32]
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17 with a 2%/ 2 mm criterion. The dose points contained within the contoured prostate and
18
19 lymph nodes volumes were evaluated individually. Dose metrics for the prostate clinical
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21 target volume (CTV) and PTV, lymph node CTV and PTV, bladder, rectum and small bowel
22
23 were also assessed compared to the planned values.
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28 A Wilcoxon signed-rank test was performed to compare the γ -failure rates when dose-
29
30 optimised multi-target MLC tracking was used, compared to when geometric-optimised
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32 multi-target MLC tracking, and no tracking were used.
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35 Results

36 *Fast Dose Error*

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38 The mean γ -failure rates for the comparison of the fast dose calculations across the five
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40 patients are plotted in Figure 4. The overall mean γ -failure rate for the prostate and lymph
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42 nodes respectively were $10\% \pm 4.9\%$ and $0.7\% \pm 0.6\%$ for dose-optimised tracking, $30\% \pm$
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44 8.3% and $2.7\% \pm 1.8\%$ for geometric-optimised tracking, and $39\% \pm 26\%$ and $32\% \pm 1.7\%$
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46 when no tracking was used. Dose-optimised tracking had a statistically significantly lower
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48 mean γ -failure rate compared to both geometric-optimised tracking ($p < 0.001$ for the prostate
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50 and lymph nodes), and no tracking ($p = 0.008$ for the prostate, $p < 0.001$ lymph nodes). An
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52 example of the doses delivered to the dose point cloud for one of the patient plans using each
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54 treatment technique is shown in Figure 5.
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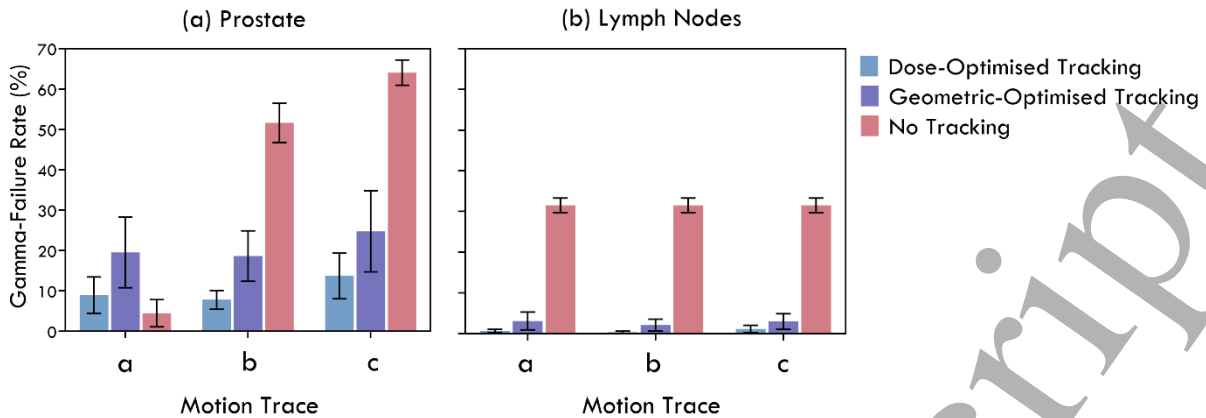


Figure 4. The mean γ -failure rates calculated for five patients with the fast dose calculation for (a) the prostate PTV and (b) the lymph node PTV when using dose-optimised tracking, geometric-optimised tracking, and no tracking. The mean γ -failure rates plotted correspond to each motion trace shown in Figure 3. The error bars indicate the standard deviation.

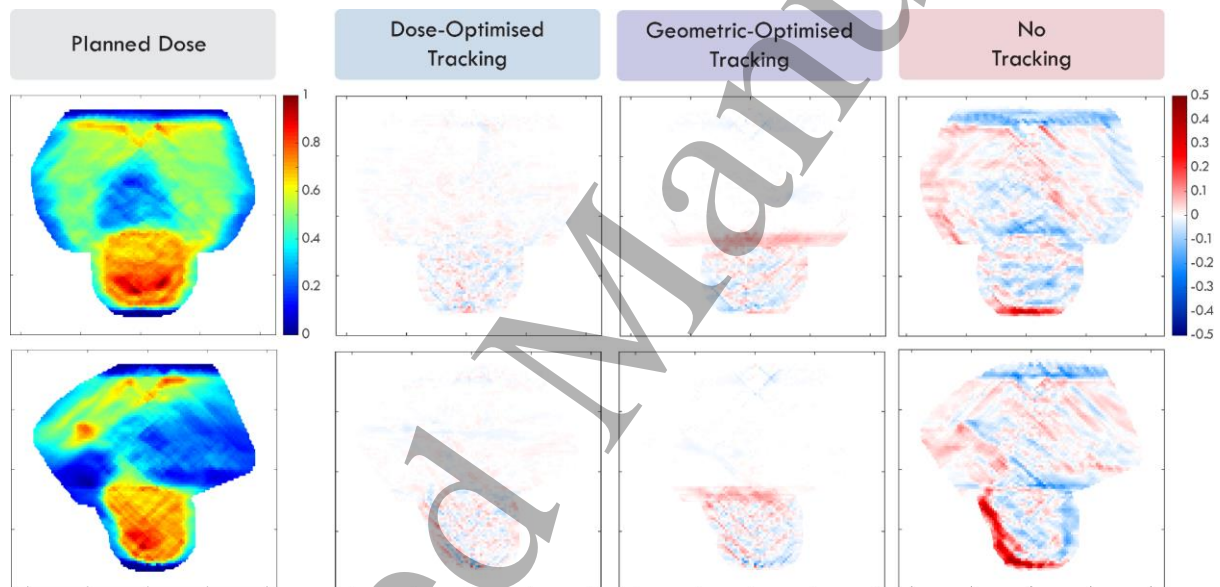
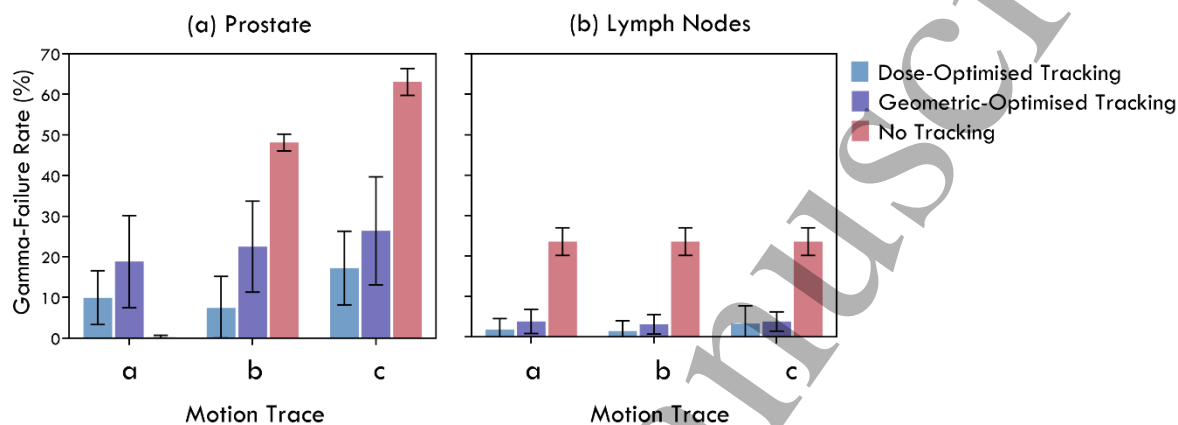


Figure 5. An example of the differences in delivered dose compared to the planned dose, calculated using the fast dose calculation during dose-optimised tracking, geometric-optimised tracking, and no tracking, for one of the patients in the coronal plane (top row) and the sagittal plane (bottom row). Red areas indicate overdosing and blue areas indicate underdosing. Doses for the fast calculation were accumulated using an arbitrary dose unit and are normalised to the maximum planned dose.

Clinical Dose Error

The mean γ -failure rates for the comparison of the clinical dose calculations across the five patients are plotted in Figure 6. The overall mean γ -failure rate for the prostate and lymph nodes respectively were $12\% \pm 8.5\%$ and $1.8\% \pm 2.7\%$ for dose-optimised tracking, $23\% \pm$

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3 12% and $3.6\% \pm 2.5\%$ for geometric-optimised tracking, and $37\% \pm 28\%$ and $24\% \pm 3.2\%$
4
5 when no tracking was used. Dose-optimised tracking had a statistically significantly lower
6
7 mean γ -failure rate compared to both geometric-optimised tracking ($p < 0.001$ for the prostate,
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9 $p = 0.02$ for the lymph nodes), and no tracking ($p = 0.008$ for the prostate, $p < 0.001$ lymph
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11 nodes).
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31 **Figure 6.** The mean γ -failure rates for the doses calculated using the Eclipse treatment
32 planning system for (a) the prostate PTV and (b) the lymph node PTV when using dose-
33 optimised tracking, geometric-optimised tracking, and no tracking. The mean γ -failure rates
34 plotted correspond to each motion trace shown in Figure 3. The error bars indicate the
35 standard deviation.
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38 The difference between the planned dose and the reconstructed delivered doses for
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40 specific dose metrics of interest are plotted in Figure 7.
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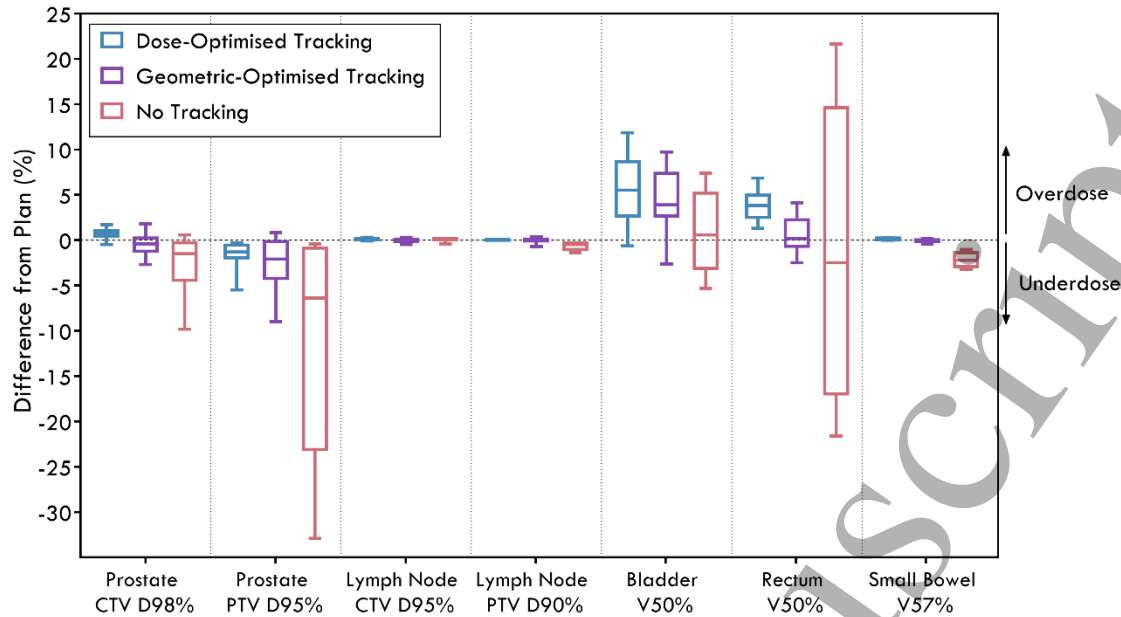


Figure 7. The mean differences compared to the plan for the dose metrics of interest for dose-optimised tracking, geometric-optimised tracking, and no tracking, across five patient plans and three motion traces. The whiskers represent the minimum and maximum values.

An example of the dose distributions calculated using the TPS is shown in Figure 8.

The same patient and motion trace that was plotted in Figure 5 is also shown in Figure 8 for the clinically calculated dose.

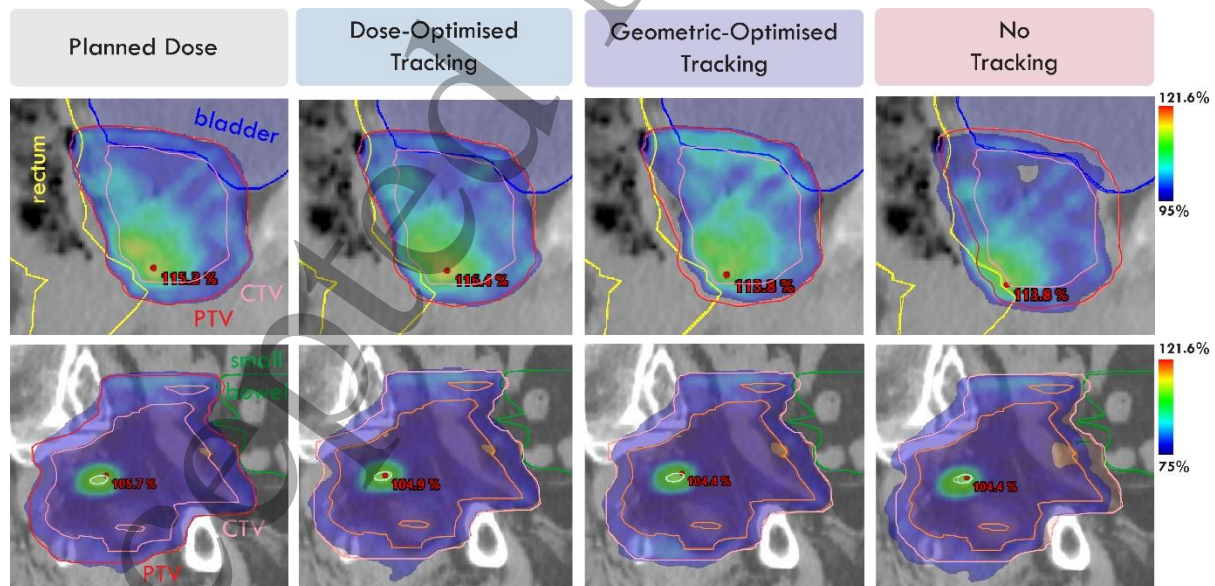


Figure 8. An example of the differences in delivered dose compared to the planned dose, calculated using the clinical treatment planning system for dose-optimised tracking, geometric-optimised tracking, and no tracking. The dose to the prostate is shown in the top

row and the dose to the lymph nodes is shown in the bottom row. The dose wash was normalised where 100% is equal to the prescription dose.

Discussion

In this study, a novel multi-target MLC tracking method was developed by optimising for the delivered 3D dose during treatment. Dose-based multi-target MLC tracking means that the prescribed dose can be delivered to targets that move independently from each other, paving the way for improved dose conformity and normal tissue sparing for locally advanced cancer patients. This dose-optimised multi-target tracking method was evaluated through simulated treatments and compared to previously implemented methods including geometric-optimised MLC tracking, and the standard of care. The results demonstrated that an MLC tracking method that optimises the MLC leaves to correct for the real-time dose to two independently moving volumes could be used to adapt treatment for multiple targets. This study also demonstrated that dose delivered using dose-optimised tracking would be closer to the planned dose distribution compared to both geometric-optimised tracking and no tracking.

Dose-optimised multi-target tracking had the lowest mean γ -failure rate when comparing the planned and delivered doses calculated in the TPS for both the prostate and lymph nodes. Dose-optimised multi-target tracking was also found to have the lowest γ -failure rate for all three motion traces with the exception of the prostate with the small prostate motion trace (Figure 3 (a)), where the no tracking method had the lowest γ -failure rate. This was due to the difference in patient set-up for the two methods. When MLC tracking was used the patient was set up to the lymph nodes so both interfraction and intrafraction prostate motion had to be corrected. When no tracking was used, the patient was set up to the prostate. The intrafraction prostate motion for this motion trace was small, so the dosimetric error in the prostate for the no tracking strategy remained low. However, the no tracking strategy instead resulted in large dosimetric error to the lymph nodes. Due to the

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3 time delay between patient set-up and irradiation during real patient treatments, we may also
4 expect the prostate to have deviated away from the zero position at the start of the first
5 treatment arc, which would lead to larger dosimetric error to the prostate when motion is not
6 tracked. Future real-time multi-target adaptation methods could be combined with online
7 adaptive radiotherapy techniques to correct for the relative interfraction displacements
8 between the primary target and lymph nodes [33,34].
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10
11 Geometric-optimised multi-target tracking was able to reduce the overall mean
12 dosimetric error compared to no tracking, but was found to have higher γ -failure rates
13 compared to dose-optimised multi-target tracking for all three motion traces. As shown in
14 Figure 8, dose-optimised multi-target tracking did not result in overdosing in the region
15 where the prostate and lymph nodes overlap, which can be seen when geometric-optimised
16 multi-target tracking was used and was a notable limitation of the multi-target MLC tracking
17 method that was observed previously [12]. There are still physical challenges with optimising
18 the dose to multiple targets simultaneously by using the MLC leaves to correct for dose in the
19 2D BEV plane. Areas where the targets overlap in the BEV and are covered by the same leaf
20 pair will be limited by what can best be achieved with a new MLC leaf position. While it is
21 not guaranteed that all dose errors can be eliminated, dose-optimised tracking was still able to
22 deliver a dose that was more consistent with the plan compared to the previous methods for
23 both targets.
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48 Clinically relevant dose metrics from the reconstructed doses were also analysed
49 (Figure 7). Dose-optimised multi-target tracking had the smallest deviation from the plan for
50 the prostate CTV $D_{98\%}$ and prostate PTV $D_{95\%}$, and the differences from the plan for the
51 lymph node CTV $D_{95\%}$ and PTV $D_{90\%}$ were small for all three treatment strategies. One of the
52 aims of developing a dose-optimised multi-target tracking strategy was to reduce the
53 overdosing to the organs at risk (OARs). However, these improvements were not seen, with
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3 higher doses to the bladder $V_{50\%}$ and rectum $V_{50\%}$ when using dose-optimised multi-target
4 tracking compared to geometric-optimised multi-target tracking This was likely a result of the
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6 dose-optimisation algorithm only considering the dose points that exist within the PTVs,
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8 ignoring dose errors that occur outside of these regions, including the OARs. As the planned
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10 dose distribution to the OARs is determined by their proximities to the targets in the planning
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12 CT, delivering a dose that is consistent with what was planned to a target that has now
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14 undergone relative motion to the OAR will result in a dose to the OAR that is not consistent
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16 with was planned. Instead, future improvements to the dose optimisation algorithm should
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18 include the OARs in the dose point cloud and avoid overdosing to the OARs by considering
19
20 the clinical dose-volume constraints, optimising the adapted leaf positions to ensure that
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22 while target dose coverage is achieved, the planning constraints for the OARs are not violated
23
24 during treatment. This would require a more advanced version of the dose-optimisation
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26 algorithm where future gantry angles are also considered to determine the optimal MLC
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28 apertures that would allow for OAR avoidance given the updated anatomy. Additionally, the
29
30 current method assumes that the targets undergo rigid translation, so a more complex motion
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32 model that includes organ deformation could be used to capture the motion of the OARs
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34 more accurately in the vicinity of the prostate target. However, without intrafraction
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36 volumetric images, the dose cannot be optimised to the actual anatomy and assumptions
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38 based on the anatomy in the planning CT will have to be made.
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48 Similar to the doses analysed in the clinical TPS, the γ -failure rates for the fast dose
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50 calculations were found to be lowest for dose-optimised tracking overall, and the relative
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52 performance of each tracking method in Figure 6 showed similar trends to the results in
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54 Figure 4. The overdosing to the targets near the overlapping region seen in Figure 5 for
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56 geometric-optimised tracking was also reflected in Figure 8 where a higher dose can be seen
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58 near the base of the prostate when using geometric-optimised tracking, as well as some loss
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3 in coverage to the posterior part of the prostate PTV. However, the dosimetric errors
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5 observed using the clinically calculated dose were slightly higher compared to the fast dose
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7 calculations. This suggests that there may be some contribution to the error resulting from
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9 using a fast dose calculation to guide the adapted MLC leaf optimisation. It should also be
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11 noted that some differences in the observed γ -failure rates may also be a result of the different
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13 voxel resolutions between the two dose calculation methods (a staggered grid with a 2 mm
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15 spacing for the dose point cloud and a $2.5 \times 2.5 \times 2.5$ mm³ dose grid size for the clinical dose
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17 calculation).
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23 Previous methods have investigated adapting treatment decisions in response to the
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25 dose accumulated during the treatment. Wisotzky *et al.* [35] investigated MLC aperture
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27 optimisation based on the accumulated errors throughout the treatment, however, this study
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29 was limited to correcting for 2D under- and over-exposure for conformal and intensity-
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31 modulated radiotherapy (IMRT). Kontaxis *et al.* [36,37] similarly developed an algorithm to
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33 adapt step-and-shoot IMRT treatment to anatomical changes but optimised the fluence map
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35 for each MLC segment based on a 3D dose calculation. While Kontaxis *et al.* applied a
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37 similar principle to that used in the current study, their method did not occur on a sub-second
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39 timescale and would not be suitable for VMAT treatment. Muurholm *et al.* [38] achieved
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41 improved dosimetric accuracy through couch corrections guided by dose accumulated to the
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43 treatment target, but were limited by rigid translations of the target to correct for dose error
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45 and interruptions to treatment. Kamerling *et al.* [39] demonstrated real-time dose
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47 reconstruction that calculated the doses delivered to a moving target volume with MLC
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49 tracking for lung stereotactic body radiation therapy, however this dosimetric information
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51 was not fed back into the MLC tracking algorithm to guide treatment adaptation.
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57 This study reported the first analysis of the performance of dose-optimised MLC
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59 tracking using clinical dose calculations in a TPS. While dosimetric errors did slightly
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3 increase for the clinical dose analysis, dose-optimised tracking was still found to have the
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5 lowest γ -failure rates, suggesting that although the fast dose calculation only models zeroth-
6
7 order dose deposition, it was still sufficient to perform dose-optimised tracking and improve
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9 treatment over the other methods. However, the dosimetric accuracy of this algorithm could
10
11 be further improved by using a more advanced dose calculation algorithm. Ravkilde *et al.*
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13 [40] have developed a fast real-time dose calculation method based on the use of a simplified
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15 version of a pencil beam convolution algorithm [41-43] that was implemented in the Eclipse
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17 TPS. Real-time dose calculations performed using this method were shown to be accurate
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19 with mean differences between the real-time dose calculation and the measured doses of
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21 within $-1.5\% \pm 3.9\%$ for the cumulative dose [44]. Improved dose calculation algorithms with
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23 tissue density modelling may be necessary when applying dose-optimized adaptation
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25 methods for anatomical sites with higher heterogeneity such as the lung. Future fast dose
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27 calculation algorithms could also improve dose-optimized MLC tracking by accounting for
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29 additional factors such as varying field sizes and the depth of dose deposition. Implementing
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31 more realistic dose-calculations to guide MLC leaf optimisation may however require a
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33 compromise between dosimetric accuracy and computational time.
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41 Improvements to the MLC leaf optimisation method could also reduce the dosimetric
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43 errors observed in this study. Currently the dose-optimisation algorithm assigns equal weight
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45 to both overdosing and underdosing to the entire dose point cloud. Future developments to
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47 this algorithm could look to include additional dose points that discriminate between those
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49 belonging to the targets and the OARs and translate these dose points according to how the
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51 OARs move as well as the targets. This would allow for the algorithm to further penalise
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53 overdosing to the OARs and underdosing to the targets. This could potentially reduce the
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55 dosimetric errors to the bladder and rectum that was observed in Figure 7.
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3 One drawback to the dose-optimised MLC tracking method is that performance was
4 found to be dependent on the planned collimator angles. Originally the locally advanced
5 prostate cancer treatment plans were generated using angles of 10° , 350° and 0° , but dose
6 corrections performed in the BEV were not as effective due to the resulting geometry where
7 the direction of leaf motion was in the same plane of the gantry rotation. This limitation was
8 managed by replanning these patients with collimator angles of 45° and 315° as these angles
9 were found to be favourable to reduce the dosimetric error. However, these collimator angles
10 are not used in standard treatment and resulted in lower quality plans for target coverage and
11 OAR sparing, so a decision would have to be made whether the benefit gained by performing
12 dose-optimised tracking outweighs a potential reduction in plan quality. Dose-optimised
13 tracking should be further improved to allow for adequate dose error corrections independent
14 of the planned collimator angle. Despite the limitations of dose-optimised multi-target
15 tracking, of which there are pathways to overcome, the results with the dose-optimisation
16 method that has been implemented still demonstrated the ability to track multiple targets with
17 lower dose error compared to previous methods.
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38 **Conclusion**

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40 This study developed a novel multi-target MLC tracking method that optimised the MLC
41 leaves based on minimising the difference between the delivered and planned doses to
42 complex dynamic anatomy in real time. The results demonstrated that dose-optimised multi-
43 target MLC tracking was able to reduce dosimetric errors to two independently moving
44 targets. There is a desire for real-time adaptive radiotherapy to be transitioned into standard
45 clinical practice and dose-optimised multi-target tracking provides one pathway toward this
46 goal, offering a unique solution that is accessible on current treatment machines with the
47 potential to further improve patient outcomes.
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Acknowledgements

The authors acknowledge funding from the Cancer Council NSW (1165097). The authors would also like to thank Dr Helen Ball and Dr Hilary Byrne for reviewing the manuscript.

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