Towards improved 3D carotid artery imaging with Adaptive CaRdiac cOne BEAm computed Tomography (ACROBEAT)

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1 Abstract

2 Purpose: Interventional treatments of aneurysms in the carotid artery are increasingly being 3 supplemented with 3D x-ray imaging. The 3D imaging provides additional information on device sizing 4 and stent malapposition during the procedure. Standard 3D x-ray image acquisition is a one-size fits all 5 model, exposing patients to additional radiation and results in images that may have cardiac-induced 6 motion blur around the artery. Here, we investigate the potential of a novel dynamic imaging technique Adaptive CaRdiac cOne BEAm computed Tomography (ACROBEAT) to personalize image 7 8 acquisition by adapting the gantry velocity and projection rate in real-time to changes in the patient's electrocardiogram (ECG) trace. 9

Methods: We compared the total number of projections acquired, estimated carotid artery widths and 10 image quality between ACROBEAT and conventional (single rotation fixed gantry velocity and 11 acquisition rate, no ECG-gating) scans in a simulation study and a proof-of-concept physical phantom 12 experimental study. The simulation study dataset consisted of an XCAT digital software phantom 13 14 programmed with five patient-measured ECG traces and artery motion curves. The ECG traces had average heart rates of 56, 64, 76, 86 and 100 bpm. To validate the concept experimentally, we designed 15 16 and manufactured the physical phantom from an 8mm diameter silicon rubber tubing cast into Phytagel. 17 An artery motion curve and the ECG trace with an average heart rate of 56 bpm was passed through the 18 phantom. To implement ACROBEAT on the Siemens ARTIS pheno angiography system for the proof-19 of-concept experimental study, the Siemens Test Automation Control System was used. The total 20 number of projections acquired and estimated carotid artery widths were compared between the 21 ACROBEAT and conventional scans. As the ground truth was available for the simulation studies, the 22 image quality metrics of Root Mean Square Error (RMSE) and Structural Similarity Index (SSIM) were 23 also utilized to assess image quality.

- <u>Results:</u> In the simulation study, on average, ACROBEAT reduced the number of projections acquired
 by 63%, reduced carotid width estimation error by 65%, reduced RMSE by 11% and improved SSIM
 by 27% compared to conventional scans. In the proof-of-concept experimental study, ACROBEAT
 enabled a 60% reduction in the number of projections acquired and reduced carotid width estimation
 error by 69% compared to a conventional scan.
- <u>Conclusion:</u> A simulation and proof-of-concept experimental study was completed applying a novel
 dynamic imaging protocol, ACROBEAT, to imaging the carotid artery. The ACROBEAT results
 showed significantly improved image quality with fewer projections, offering potential applications to
 intracranial interventional procedures negatively affected by cardiac motion.
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34 Keywords: cardiovascular, adaptive, CBCT, imaging, intervention

1 I. Introduction

2 It is estimated that 10-12 million people in the United States have an intracranial aneurysm [1]. Fortunately, the majority of these aneurysms are small, resulting in 50-80% of all aneurysms remaining 3 4 intact for the duration of a person's life [2]. However for those that rupture, subarachnoid haemorrhage 5 occurs [3, 4], resulting in high mortality rates (45% at 30 days) and a noticeable increase in disability 6 rates among the surviving patients (~30%) [5]. Common treatment techniques for intracranial aneurysms include microsurgical clipping [6], endovascular coiling [7] and flow diversion [8]. All three 7 8 techniques rely heavily on intraprocedural imaging to guide the procedure. Most commonly, 2D digital 9 subtraction angiography (DSA) is used to characterize the aneurysm and surrounding arteries and blood 10 vessels before, during and after the procedure. However, the information provided by 2D DSA images 11 is not always sufficient to assess stent position or adaption of the stent struts to the vessel wall (also 12 known as malapposition), which can lead to stroke related complications [9]. To supplement the existing imaging protocols, in-room intraprocedural 3D cone beam computed tomography (CBCT) imaging is 13 14 being utilized to aid in deciding the course of treatment once the procedure has begun [10]. Examples 15 of the added benefit of intraprocedural 3D imaging include enabling the identification of previously 16 unseen malapposition of embolization devices during flow diversion procedures [11] and providing 17 adequate visualisation of stent struts during stent-assisted coil embolization [12].

Single sweep, non-ECG gated DynaCT (Siemens Healthcare GmbH, Erlangen Germany) acquisitions 18 are some of the 3D imaging protocols used during endovascular coiling and flow diversion procedures 19 20 [10-14]. For these procedures, the 3D image scan occurs in a single sweep of the gantry with constant 21 gantry rotation velocity and projection acquisition rate. The scan acquires evenly spaced projections 22 over a 200° scan range, irrespective of the patient's cardiac cycle. On modern imaging systems, DynaCT 23 scans can be completed quickly, with a scan time as short as 4 seconds. However, using computer 24 simulations of blood-flow and vessel mechanics, it has been shown that for an artery with diastolic 25 diameter of 6.2 mm, the artery will expand up to 16% over the course of the cardiac cycle, leading to a 26 maximum diameter of 7.2 mm or 1 mm perturbation [15, 16]. Therefore, by not taking into 27 consideration the patient's cardiac rate and imaging indiscriminately throughout the cardiac cycle, the 28 reconstructed image may have reduced quality due to the presence of cardiac-induced motion blur 29 around the artery. An example of imaging the carotid artery using a conventional acquisition is provided 30 in Figure 1. Limiting cardiac-induced motion blur during image acquisition may further improve 31 device/artery visualization, providing more information to aid in decision making during procedures.

Typically, x-ray imaging is a trade-off between radiation delivered to the patient and image quality.
Previously, we have developed a dynamic imaging protocol known as Adaptive CaRdiac cOne BEAm
computed Tomography (ACROBEAT) that adapts the imaging hardware (gantry velocity and
projection rate with changes in a patient's electrocardiogram (ECG) signal), only acquiring individual

- 36 x-ray projections within a defined acquisition window of the cardiac cycle as required, shown in Figure
- 37 1. In simulation studies ACROBEAT has demonstrated its potential to significantly reduce the total
- 38 number of projections and simultaneously improve image quality by reducing cardiac motion blur [17,
- 39 <mark>18].</mark>



Figure 1. Carotid artery imaging via (A) ACROBEAT and (B) conventional acquisition.

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Here, we use ACROBEAT to adapt the image acquisition to the patient's real-time ECG signal to reduce
motion blur in carotid artery imaging. We will estimate the reduction in the total number of projections
acquired and improvement in the carotid artery width measurements and image quality compared to
currently utilized clinical practices for carotid artery imaging.

47 II. Materials and Methods

We compared the total number of projections acquired, estimated carotid artery widths and image
quality between ACROBEAT and conventional (single rotation fixed gantry velocity and acquisition
rate, no ECG-gating) scans in a simulation study and a proof-of-concept physical phantom experimental
study.

52 A. <u>Acquisition Protocols</u>

53 A.1 Adaptive CaRdiac cOne BEAm computed Tomography (ACROBEAT)

ACROBEAT is a dynamic imaging protocol that adapts the gantry velocity and projection acquisition
rate of the imaging hardware with respect to changes in a patient's physiological signals. Previously,
ACROBEAT has been used to simulate the real-time dynamic adaption of the image acquisition of
clinical CBCT imaging systems using either a patient's cardiac signal [17] or the patient's cardiac and

respiratory signals [18]. The details of the decision algorithm controlling ACROBEAT are detailed
elsewhere [17]. In the present work, ACROBEAT uses the patient's cardiac signal on a robotic C-arm

- 60 CBCT system.
- The primary aims of utilizing ACROBEAT for 3D imaging of the carotid artery are to reduce the total 61 62 number of projections and maintain or improve image quality compared to the currently available inroom 3D imaging protocols. It is proposed that the total number of projections can be reduced by 63 ensuring projections are only acquired within the desired acquisition window and that image quality 64 can be improved by ensuring all projections are acquired with even angular spacing, Figure 2. Previous 65 simulation studies have investigated the influence of the total number of projections acquired on total 66 scan time and image quality for a variety of heart rates. These studies have shown that improvements 67 in the image quality are observable via an increase in image sharpness (through the metric Edge 68 69 Response Width) with as few as 40 projections (angular spacing of 5°) [18]. Further, image sharpness 70 was also shown to not significantly improve when more than 100 projections (angular spacing of 2°) 71 [17] were acquired. Therefore, for the simulation study and experimental test case, we aim to acquire 72 100 evenly spaced projections within the desired acquisition window.



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Figure 2. Dynamic imaging with ACROBEAT. The gantry trajectory (black) and timing of the projection
acquisition (red circles) is adapted to the patient's ECG signal (bottom panel) as it evolves in real-time.

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As we are only concerned with generating 3D images, a single acquisition window within each cardiac

78 cycle is considered. The precise location of the acquisition window within the cardiac cycle is dependent

on the desired application, with previous studies identifying the ideal time through the R-R cycle where

80 motion of specific heart structures is minimized for various average heart rates [19-21]. Here, we select

the 60-80% window for the ACROBEAT scans [17, 18].

In its current implementation, ACROBEAT uses previous cardiac cycles in a 5 second rolling window to predict future cycles. The 5 second rolling window has proven sufficient for a range of heart rates in our previous simulation studies [17, 18], including considering the effect of arrhythmic heart rates on the algorithm's performance. Note however, if the heart rate remains irregular for a long period of time, the scan would be aborted. To optimize the threshold for irregularity leading to an aborted scan would

- 87 require a study to be completed with human volunteers.
- 88 In an idealized case where a patient's heart rate is constant, the ACROBEAT algorithm can ensure that 89 all projections are acquired and that they have the required angular separation. However, this cannot be ensured with real patient ECG traces due to the ever-changing nature of a patient's heart rate and a strict 90 91 condition that ensures all projections acquired reside within the designated acquisition window. The 92 strict acquisition condition is implemented to help ensure the highest possible image quality, but the 93 condition also leads to an increase in scan time. Instead of acquiring discriminately throughout the entire cardiac cycle, by only acquiring within the specified acquisition window, ACROBEAT needs to see 94 95 more cardiac cycles to ensure complete angular coverage over the scan range, leading to an increase in 96 scan time. Overall, the total scan time of an ACROBEAT scan is dependent on multiple factors 97 including the patient's heart rate, scan parameters (e.g. length of the acquisition window and angular 98 separation between projections) and mechanical constraints of the system.

99 A.2 Conventional

100 Comparatively, the conventional scan considered is based on the clinically available *syngo* DynaCT 101 protocol (Siemens Healthcare GmbH, Erlangen, Germany). A *syngo* DynaCT, referred to throughout 102 as the conventional scan, has constant gantry velocity and projection acquisition rate. It acquires 248 103 evenly spaced projections over a 200° scan range in 4 seconds, acquiring irrespective of the cardiac 104 signal.

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B. Simulation Study using a Digital Phantom (XCAT)

106 The Siemens ARTIS pheno (Siemens Healthcare GmbH, Erlangen, Germany) is a robotic CBCT imaging system for interventional imaging. The simulated ACROBEAT and conventional scans are 107 performed within the listed mechanical constraints and acquisition parameters of this system. Of 108 specific interest for ACROBEAT is the gantry rotation properties. Namely, that the gantry can 109 accelerate and decelerate up to 200°/s⁻² and rotate at 90°/s, enabling ACROBEAT to complete its 110 unique gantry movements, Figure 2. The maximum velocity reached by the gantry during an 111 112 ACROBEAT scan is dependent on the patient's heart rate to ensure that all the required movements of the gantry can be completed within the timeframe of a single cardiac cycle. 113

114 XCAT is a digital software phantom that simulates realistic anthropomorphic anatomy and physiology115 [22]. The XCAT has inbuilt motion models that allow replication of breathing and cardiac motion on

116 organs and anatomy in the thorax region. However, there are no inbuilt motion models available for anatomy in other regions of the body. As such, expansion and contraction of the carotid artery had to 117 be completed manually. An example of the anatomically labelled volume X_{label} that was generated in 118 XCAT alongside a volume with accurate absorption coefficients X_{static} , representing the carotid artery 119 as it appears in the XCAT with no cardiac induced motion is shown in Figure 3 (A). All volumes, 120 including the reconstructions, consist of $256 \times 200 \times 256$ voxels of size $1 \times 1 \times 1$ mm³. Absorption 121 coefficient in the carotid artery was a_{contrast} to simulate the injection of iodine contrast agent during the 122 scan. The carotid arteries were extracted from X_{label} to form a mask volume M_{static} where $M_{static} = 1$ at 123 voxels containing the carotid and $M_{static} = 0$ elsewhere. In order to simulate the radial expansion of the 124 carotid artery throughout the cardiac cycle, a spherical kernel k_r was formed with radius r = 0.5 mm and 125 convolved with M_{static} to form $M_{expand} = M_{static} * k_r$. A radius of 0.5 mm was found to be the maximum 126 radial displacement of the carotid in previous studies [23, 24]. Note that $0 < M_{expand} < 1$ in voxels only 127 partially containing the wider carotid. A new volume with wider carotid was formed, labelled as Xexpand 128 that has carotid arteries with at most 1 additional voxel with absorption $a_{contrast}$ on the boundary of the 129 130 carotid in *X_{static}*, Figure 3 (B).





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A new XCAT volume was generated for every projection required in the simulation study. The width 135 of the carotid arteries in each volume was calculated by applying a scaling factor to the mask volume 136 M_{expand} , $\alpha_i \sim \mathcal{N}(\mu_i, \sigma_i^2)$ that was drawn from a normal distribution where μ_i and σ_i^2 corresponding to 137 cardiac phase ϕ_i were taken from single wall displacement data presented by Au et al. [23, 24], as 138 shown in Figure 4. We set $\alpha_i = 0$ or $\alpha_i = 1$ when $\alpha_i < 0$ or $\alpha_i > 1$ respectively to ensure minimal and 139 maximal radial displacements found in Au et al. [23, 24] were not exceeded. The ground truth volume 140 generated from the XCAT phantom, $X_{GT,j}$, from which p_j was calculated as $X_{GT,j} = (1 - \alpha_j)X_{static} +$ 141 142 $\alpha_i X_{expand}$ which represents the summation of the XCAT phantom, X_{static} , with the expanded carotid, Xexpand. Note that for the ACROBEAT acquisitions we are trying to reconstruct the carotid 143

during the 60-80% cardiac phase window so $X_{GT,j} = 0.86X_{static} + 0.14X_{expand}$ from Au et al. [23, 145 24].

Five ECG traces were sourced from the "Combined measurement of ECG, Breathing and 146 Seismocardiogram" (CEBS) database [25, 26]. The traces were selected to represent the closest heart 147 rate to the center of the ranges spanning 50-60 bpm, 60-70 bpm, 70-80 bpm, 80-90 bpm and 90-100 148 bpm. The CEBS database contains conventional ECG signals and respiratory signals obtained from a 149 thoracic piezoresistive band and seismocardiograms from 20 healthy volunteers laying in supine 150 position, awake, on a single bed. The ECG traces had average heart rates of 56, 64, 76, 86 and 100 151 152 bpm, corresponding to traces M007, M004, M017, M016 and M008 respectively. For simplicity, these traces will be referred to as the $\overline{56},\overline{64},\overline{76},\overline{86}$ and $\overline{100}$ bpm traces respectively. These traces were 153 154 passed through the ACROBEAT and conventional acquisition protocols (detailed in section 2.A) with the angles θ and cardiac phase φ calculated for each projection p_i . Projections for each protocol and 155 ECG trace were simulated at a tube voltage of 90 kV as $p_j \sim \mathcal{P}(I_0 e^{(-A_j X_{(GT,j)})})$ where the noise is 156 simulated by a Poisson process, \mathcal{P} , with a simulated photon count of $I_0 = 30,000$ and A_i is the forward 157 projection matrix at angle θ_i implemented in the Reconstruction Tool Kit (RTK) [27]. The addition of 158 noise in each projection is to ensure a realistic simulation of a CBCT acquisition. While the noise will 159 contribute to the blurring of the artery edge (used to calculate the width of the carotid artery), the cardiac 160 induced motion remains the dominating factor in the blurring of the artery edges. We simulated 161 projections with Source-Isocenter Distance (SID) of 785 mm and Source-Detector Distance (SDD) of 162 163 1300 mm to a 624×464 pixel detector with pixel width 0.64 mm. This is the same data simulation 164 scheme used in earlier CBCT simulation studies [40,41] adjusted for the ARTIS pheno c-arm geometry.



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Figure 4. Expanded carotid artery width versus cardiac phase. Mean width as solid blue line, standard deviationconfidence interval as dashed line. This is a reproduction of the results derived in Au et al. [23, 24].

C. Proof-of-concept Physical Phantom Study on the ARTIS pheno

To demonstrate the feasibility of conducting ACROBEAT scans on a clinical imaging system for carotid artery imaging, a proof-of-concept physical phantom experiment study was completed. In order to implement ACROBEAT on a clinical imaging system, a research agreement with Siemens Healthcare GmbH, Erlangen, Germany was established to provide real-time access to the control system of the robot (detailed in section C.1). A simplistic physical artery phantom was designed and manufactured to facilitate the proof-of-concept scans (detailed in section C.2).

175 C.1 Unique Robotic Cone Beam Imaging System

To enable real-time control of the Siemens ARTIS pheno, the Siemens Test Automation Control System 176 (TACS) was used, Figure 5. The TACS enables control of the Control Module of the Siemens ARTIS 177 178 pheno via software commands. The Control Module is comprised of individual modules responsible for 179 controlling the movements of all the individual components of the system. Of specific interest to this 180 work is the Pilot Control Module, which is responsible for controlling the movements of the stand and 181 C-arm. Commands to update the stand and C-arm position with the TACS were sent via a C# DLL, Figure 5. These software commands effectively replicate the joystick control available on the physical 182 183 Pilot Control Module attached to the ARTIS pheno in the examination room and in the control room. Additionally, the real-time position of the gantry is provided by a Siemens issued Research Interface 184 computer, Figure 5. It should be noted that installation of the TACS voids the CE label of the ARTIS 185 186 pheno with our ARTIS pheno dedicated to research only.

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For safety reasons, the maximum gantry rotation velocity using the TACS is 20°/s; this is substantially slower than the rotation speed of normal 3D acquisitions, which is 90°/s. Due to this limited rotation speed, the ACROBEAT scans are not able to acquire multiple projections within the desired acquisition window each cardiac cycle as proposed previously [17] and in the current simulation study, Figure 2. Instead, a single projection per cardiac cycle is acquired, with the gantry rotating clockwise at a slow but variable speed. This significantly increases the total scan time of the ACROBEAT scans in the current implementation but still provides sufficient proof-of-concept.

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To align with the simulation study, we aim to acquire 100 evenly spaced projections within the desired acquisition window over the 200° scan range. The total time of the scan is dependent on the patient's heart rate, with higher heart rates corresponding to shorter scan times. As we could only acquire one projection per cardiac cycle, the scan time was the length of 100 cardiac cycles.



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Figure 5. Experimental set up for undertaking carotid artery imaging with ACROBEAT.

The ACROBEAT system also modulates projection acquisition. In order to acquire projections when 204 required, we used the ECG-gating port of the ARTIS pheno. The ECG gating port of the ARTIS pheno 205 allows digital signals representing the detection of the QRS-complex of an ECG trace to be directly 206 207 passed to it. For the ACROBEAT scans we selected the CORO acquisition protocol (90 kV, 24 mAs) 208 with 'ECG-gated' as the acquisition frame rate. In general, selection of 'ECG-gated' as the frame rate 209 on a protocol allows the user to specify the location and length of projection acquisition within the 210 cardiac cycle. Specifically, the Cardiac Phase Center (CPC) marks the delay time after the QRS complex is detected in percentage of the cardiac cycle (0-100) and the Cardiac Phase Width (CPW) defines the 211 212 time duration in percentage of the cardiac cycle (0-100) either side of the CPC where the projection acquisition at the desired projection acquisition rate will occur. Under normal operating procedures, an 213 example of a standard ECG-gated frame rate acquisition for a patient with a heart rate of 60 bpm with 214 CPC = 70 and CPW = 10 (i.e. an acquisition window spanning 60-80% of the cardiac cycle) with a 215 projection acquisition frame rate inside the CPW of 15 projections/second would result in 3 projections 216 being acquired every cardiac cycle. To allow the projection acquisition to occur as required by the 217 218 ACROBEAT scans, we selected CPC = 0 and CPW = 0, corresponding to allowing a single pulse acquisition to occur when a digital trigger is received at the ECG gating port. Specifically, a digital 219

trigger is sent from the microcontroller (Figure 5) running the ACROBEAT software monitoring the
ECG signal at the required time (i.e. at 70% the cardiac cycle), enabling the projections to be acquired
as required.

Finally, to ensure a fair comparison between ACROBEAT and conventional acquisition, the 223 224 conventional acquisition was also implemented using the TACS and a CORO ECG-gated protocol (90 kV, 24 mAs) on the ARTIS pheno. The conventional protocol implemented using the TACS acquires 225 248 projections at a constant rate over a 200° arc with constant velocity, resulting in a scan time of 8.3 226 227 s. Note this is almost double the scan time of the clinically available protocol simulated in Section 2B. As both the ACROBEAT and conventional scans have longer scan times compared to the simulation 228 229 study, there will be an increase in the amount of artery motion observed. Further differences between 230 the experimental implementation of the ACROBEAT and conventional scans are expanded in the 231 discussion.

232 C.2 Physical Artery Phantom

233 A photograph of the simplistic physical artery phantom constructed for the proof-of-concept 234 experimental study is provided in Figure 6 (A). Here, the expansion of the artery was accomplished by pumping water mixed with an iodine contrast agent through a silicon rubber tube, (Gecko Optical) with 235 236 an inner diameter of 7 mm and outer diameter of 8 mm and was 50 mm in length, that was encased in 237 Phytagel (Sigma Aldrich CAS 71010-52-1). More specifically, a single chamber test cell, orange outline 238 in Figure 6, was constructed to encase the artery and tissue phantom. The inner cell, green outline in 239 Figure 6, dimensions were 80 mm \times 30 mm \times 50 mm with a wall thickness of 5 mm. Two 3 mm diameter holes were drilled through both ends of the cell and barbs were fitted so that both the motor 240 and reservoir connection tubes could be attached. A carotid artery and tissue phantom were created 241 242 using silicon rubber tubing cast into Phytagel. The silicon rubber tubing was affixed to barbs on either side of the test cell. The tissue phantom was created by mixing 100 mL of distilled water and 2 g of 243 Phytagel into a 500 mL beaker. The phantom mixture was heated and mixed to 90 °C and subsequently 244 245 cooled to 80 °C before it was transferred into the test cell. The gel was allowed to cool to room temperature overnight and then the top plate of the test cell was fitted. 246

247 The carotid artery control system comprised of a laptop (MacBook Pro 2015, Apple, CA, USA), main controller board (Arduino Mega) and a motor control daughter board (Arduino Uno). The laptop 248 249 interfaced to the main controller board via UART at 115200 baud enabling the communication of both 250 an ECG and motion profile signal. On the main control board, the ECG signal was generated by 251 converting it to a 12-bit analogue signal and outputting it on a cable. The main control board also 252 forwarded the motion waveform to the daughter board via UART at 115200 baud which was subsequently converted to a PWM signal which controlled a 12 V NUZAMAS, NEW 12V High 253 Pressure Diaphragm Self Priming Water Pump (Model-BR-3800). Using 2D fluoroscopic images 254

- acquired from a static position directly above the phantom with a frame rate of 10 fps, the physical
- artery phantom experiences a diameter expansion of 0.7 mm over the course of the cardiac cycle. The
- silicon tube is under pressure from both the water/iodine mixture being pumped through the tube and
- surrounding Phytagel, resulting in an elliptical expansion rather than circularity expansion of the tube.
- As such, up to 2 mm of diameter expansion over the cardiac cycle is experienced in some planes. An
- 260 iodine contrast agent was used as a blood surrogate and pumped through the carotid artery and tissue
- 261 phantom and discharged into a catchment reservoir.



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Figure 6. Physical artery and tissue phantom. Orange highlighted region indicates the test cell and the green
 highlighted region shows the inner cell housing the silicon tube embedded in Phytagel.

D. Artery Width Measurement

The data from each acquisition\trace pair acq, bpm were reconstructed using the Feldkamp-Davis-Kress (FDK) [28] algorithm implemented in the Reconstruction ToolKit (RTK) [27]. We used a Hann filter with frequency cut off of 0.9 and sinogram padding of 4 pixels to produce the 10 $X_{acq,bpm}$ volumes.

- Artery width was estimated semi-automatically to reduce bias in the results. The *N* voxel values $x_{uw,acq,bpm}$ corresponding to a $w \times h \times l$ mm Region-of-Interest (ROI) subvolume of $X_{acq,bpm}$ were automatically windowed as $x_{acq,bpm} = \hat{a}x_{uw,acq,bpm} + \hat{b}$ where $(\hat{a}, \hat{b}) = \min_{a,b} \{ \|x_{GT} - (ax_{uw,acq,bpm} + b)\|_2^2 \}$ and x_{GT} is a vector of ground truth voxel values in the ROI. The $n_{acq,bpm}$ voxels corresponding to carotid were found by histogram segmentation, giving the carotid volume as $V_{acq,bpm} = n_{acq,bpm} \text{ mm}^3$.
- In the simulation study, the carotid was modelled as two cylinders in the ROI each with length *l* and volume $\frac{1}{2}n_{acq,bpm}$ mm³. The carotid width was estimated as $w_{acq,bpm} = \sqrt{\frac{2n_{acq,bpm}}{\pi l}}$ mm. In the

phantom study, the carotid was modelled as a single cylinder in the ROI with length *l* and volume n_{acq} mm³, giving a width estimate of $w_{acq} = \sqrt{\frac{4n_{acq}}{\pi l}}$ mm.

E. <u>Image Quality Metrics</u>

As the ground truth was available for the simulation studies, the image quality metrics of Root Mean Square Error (RMSE) and Structural Similarity Index (SSIM) were also utilized to assess image quality. RMSE was calculated as $RMSE(x_{acq,bpm}) = \frac{1}{\sqrt{N}} ||x_{acq,bpm} - x_{GT}||_2$. Additionally, SSIM was computed as $SSIM = \frac{(2\mu_{GT}\mu_{acq,bpm}+c_1)(2\sigma_{acq,bpm,GT}+c_2)}{(\mu_{GT}^2 + \mu_{acq,bpm}^2 + c_2)(\sigma_{GT}^2 + \sigma_{acq,bpm}^2 + c_2)}$ [29] where μ and σ^2 denote voxel value means and variances, $c_1 = (0.01L)^2$ and $c_2 = (0.03L)^2$ where $L = (2^{\text{bits per voxel}} - 1)$ is the dynamic range of the volumes (number of possible voxel values).

- 288 III. Results
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A. Simulation Study

For the $\overline{56}$, $\overline{64}$, $\overline{76}$, $\overline{86}$ and $\overline{100}$ bpm traces, the ACROBEAT scans took 35.6 s, 29.6 s, 22.6 s, 21.6 s 290 and 31.7 s and acquired 88, 93, 90, 87 and 103 projections respectively. As highlighted in the methods 291 section, the total scan time for an ACROBEAT scan is dependent on multiple factors including the 292 293 patient's heart rate, scan parameters (e.g. length of the acquisition window and angular separation between projections) and mechanical constraints of the system. For the first 4 traces ($\overline{56}$, $\overline{64}$, $\overline{76}$, $\overline{86}$ 294 bpm) the combination of heart rate, length of the acquisition window, required angular separation of 295 296 the projections and mechanical constraints of the system, allows 3 projections to be acquired in each 297 cardiac cycle. This allows an almost linear decrease in scan time with increasing heart rate. However, for the $\overline{100}$ bpm trace, only 2 projections can be acquired in each cardiac cycle. This results in a higher 298 scan time than the other heart rates despite the higher heart rate. Comparing the total number of 299 projections acquired using the two acquisition protocol, ACROBEAT enables an average reduction of 300 <mark>63%.</mark> 301

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A sample of the reconstructed 3D images from simulating ACROBEAT and conventional acquisitions for the $\overline{56}$ bpm patient measured trace are shown in Figure 7 (A). The remaining 4 traces show the same visual trends, with an observable increased width in the carotid artery due to not accounting for the induced cardiac motion during imaging, Figure 7 (B)-(E).



Figure 7. (A) Reconstructed 3D images (coronal view) showing the carotid arteries for ACROBEAT and
conventional scans for the 56 bpm trace. The coronal view of only the left carotid artery from the reconstructed
3D images for the ACROBEAT and conventional scans of the 64, 76, 86 and 100 bpm traces are shown in (B)
through (E) respectively.

The boxplots of the measured width of the carotid artery for all 5 traces from both the ACROBEAT and conventional acquisitions are provided in Figure 8 (A). There was no observable association between the average heart rate of the trace and the measured carotid width for ACROBEAT or the conventional acquisition. Across all 5 traces, ACROBEAT was able to lower the measured error in the carotid width, enabling a 65% reduction in carotid width measurement due to cardiac motion compared to a conventional acquisition, Figure 8 (B).

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The boxplots of the RSME and SSIM for all 5 traces from both the ACROBEAT and conventional
acquisition are provided in Figure 9. Compared to the conventional acquisition across all 5 traces,
ACROBEAT enables a reduction in the RMSE by 11% and improves the SSIM by 27%.



Figure 8. Boxplots of (A) the measured carotid artery width and (B) the measured carotid artery width error
(defined as the absolute difference between the estimated ground truth and the measured carotid artery width)
for all 5 traces using ACROBEAT (blue) and conventional (black) acquisition. For each box, the central line
indicates the median, with the top and bottom edges indicating the 75th and 25th percentiles. The whiskers

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identify the maximum and minimum values of the data set.



Figure 9. Boxplots of (A) the Root-Mean-Square-Error (RMSE) and (B) the Structural SIMilarity Index (SSIM)
for all 5 traces using ACROBEAT (blue) and conventional (black) acquisition. For each box, the central line
indicates the median, with the top and bottom edges indicating the 75th and 25th percentiles. The whiskers
identify the maximum and minimum values of the data set.

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B. Proof-of-concept Physical Phantom Experimental Study

Reconstructed 3D images from both imaging protocols are shown in Figure 10. The ACROBEAT scan acquired 100 projections, resulting in a 60% reduction in the total number of projections acquired compared to a conventional constant gantry velocity and projection pulse rate scan. Additionally, a

- scan visually showing a narrower artery. The artery width quantification process calculated the diameter
 to be 10.4 mm with the single rotation constant gantry velocity and projection pulse rate and 8.75 mm
 using with ACROBEAT, compared with the static value of 8 mm.
 - (A) ACROBEAT

(B) Constant gantry velocity and acquisition rate



Figure 10. The reconstructed 3D images from (A) ACROBEAT and (B) a constant gantry velocity and
 projection acquisition rate scan. The known diameter of the artery in the phantom is 8 mm. Intensity window
 display [0.15, 0.08] mm⁻¹.

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The total scan times increase from 8.3 s with the conventional single rotation constant gantry velocity and projection acquisition rate, to 103.2 s using ACROBEAT. The increase observed for both scans is due to the gantry velocity limits imposed when operating the ARTIS pheno with the TACS. However, the simulation studies are indicative of the scan times achievable with a dedicated imaging system.

350 IV. Discussion

The focus of this paper was imaging the carotid artery in the presence of cardiac pulsing in a simulation 351 352 study and a proof-of-concept physical phantom experimental study using the dynamic imaging protocol 353 ACROBEAT. In the simulation study, ACROBEAT was able to demonstrate its potential to reduce the 354 total number of projections acquired while improving image quality compared to a conventional 355 acquisition. Notably, it provides an average 63% reduction in the total number of projections acquired, across all patient measured traces considered. Further, ACROBEAT reduced the carotid artery width 356 estimation error by 65%, reduced the RSME by 11% and improved the SSIM by 27% compared to a 357 conventional acquisition. 358

In the proof-of-concept experimental study ACROBEAT was, for the first time, implemented on a clinical imaging system for applications in 3D artery imaging. ACROBEAT was again able to demonstrate its potential to reduce the total number of projections acquired and improve image quality compared to a conventional constant gantry velocity and projection pulse rate acquisition. Specifically, ACROBEAT enabled a 60% decrease in the total number projections acquired and a 21% decrease in the measured artery diameter compared to constant gantry velocity and acquisition rate acquisition.

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365 3D CBCT imaging continues to grow in popularity in the interventional suite, especially for neuro-

366 interventional procedures. Therefore, being able to provide an imaging protocol that has the potential

367 to reduce the total number of projections and improve image quality will have a positive impact on a

368 range of neuro-interventional procedures that utilize currently available 3D imaging [9, 30-32].

It should be noted that the amount of diameter expansion experienced by the physical artery phantom in the proof-of-concept experimental study was almost double (30%) what is reported in the literature (16%) [15, 16]. Unfortunately, the fidelity of the water pump used to deliver the pulsing within the carotid artery phantom was insufficient to allow precise control of the maximum change in diameter observed. Therefore, this proof-of-concept represents the worst case scenario with over 2 mm of diameter expansion within the carotid artery.

Further, for the experimental test case we ideally would have completed a direct comparison between ACROBEAT and the current commercially available DynaCT protocol on the Siemens ARTIS pheno. However, there is a significant amount of pre-processing that goes into both the individual 3D x-ray projections acquired and the final reconstructed volume that is not currently available to ACROBEAT projections and reconstructed volumes. This renders a direct comparison impossible. In future implementations, being able to access either the raw data or pre-processing would assist in improving the image quality of ACROBEAT scans and enable a direct comparison to clinically available protocols.

382 The current implementation of ACROBEAT on the Siemens ARTIS pheno via the TACS has notable 383 limitations. Most noticeable is the joystick control that limits the maximum gantry velocity achievable, with 100% deflection corresponding to approximately 20 °/s. This is significantly lower than the 384 385 maximum of 90 °/s for conventional acquisitions on the system. As a result, the scan times in the proof-386 of-concept experimental study are significantly longer than in the simulation study that used the mechanical constraints of the system operating normally. Specifically, the ACROBEAT scan time 387 388 increased almost 3 times from 35.6 s to 103.2 s and the conventional scan time increased from 4 s to 389 8.3 s from the simulation study to proof-of-concept experimental implementation. Further, the gantry velocity limitations also prevented us from identifying the optimal injection rate and contrast density 390 that ACROBEAT scans would utilize in the current implementation. The optimal injection rate and 391 392 contrast density will be considered in future studies. Overall, it is hoped that in future implementations,

having higher precision control over both the gantry position/velocity and x-ray projection acquisition
would further assist in improving the image quality of the ACROBEAT scans.

As ACROBEAT progresses along the translational pipeline and the potential for the control algorithm 395 396 to operate at the full capacity of the imaging system (i.e. matching the gantry velocity and acceleration 397 under conventional operation), additional considerations such as gantry flex/vibration and image lag need to be taken into account. Not accounting correctly for gantry flex/vibration in the image 398 reconstruction leads to artefacts that limit the image quality. To assist in mitigating these potential 399 deleterious effects in our study, all the simulation studies took into account gantry 400 acceleration/deceleration times to ensure a smooth gantry trajectory without sudden start/stops. To date 401 402 the experimental implementations have been at low gantry velocities and accelerations, and as such 403 these effects have not been noticeable. However, there is ongoing work in the literature addressing the 404 gantry flex/vibration effects. These can be characterized as either image-based methods (such as registering current 2D projection data to a previously acquired 3D image [33, 34]) or marker-based 405 406 methods (such as using fiducial markers [35] or using external cameras [36, 37]).

407 Currently, the focus of this work is imaging the carotid artery in the head and neck region. Within the 408 head and neck region, there is negligible respiratory motion with the main source of motion arising 409 from cardiac pulsing. However, if ACROBEAT is going to be used to imaging arteries and vessels in 410 the thorax, both respiratory and cardiac motion would need to be taken into consideration. Additionally, 411 it should be noted that any source of motion such as patient movement or swallowing, not just cardiac 412 motion, will also negatively affect image quality. These other sources of motion would need to be dealt with using complementary motion management techniques, such as gating based on surface monitoring. 413 Any additional motion management techniques may increase overall image acquisition time, which 414 415 would need to be balanced with operational expediency.

In future implementations, performance could be further enhanced by coupling the unique image
acquisition proposed by ACROBEAT with projection sharing techniques [38] and motion compensated
reconstruction techniques [39].

419 V. Conclusion

This study is the first application of a novel adaptive imaging protocol, ACROBEAT, outside of the
thoracic region. It shows that ACROBEAT has the potential to provide sharper and safer images for
intracranial interventional procedures negatively affected by cardiac motion.

423

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