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1 Experimental Assessment of Bolted Moment End-plate Connections

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12

13 ABSTRACT

14 The paper summarizes experiments recently conducted at the University of Sydney on bolted moment end-plate
15 connections. The overall context of this work is to obtain full-range moment-rotation curves of the connections
16 which can be used to validate analytical and numerical models. The full-range moment-rotation curve covers not
17 only the elastic and inelastic ranges but also the post-ultimate and post-fracture ranges. Thirteen specimens were
18 tested with failure modes being either end-plate bending failure or column web buckling failure. Results of all
19 experiments are provided in detail, including the full-range moment-rotation curves, observations of the two
20 failure modes and deformations of individual joint components. The ultimate resistance of the bending tests are
21 compared with those predicted by the AISC design guide and Eurocode3 Part 1.8 specification.

22

23 Introduction

24 It is well-known that semi-rigidity of joints can severely affect the strength and serviceability of unbraced steel
25 frames. Therefore, a large number of studies have been carried out to investigate the semi-rigid responses of
26 different types of beam-to-column joints (Bursi and Jaspart 1998; de Lima et al. 2004; Girão Coelho et al. 2004;
27 Simões da Silva et al. 2004; Cabrero and Bayo 2007; Grimsmo et al. 2015; Dai et al. 2018). Based on these studies,
28 many approaches have been proposed aiming at accounting for the inelastic response of joints under a variety of
29 loading scenarios (Kishi and Chen 1990, Simões da Silva et al. 2000, Del Savio et al. 2009). Research on
30 connections is now mature as far as initial semi-rigid stiffness and ultimate capacity are concerned, and has been

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31 incorporated into a consistent design framework in Part 1.8 of Eurocode3 which is based on the Component
32 Method (Weynand et al. 1996; CEN 2010). Eurocode3 also includes provisions for determining the inelastic
33 transition from the initial linear range to the ultimate capacity.

34 Studies on the post-ultimate response of joint are comparatively few. However, the post-ultimate performance
35 of joints is also of significant importance in structural analysis and design for the following reasons. Firstly, a
36 joint can sustain a considerable deformation in the post-ultimate range, and thus can absorb large amounts of
37 energy, provided the joint is designed to possess good deformation capability before final failure. Secondly, the
38 deformation capacity of joints is important because progressive collapse analyses are becoming increasingly
39 common in practice, and these require the deformation capacity of joints and members under large displacements
40 (Izzuddin et al. 2008; Vlassis et al. 2008). Thirdly, advances in computing power and analysis software over the
41 last decade have made it possible to design steel structural frames by computer without recourse to a structural
42 standard for individual member and joint checks (Zhang et al., 2016a; Zhang et al., 2016b). In this design-by-
43 analysis approach, the actual nonlinear full-range behaviour of joints is required such that the strength and
44 structural safety checks can be performed in a single step at system level. For these reasons, the post-ultimate
45 response of joints has drawn increasing attention among the engineering community recently. For example, a
46 component model using tri-linear springs has been proposed for modelling the full-range response of joints (Lewis
47 2010). Physical tests are indispensable in validating such models. Unfortunately, few experiments considering the
48 post-ultimate response of beam-to-column connections have been reported. This paper presents an experimental
49 program specifically aimed at obtaining the full-range moment-rotation response of a series of bolted moment
50 end-plate connections.

51 For bolted moment end-plate connections, one of the main components contributing to the joint rotation is
52 end-plate bending. The end-plate bending causes severe deformation at the extended part of the end-plate, leading
53 to the crack initiation propagation adjacent to the welds connecting the end-plate to the flange of the beam. Crack
54 propagation manifests itself as stages of abrupt reductions in resistance, as seen in several tests (Cabrero and Bayo
55 2007; Girão Coelho et al. 2004). Column web buckling is another main component contributing to the joint
56 rotation in end-plate connections with unstiffened column webs, and is a potential failure mode as recorded in
57 early tests (Chen and Oppenheim 1970). In practice, this failure mode is usually avoided by introducing web
58 stiffeners. Although web plate buckling may reduce the moment capacity, it presents a ductile mode of failure
59 with significant rotation capacity. However, there is limited knowledge of the full-range column web buckling
60 behaviour.

61 A total of 13 bolted moment end-plate connections with unstiffened column webs are tested in this study. The
62 tested connections are loaded far into the post-ultimate range, and two failure modes are expected, i.e., end-plate
63 bending failure and column web buckling failure. Two joint configurations are examined with four different
64 loading conditions applied, including major-axis bending, combined bending and axial force, minor-axis bending
65 and bi-axial bending. Full-range moment-rotation responses of all tested connections are recorded throughout the
66 experiments, as are the deformations of the end-plates and column webs.

67

68 **Experimental program**

69 *General*

70 Table 1 presents a summary of the 13 tests in this experimental program, and Fig. 1 shows the dimensions of the
71 connections. Only a single geometric parameter was varied, namely the thickness of the end-plate, so that the
72 failure modes and loads could be readily compared. The connections with a 10 mm thick end-plate were expected
73 to fail in the end-plate bending mode, while those with 20 mm end-plates, all strengthened by backing plates to
74 effectively prevent the end-plate from deforming at the tension face, were expected to fail in the column web
75 buckling mode. All four loading conditions were applied on both types of connections. One of the 10 mm end-
76 plate connections (No. 2 in Table 1) had a backing plate, in order to investigate the effect of backing plates on the
77 end-plate bending mode. All other 10 mm end-plate connections did not have backing plates.

78

79 *Specimen details*

80 All specimens were manufactured from AS 350 grade steels to AS/NZS 3679.1 (2010), and used the same beam-
81 column combination of 310UB 46.2 beam and 310UC 96.8 column. Table 2 shows the mechanical properties of
82 the specimen materials, which were obtained through coupon tests in accordance with the AS 1391 (2017). The
83 length of the column was 1200 mm between the centers of the pinned supports, and the length of the beam was
84 1000 mm between the end-plate and the loading point. The lengths of the beam and the column were consistent
85 with those chosen for similar tests described in literature (de Lima et al. 2004). For this length of beam, the
86 curvature caused by bending was insignificant, so was the effective shear action on the connection compared to
87 the bending action.

88 The end-plate was welded to one end of the beam using full strength 45° continuous fillet welds. The welding
89 procedure was manual metal arc welding using E48/W50X welding rods with a nominal tensile strength of
90 480MPa. The end-plate was bolted to a column flange using six M24 grade 8.8 high tensile bolts, the strength of

91 which was sufficient to transmit the applied loads from the end-plate to the column flanges up to and beyond the
92 ultimate connection capacity, such that the tensile bolts would not be the first component to fail. The arrangement
93 of the bolts was determined according to the ASI Connection Design Guide (Hogan 2009), as shown in Fig. 1.

94

95 *Test setup*

96 Figure 2 shows the test setup. The rig was constructed with several movable parts and thus could accommodate
97 different test setups. The column ends were connected to the supports using pins, with one end fixed against
98 displacements while the other end capable of moving horizontally, ensuring simply supported conditions at the
99 column ends. The supports were attached to the strong floor and was sufficiently stiff to resist any noticeable
100 deformation. The end of the beam was loaded using a servo-controlled hydraulic jack with 1000kN loading
101 capacity and 250mm travel distance. The hydraulic jack was installed on a reaction frame, adjustable in height to
102 accommodate different loading conditions. The reaction frame was available from previous test programs. It was
103 bolted to the strong floor and could resist up to 360kN of lateral loading without any noticeable deformation.
104 Moreover, the specimens were restrained laterally by two braces placed at the mid-to-upper part of the beam to
105 prevent out-of-plane displacement. The contact planes between the braces and the specimen were filled with
106 Teflon plates to minimize friction.

107 Figure 2a shows the test setup of the major-axis bending tests (Nos 1, 2 and 8 in Table 1). The column was in
108 a horizontal position, and thus the beam was in a vertical position and perpendicular to the loading arm. The major
109 action on the connection was in-plane bending.

110 Figure 2b shows the test setup of the combined bending and axial tension force tests (Nos 3, 4 in Table 1), and
111 Fig. 2c shows that of the combined bending and axial compression force tests (Nos 9 and 10 in Table 1). For all
112 tests, one end of the column was lifted to a predefined height using a column support extension to introduce an
113 angle (θ_1) between the loading direction and the direction perpendicular to the axis of the beam. A larger angle
114 created a larger axial force in the connection, and therefore two angles were considered, namely, 19° and 34°. The
115 tests with 19° tilting angle were referred as the small axial load tests while those with 34° tilting angle were
116 referred as the large axial load tests, as shown in Table 1. To increase, rather than decrease, the likelihood of
117 connection failure, the connections with a 10 mm thick end-plate expected to fail due to end-plate bending were
118 loaded with the combined actions of bending and axial tension. In contrast, the connections with a 20 mm thick
119 end-plate expected to experience column web buckling failure were loaded with the combined actions of bending
120 and axial compression.

121 Figure 2d shows the test setup of the minor-axis bending tests (Nos 7 and 13 in Table 1). Compared with the
122 major-axis bending tests, each specimen in this category was rotated 90° about the centerline of the beam. Thus,
123 the loading direction was perpendicular to the minor axis of the beam. The major action on the connection was
124 bending about the minor axis.

125 Figure 2e shows the setup of the bi-axial bending tests (Nos 5, 6, 11 and 12 in Table 1). Major-axis bending
126 and minor-axis bending were applied to the connection simultaneously, while keeping the ratio between the
127 bending moments during the test. The bi-axial bending action was achieved by rotating the specimen about the
128 centerline of the beam to create an angle (θ_2) between the loading direction and the major axis bending plane of
129 the beam. A larger angle created larger minor-axis bending moment. Two angles were considered, i.e., 11° or 24°.
130 Tests with the angle of 11° were referred as bi-axial bending tests with small minor-axis bending while those with
131 the angle of 24° were referred as bi-axial bending tests with large minor-axis bending, as shown in Table 1. The
132 beam was restrained by lateral bracing members in order to move in the loading direction of the jack.

133

134 *Instrumentation*

135 All tests were instrumented as illustrated in Fig. 3, with displacement transducers and an inclinometer. All data
136 were recorded at 1 sec intervals.

137 The applied moment and the connection rotation are calculated based on measurements of the load cell in the
138 hydraulic jack, displacement transducer DT1 and inclinometer. During the tests, DT1 was constantly changed to
139 be perpendicular to the beam to keep the measured displacement increment ($\Delta\delta$) perpendicular to the beam. For
140 every second, the increment of the total rotation of the beam ($\Delta\theta_t$) and the real-time total rotation (θ_t) can be
141 obtained by

$$142 \quad \Delta\theta_t = \text{atan}\left(\frac{\Delta\delta}{L}\right) \quad (1)$$

$$143 \quad \theta_t = \sum \Delta\theta_t \quad (2)$$

144 where L is the length of the beam.

145 The applied load perpendicular to the beam (P) and the resulting applied moment (M) can be then calculated
146 by

$$147 \quad P = \cos(\theta_1 + \theta_t - \theta_{LA}) \cdot \cos \theta_2 \cdot P_{LC} \quad (3)$$

$$148 \quad M = PL \quad (4)$$

149 where P_{LC} is the measurement of the load cell, θ_{LA} is the rotation of the loading arms measured by the
150 inclinometer, θ_1 and θ_2 are the loading-associated angles as defined in Table 1.

151 The total rotation of the beam θ_t includes the beam rotation (θ_d) caused by beam bending deflection, and
152 therefore the connection rotation (θ) is calculated by subtracting θ_d from θ_t , i.e.,

$$153 \quad \theta = \theta_t - \theta_d = \theta_t - \text{atan}\left(\frac{PL^2}{3EI}\right) \quad (5)$$

154 where θ_d is calculated according to the engineering beam theory, E is the Young's modulus and I is the second
155 moment of area of the beam.

156 The key components of the extended end-plate connections investigated in this experimental program include
157 the end-plate bending component and the column web buckling component. For the end-plate bending component,
158 the applied force (P_{epb}) is the force transmitted by the flange on the tension face, the magnitude of which can be
159 approximately calculated as

$$160 \quad P_{epb} = \frac{M}{z} + 0.4 \cdot \sin(\theta_1 + \theta_t - \theta_{LA}) \cdot \cos \theta_2 \cdot P_{LC} \quad (6)$$

161 where z is the distance between the centers of the beam flanges, and the factor of 0.4 was adopted because the
162 area of the tensile flange was about 40% of the total area of the entire beam cross-section. The deformation (Δ_{epb})
163 was obtained as the difference between readings of two displacement transducers (DT2 and DT3) on the end-plate,

$$164 \quad \Delta_{epb} = \delta_{DT2} - \delta_{DT3} \quad (7)$$

165 For the column web buckling component, the applied force (P_{cwc}) is the force transmitted by the flange on the
166 compression face, and thus can be approximately calculated according to

$$167 \quad P_{cwc} = \frac{M}{z} - 0.4 \cdot \sin(\theta_1 + \theta_t - \theta_{LA}) \cdot \cos \theta_2 \cdot P_{LC} \quad (8)$$

168 The deformation (Δ_{cwc}), taken as the vertical shortening of the column web, was obtained as the difference
169 between readings of the two displacement transducers DT4 and DT5,

$$170 \quad \Delta_{cwc} = \delta_{DT4} - \delta_{DT5} \quad (9)$$

171

172 *Test procedure and raw data processing*

173 All specimens were tested following the same loading procedure, commencing after a specimen was in position
174 and all instrumentation was installed and connected. The displacement rate was set at 1 mm/sec. Every 10-20
175 minutes, the test was stopped for 2 minutes to acquire the static resistance. Since the stroke of the hydraulic jack
176 was limited to 200mm, the loading arrangement had to be reset after every 150mm of extension using new holes
177 in the loading arms. This required the specimen to be unloaded and reloaded after resetting the loading
178 arrangement. Finally, after the resistance decreased to less than 25% of the ultimate resistance, the test was
179 terminated.

180 The raw data were processed to acquire smooth continuous curves of static resistance. A sample moment-
181 rotation curve is shown in Fig. 4. The static resistance was the same as the quasi-static (dynamic) resistance in the
182 elastic range, but in the inelastic range was approximately 3% lower than the quasi-static resistance as a result of
183 the stress relaxation. In the late loading range where fracture occurred, the static resistance was assumed to be 3%
184 lower than the quasi-static resistance.

185

186 **Connection Behaviour**

187 *Major-axis bending tests*

188 The major-axis bending tests included tests of S10, S10BP and S20BP (see Table 1). Their moment-rotation
189 curves as well as the failure modes are shown in Fig. 5.

190 For test S10, the ultimate bending moment was 212 kN·m, with a corresponding rotation of 0.119 rad. Before
191 reaching the ultimate resistance, two cracks had emerged in the heat affected zone (HAZ) of the welds, facing the
192 two bolts in the extended part of the end-plate. In the post-ultimate range, the bending strength was reduced in
193 several stages. When the connection had just passed its ultimate resistance, the two initial cracks grew wider and
194 merged together. This coalescence of cracks caused the first drop in resistance. The merged crack grew around
195 the tip of the flange on one side, and towards the edge of the end-plate on the other side. Eventually the propagated
196 crack caused the extended part of the end-plate to tear off the connection. This tear resulted in the second drop in
197 resistance. Subsequently, the crack propagation continued in the HAZ along the inside tension flange and
198 eventually along the web plate, which increased the tension forces in the bolts on the tension side and finally
199 caused one bolt to break. This coincided with the third abrupt drop in resistance on the moment-rotation curve,
200 leading to the complete failure of the connection.

201 Specimen S10BP had higher stiffness than specimen S10. This was because the backing plates prevented the
202 column flange of S10BP from yielding and thus reduced its flexibility compared to S10. Moreover, S10BP also
203 gained a slight increase in strength to 218 kN·m due to the installation of the backing plate. However, its
204 corresponding rotation was reduced to 0.107 rad, which was 10% less than that of S10. In the post-ultimate range,
205 the bending strength of S10BP experienced similar abrupt reductions as S10 due to sequential failures of
206 connection components. Unlike the S10 test, one side of the beam flange was observed to be pulled off from the
207 end-plate during the fracture propagation in the HAZ along the tension flange.

208 S20BP had 20mm thick end-plates and backing plates which greatly strengthened its performance in the
209 tension zone. Consequently, S20BP failed in the compression zone due to column web buckling, and the ultimate

210 bending resistance was 293 kN·m. Both the ultimate strength and the corresponding rotation were significantly
211 higher than those of S10 and S10BP. In the post-ultimate range, the joint strength reduced smoothly as the column
212 web deflection gradually increased until S20BP completely failed due to the fractures of the tensile bolts at the
213 outer bolt row. From a strength and ductility point of view, S20BP performed significantly better than the S10
214 and S10BP connections with 10 mm thick end-plates.

215

216 *Major-axis bending with axial force tests*

217 Four tests were carried out with both major-axis bending and axial loading applied to the connections, viz.,
218 S10_TS, S10_TL, S20BP_CS and S20BP_LT (see Table 1). The measured moment-rotation curves and failure
219 modes are shown in Fig. 6 and Fig. 7.

220 S10_TS and S10_TL were loaded under both bending and axial tension, and had similar end-plate bending
221 failure modes. The connection failures were characterized by the growth of a crack in the HAZ along the tension
222 flange, the extension of the crack to the edge of the end-plate, the pull-off of the tension beam flange and bolt
223 fracture. This was similar to the S10 connection subjected to bending only, and therefore the additional axial
224 tension load did not change the failure mode of end-plate connections failing in the end-plate bending failure
225 mode. However, the gradual yielding range of the connections with additional axial tension load were lower than
226 that of S10. The ultimate bending resistances of S10_TS and S10_TL were reduced by 5.2% and 12.0%,
227 respectively. Hence, the axial tension force had an appreciable influence on the load-carrying ability of end-plate
228 connections failing in the end-plate bending failure mode.

229 For the loading scheme adopted in the tests, the axial force increased along with the applied bending moment.
230 When the connections reached their ultimate bending resistances, the applied axial tension in test S10_TS and
231 S10_TL were 88 kN and 140 kN, respectively. The axial plastic resistance of the beam is 2095 kN, so the above
232 maximum applied tension forces accounted for 4.2% and 6.7% of the beam's axial plastic resistance, respectively.
233 Eurocode3 suggests that the axial load may be disregarded when its value is less than 5% of the beam's axial
234 plastic resistance. This was not consistent with test S10_TS which showed that an axial force of 4.2% of the plastic
235 resistance can cause a reduction of 5.2% of the connection bending resistance. Moreover, the test S10_TL
236 illustrated that the bending resistance reduction (of 12.0% for this specimen) due to axial tension force (of 6.7%
237 of the plastic resistance) was increasing in a nonlinear fashion.

238 Specimen S20BP_CS and S20BP_CL were loaded under combined bending and axial compression. Both
239 connections failed due to column web buckling, similar to the S20BP connection. However, the compressive axial

240 load precipitated the column web buckling, and therefore both connections reached their ultimate bending
241 resistance significantly earlier than S20BP. The ultimate bending resistances of S20BP_CS and S20BP_LT were
242 reduced by 12.1% and 15.1%, respectively, compared with that of S20BP loaded with bending action only. At the
243 ultimate resistance state, the applied axial compressive forces in tests S20BP_CS and S20BP_LT were 66 kN and
244 138 kN, respectively, which accounted for 3.2% and 6.6% of the beam's axial plastic resistance. Therefore, for
245 end-plate connection with a column buckling failure mode, the detrimental influence of additional axial
246 compressive load is more severe than implied by Eurocode3, which allows the effect to be ignored when the axial
247 load is less than 5% of the beam's axial plastic resistance as previously mentioned. Thus, there is a clear need to
248 investigate the effect of axial force on bolted moment end-plate connections and to develop a mechanical model
249 accounting for the effect of axial forces, especially for unstiffened web connections subjected to compressive axial
250 forces.

251 The post-ultimate behaviours of S20BP_CS and S20BP_CL were different from that of S20BP, as illustrated
252 by the different shapes of the moment-rotation curves. In the S20BP_CS test, a crack formed in the HAZ along
253 the tension flange but did not spread to the edge of the end-plate within the deformation range of the test. The
254 connection preserved 60% of the ultimate bending resistance when the rotation reached 0.49 rad. In the S20BP_CL
255 test, no component failed on the tension side, and thus the post-ultimate resistance showed an even more gradual
256 reduction than the S20BP_CS test.

257

258 *Bi-axial bending tests*

259 Four tests were carried out with bi-axial bending applied on the connections, viz., S10_BS, S10_BL, S20BP_BS
260 and S20BP_BL (see Table 1). The moment-rotation curves and failure modes are shown in Fig. 8 and Fig. 9.

261 For the connections with a 10 mm thick end-plate (S10_BS and S10BL) failing in the end-plate bending failure
262 mode, the additional out-of-plane bending induced by the minor-axis moment affected both the initial stiffness
263 and the gradual yielding range, leading to lower moment-rotation curves over this range, as shown in Fig. 8. The
264 ultimate major-axis bending resistance of S10_BL was 14.8% smaller than that of S10. However for S10_BS, the
265 bending resistance was observed to be increased by 5.8%. The increase in strength may be caused by random
266 variations introduced during fabrication, including better-than-expected welding quality in this case as illustrated
267 by the failed components of the connection. For S10 and S10_BL, the initiation of cracks in the HAZ along the
268 tensile beam flange gave rise to the first drops in the post-ultimate curves, which were followed by gradual pull-
269 off of the beam flange from the end-plate. However, in test S10_BS this incipient crack did not occur, allowing

270 for continuous hardening in the bending strength until the pulling-off of the tensile beam flange caused the first
271 drop in resistance.

272 In the post-ultimate region, unexpectedly high friction between the beam and the lateral bracing was observed
273 due to a large deformation of the specimen. When component failure occurred on the tension side and was about
274 to cause a sudden drop in resistance, the friction helped to provide a temporary resistance to the unbalanced force,
275 such that the drops in the post-ultimate curves were not as abrupt as in test S10. S10_BL was affected more by
276 the friction, and thus the drops in the post-ultimate region were not distinct at all. The friction started to resist the
277 applied load from the hydraulic jack in the later stage of the post-ultimate range, and then the test was terminated.

278 S20BP_BS and S20BP_BL were subjected to bi-axial bending, and failed in the column web buckling mode
279 as did other connections with a 20 mm thick end-plate. The additional minor-axis bending reduced the initial
280 stiffness, the ultimate strength as well as the rotation corresponding to the ultimate major-axis bending moment,
281 as shown in Fig. 9. It also changed the shapes of the moment-rotation curves in terms of more gradual yielding
282 and less reduction in moment in the post-ultimate response. For both tests, friction between the beam and the
283 lateral bracings was again observed in the post-ultimate range. The friction started to resist the load applied by the
284 hydraulic jack in the later stage, and thus the tests were terminated. No component failure was observed on the
285 tensile side of the connections.

286

287 *Minor-axis bending tests*

288 Two tests in this series were designed to assess the minor-axis performance of the end-plate connections. Figure
289 10 shows the moment-rotation curves and the deformed shape of a typical connection.

290 The connections had much smaller initial bending stiffness under minor-axis bending. S10_M and S20BP_M
291 showed similar initial stiffness, which was about only 2.6% of the S10 connection and 2.4% of the S20BP
292 connection. For both connections, the major source of deformation was the column flange twisting rather than
293 end-plate bending, and therefore these two connections had similar post-yielding responses. The column flange
294 twisting deformation capacity was observed to be extensive, and thus both connections did not reach their ultimate
295 resistance in the tests.

296 The results of all tests are summarised in Table 3, including the failure modes, the ultimate bending resistances
297 along with the corresponding axial forces or the minor-axis bending moments, and the corresponding joint rotations.

298

299

300 **Failure mechanism**

301 *End-plate bending failure*

302 All connections with a 10 mm thick end-plate except for the one subjected to minor-axis bending (S10_M) failed
303 in an end-plate bending failure mode. These connections showed very similar post-ultimate behaviours, featuring
304 three major drops in the moment-rotation curve. Figure 11 shows a typical example of the failure process of the
305 end-plate bending failure mode. Four stages can be used to describe the failure process, the last three of which
306 gave rise to the three drops in the moment-rotation curves.

307 The first stage was very short and occurred when the bending resistance was about to reach its ultimate value.
308 Two cracks started to emerge in the HAZ of the welds, facing the two bolts in the extended part of the end-plate.
309 Because in this stage cracks existed only on the surface and were still very small and because of material
310 hardening, the bending resistance of the connection did not drop abruptly.

311 In the second stage, the two initial cracks grew wider and coalesced until reaching the full width of the beam
312 flange, causing the first drop in resistance. The connection preserved 71% to 77% of its ultimate bending strength
313 after this drop. (S10–72%, S10BP–73%, S10_TS–71%, S10_TL–75%, S10_BS–77%; the first drop in test
314 S10_BL was not very clear.)

315 In the third stage, the merged crack grew towards the edge of the end-plate on one side, and eventually caused
316 the extended part of the end-plate to be torn apart, leading to the second drop in resistance. At this time, the load
317 on the tension side of the end-plate was mainly transferred by the beam flange on the opposite side to the crack
318 growth. Thus the crack usually also grew around the tip of the flange, and the beam flange on this side was pulled
319 off from the end-plate. This phenomenon was more significant when there was minor-axis bending moment
320 applied on the connection. At the end of this stage, the connection could preserve 41% to 45% of its ultimate
321 bending resistance. (S10–43%, S10_BP–41%, S10_TS–45%, S10_BS–44%; the second drops in test S10_TL and
322 S10_BL were not very clear.)

323 In the fourth and final stage, the outer bolt on the end-plate tearing side no longer transferred load, and thus
324 the inner bolt on the same side had to carry increasing load, leading to its fracture eventually. At the same time,
325 the crack around the beam flange tip would grow along the inner side of the beam flange, toward the beam web,
326 and down along the beam web for a certain depth. The bolt failure and fracture propagation led to the complete
327 failure of the connection, and appeared as the third abrupt drop on the moment-rotation curve. The remaining
328 bending strength was below 40% of the ultimate strength. (S10–20%, S10BP–37%, S10_TS–36%, S10_TL–40%,
329 S10_BS–26%; test S10_BL was terminated before the fourth stage.)

330 It was observed that all tested connections had very similar post-ultimate behaviours, in terms of both the
331 sequence of fracture propagation and the moment-rotation curve. Therefore, it is possible to model the post-
332 ultimate behaviour of an end-plate connection based on these three stages of post-ultimate response.

333

334 *Column Web Buckling Failure*

335 All connections with a 20 mm thick end-plate except for the one under minor-axis bending (S20BP_M) failed due
336 to the buckling of the column web.

337 The web deformation grew slowly until the load approached the ultimate resistance, at which web buckling
338 appeared. Thenceforth, the web buckling deformation grew quickly and the resistance reduced accordingly. In the
339 pre-ultimate range, the connections were visibly affected by the different loading conditions, leading to different
340 overall shapes of the moment-rotation curves as shown in Fig. 7 and Fig. 9. The additional axial force had limited
341 influence on the initial stiffness, but reduced the ultimate major-axis bending resistance by a large margin. The
342 additional minor-axis bending, however, caused significant reduction of both the initial stiffness and the ultimate
343 major-axis bending resistance.

344 The bending resistance was reduced gradually in the post-ultimate range. Different loading conditions resulted
345 in different rates of reduction, but all connections preserved over 70% of their ultimate strength under a large
346 rotation up to 0.25 rad. The complete failure of the connection was caused by the fracture on the tension side,
347 either tensile bolt fracture or crack propagation in the HAZ along the tension flange.

348

349 **Component Behaviour**

350 *End-plate Bending Component*

351 Figure 12 shows the force versus displacement curves of the end-plate bending component. For the 10mm thick
352 end-plates, the bending responses were stiff in the initial elastic range before gradually yielding. As observed from
353 the curves, both the initial elastic stiffness and the ultimate strength were similar for all the tests. Hence, the
354 backing plates and the axial forces had limited effects on the end-plate bending behaviour. The 20 mm thick end-
355 plates had a substantially longer elastic range than the 10 mm thick end-plates, and the ultimate strength was also
356 enhanced.

357

358 *Column Web Buckling Component*

359 Figure 13 shows the force versus displacement curves of the column web buckling component. The recorded
360 column web behaviour was typical of stocky plate buckling in that it had almost infinite initial stiffness followed
361 by positive inelastic post-buckling stiffness until it reached the ultimate resistance, and then negative post-ultimate
362 stiffness. The 10 mm thick end-plate tests failed by end-plate bending, and consequently, the column web in these
363 tests did not reach the ultimate strength and the buckled web deflection in those tests elastically unloaded when
364 the applied load decreased in the post-ultimate range. Moreover, different loading conditions created different
365 constraining effects on the column web plate, thus influencing the post-buckling strength of the column web. In
366 the bi-axial bending tests and the minor-axis bending tests, the column top flanges twisted introducing a rotational
367 displacement in addition to the column web shortening, which affected the readings of displacement transducer
368 DT4. Consequently, the column web shortening responses of these tests are not presented here.

369

370 **Comparison with Eurocode3 and AISC strength models**

371 The strength of S10 and S20BP were obtained using Eurocode3 (CEN 2010) and the AISC design guide (Murray
372 and Sumner 2003). The provisions of Eurocode3 are based on the component method, and allow for calculating
373 the pre-ultimate behaviour of a connection, including the initial elastic behaviour, the gradual yielding curve and
374 the ultimate bending resistance. The connection is assumed to maintain the ultimate bending resistance with the
375 increasing joint rotation. The AISC design guide is based on the limit state method, and only contains provisions
376 for calculating the ultimate moment of a joint.

377 The results from both methods are presented in Fig. 14 in terms of moment-rotation curves, and in Table4
378 showing the ultimate bending resistance and the initial stiffness. Eurocode3 consistently underestimates the
379 ultimate moment by 33%~38%, while the AISC design guide underestimates the strength of S10 and S20BP by
380 50% and 13%, respectively.

381

382 **Conclusions**

383 An experimental investigation is presented in this paper on bolted moment end-plate connections subjected to four
384 different loading conditions, including major and minor axis bending, bi-axial bending and combined bending and
385 axial force. Particular attention was paid to obtaining the full-range behaviour of the joints including the post-
386 ultimate range. The paper presents moment-rotation curves, and explains the failure sequence of the joints
387 including fracture propagation. Force-displacement curves were also presented for two joint components, namely,
388 the extended end-plate and column web plate.

389 The moment-rotation curve of the end-plate connection was found to be considerably affected by the failure
390 mode, especially in the post-ultimate range. Those connections failing by end-plate bending had three distinct
391 drops in resistance in the post-ultimate range at around similar levels of resistance. Each abrupt drop in resistance
392 was associated with the fracture of welds or bolts. Conversely, tests failing by column web buckling had an
393 extensive gradual post-ultimate curve that eventually dropped abruptly due to bolt fracture.

394 The additional axial load and minor-axis bending had noticeable effects on the connection behaviour. While
395 the additional axial force had little influence on the initial stiffness, it reduced the ultimate major-axis bending
396 resistance by a large margin. The additional minor-axis bending caused significant reduction of both the initial
397 stiffness and the ultimate major-axis bending resistance.

398 The dimensions of individual components had a significant impact on the connection behaviour in that two
399 distinct failure modes were achieved by changing the thickness of the end-plate. Consequently, the performance
400 of each component is important in the design of bolted moment end plate connections. The paper presents load-
401 deflection curves for the extended end plate component and load-shortening curves for the column web plate, as
402 obtained from the joint tests.

403 The ultimate moment capacities of the joints subject to major axis bending are compared to design moments
404 predicted by Eurocode3 and AISC guidelines. Both methods underestimate the ultimate moment of two selected
405 tests by more than 30% except the AISC model for S20BP which is only 13% smaller than the experimental
406 ultimate moment.

407

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466

467 **Tables**

468

469

Table 1 Summary of studies of connections

No.	Name	End-plate	Backing plate	Loading condition		
				Description	θ_1	θ_2
1	S10	10mm	No	major-axis bending	0	0
2	S10BP	10mm	Yes	major-axis bending	0	0
3	S10_TS	10mm	No	major-axis bending with Tension (Small)	19	0
4	S10_TL	10mm	No	major-axis bending with Tension (Large)	34	0
5	S10_BS	10mm	No	Bi -axial bending (S mall minor-axis bending)	0	11
6	S10_BL	10mm	No	Bi -axial bending (L arge minor-axis bending)	0	24
7	S10_M	10mm	No	M inor-axis bending	0	90
8	S20BP	20mm	Yes	major-axis bending	0	0
9	S20BP_CS	20mm	Yes	major-axis bending with C ompression (S mall)	-19	0
10	S20BP_CL	20mm	Yes	major-axis bending with C ompression (L arge)	-34	0
11	S20BP_BS	20mm	Yes	Bi -axial bending (S mall minor-axis bending)	0	11
12	S20BP_BL	20mm	Yes	Bi -axial bending (L arge minor-axis bending)	0	24
13	S20BP_M	20mm	Yes	M inor-axis bending	0	90

470 Note: θ_1 is the angle between the loading direction and the transverse axis of the beam; θ_2 is the angle between
471 the loading plane and the major bending plane of the beam, as shown in Fig. 2.

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Table 2. Mechanical properties of specimens

	Yield stress (MPa)	Ultimate tensile strength (MPa)	Total Elongation (%)
Beam	353	505	26.3
Column	382	498	30.5
End-plate (10 mm)	425	567	24.5
End-plate (20 mm)	355	503	26.1

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Table 3 Summary of testing results

Name	Failure mode	Ultimate resistance			Joint rotation at ultimate resistance (rad)
		Bending moment (kN·m)	Axial force (kN)	Minor-axis moment (kN·m)	
S10	EPB	212	–	–	0.119
S10BP	EPB	218	–	–	0.107
S10_TS	EPB	202	88	–	0.122
S10_TL	EPB	188	140	–	0.114
S10_BS	EPB	225	–	44	0.161
S10_BL	EPB	181	–	81	0.112
S10_M	–	–	–	–	–
S20BP	CWB	293	–	–	0.166
S20BP_CS	CWB	258	-66	–	0.089
S20BP_CL	CWB	249	-138	–	0.077
S20BP_BS	CWB	241	–	47	0.108
S20BP_BL	CWB	224	–	100	0.123
S20BP_M	–	–	–	–	–

480 Note: Failure mode: EBF – End-plate bending failure, CWB – Column web buckling failure; Axial force – positive

481 value indicates tension, negative value indicates compression.

482

483

484 Table 4 Ultimate bending resistance and initial stiffness according to Eurocode3 and AISC Design Guide

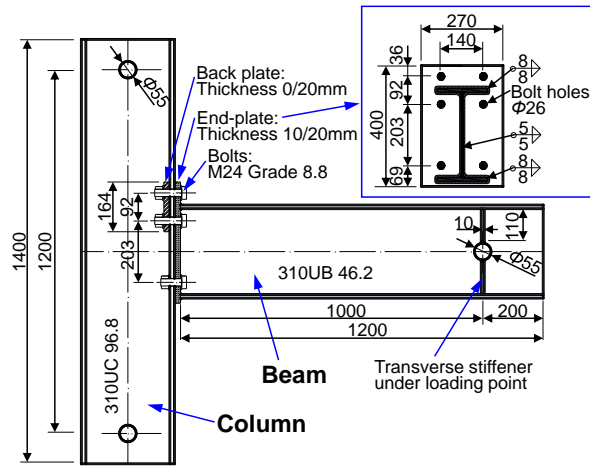
Specimen	Ultimate bending resistance (kN·m)			Initial stiffness(kN·m/rad)	
	Test	Eurocode 3	AISC	Test	Eurocode 3
S10	217	133	108	8790	19500
S20BP	296	197	258	10500	22700

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486

487 **Figures**

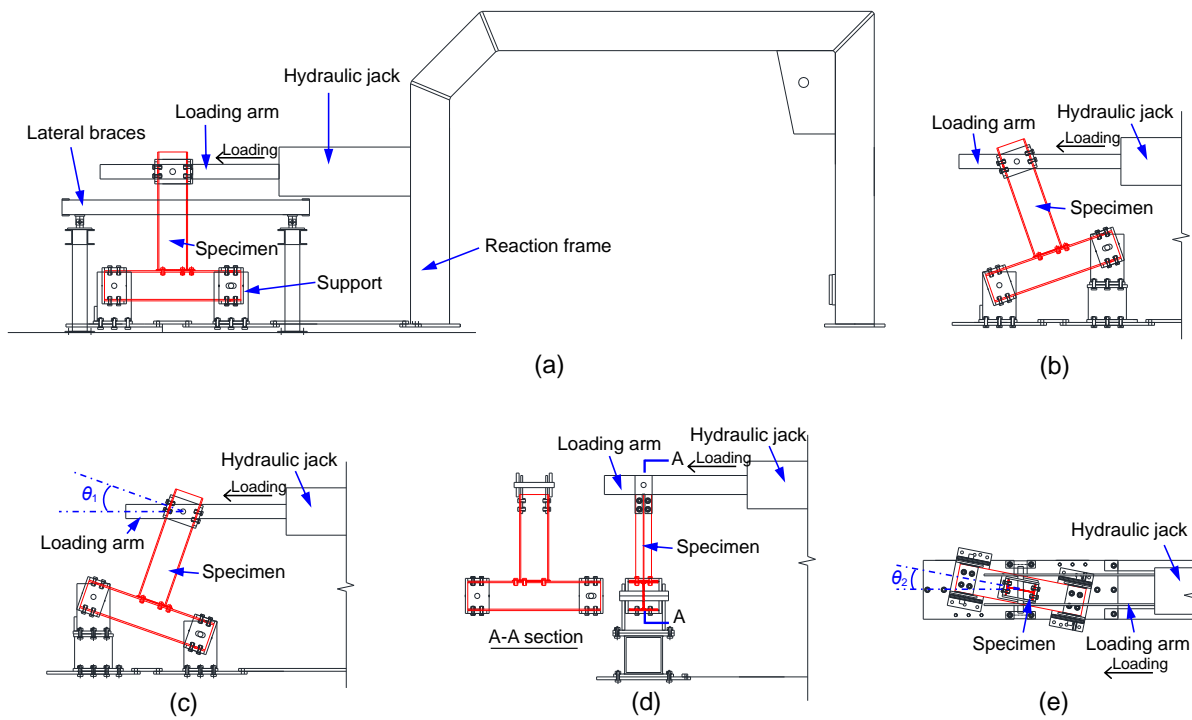
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490 Fig. 1. Geometry of specimens

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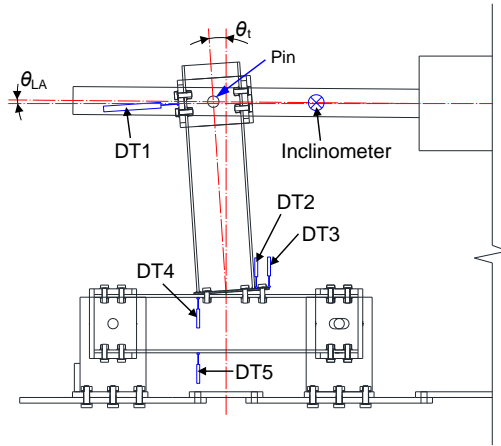
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494 Fig. 2. Test Setup. (a) Major-axis bending tests; (b) major-axis bending with tension tests; (c) major-axis bending

495 with compression tests; (d) minor-axis bending test; (e) bi-axial bending tests. (Note: lateral restraint is not shown

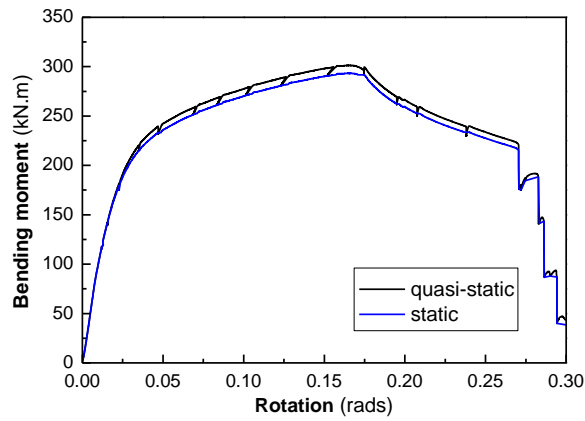
496 in figures (b)-(e); figure (e) shows a plan view)

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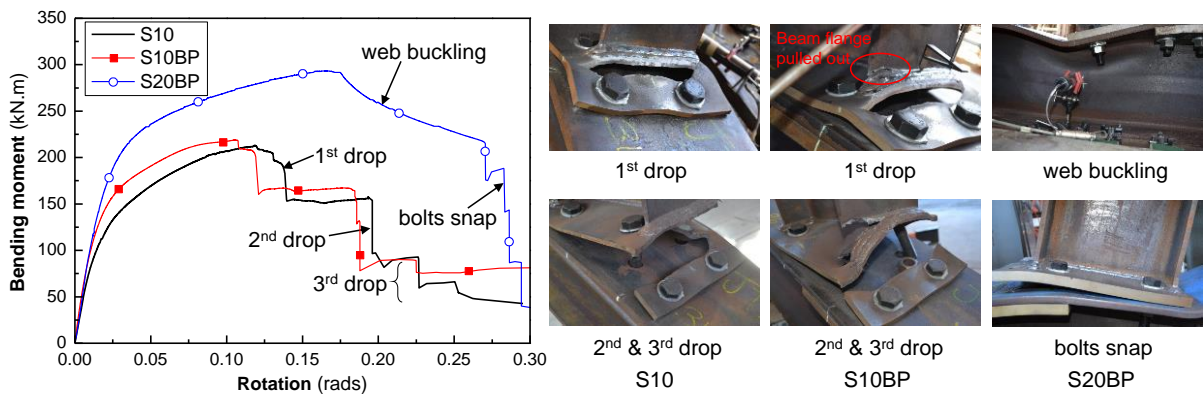
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Fig. 3. Measurements of deformations



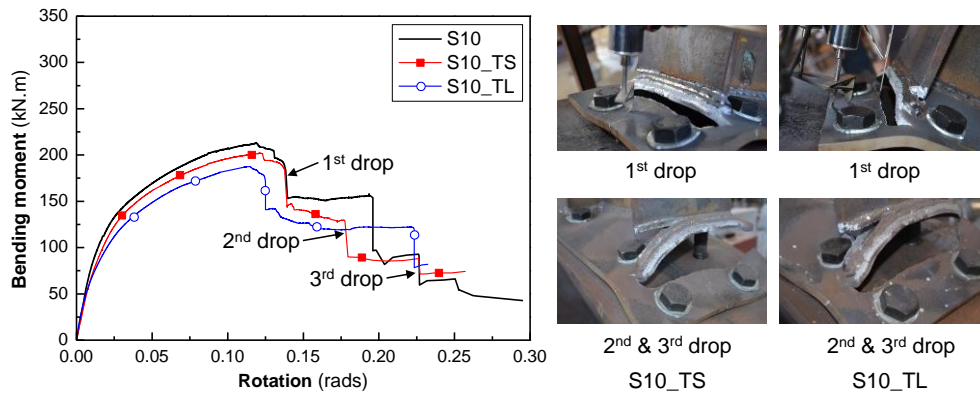
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Fig. 4. Example of converting original curve to static curve



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Fig. 5. Results of the major-axis bending tests



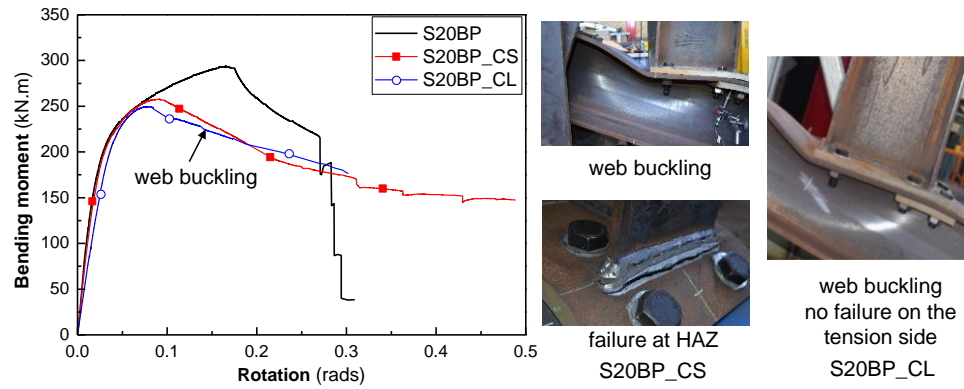
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Fig. 6. Results of the combined bending and tension tests on the 10-mm-thick end-plate connections



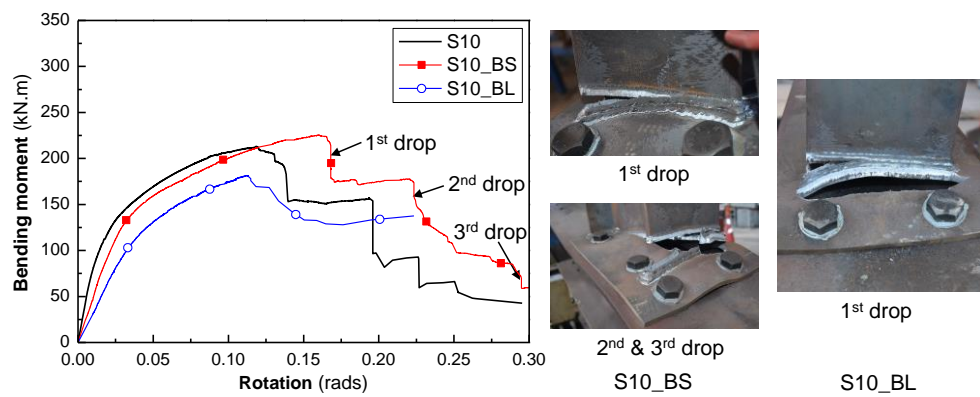
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Fig. 7. Results of the combined bending and compression tests of the 20-mm-thick end-plate connections



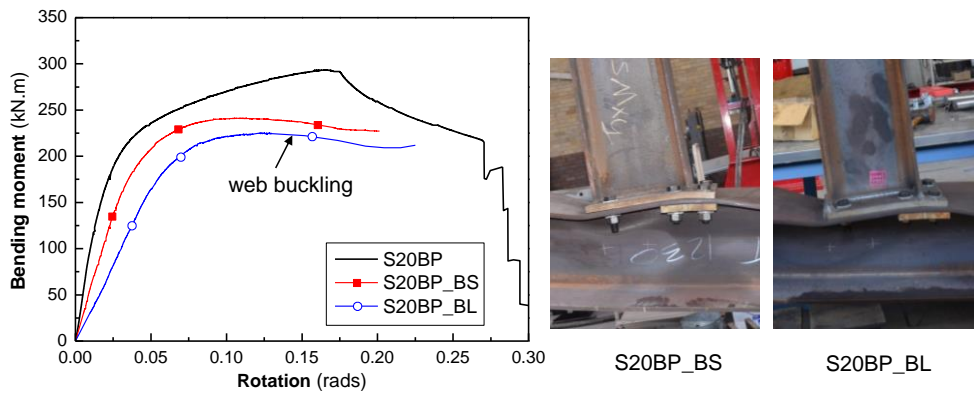
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Fig. 8. Results of the bi-axial bending tests on the 10 mm thick end-plate connections

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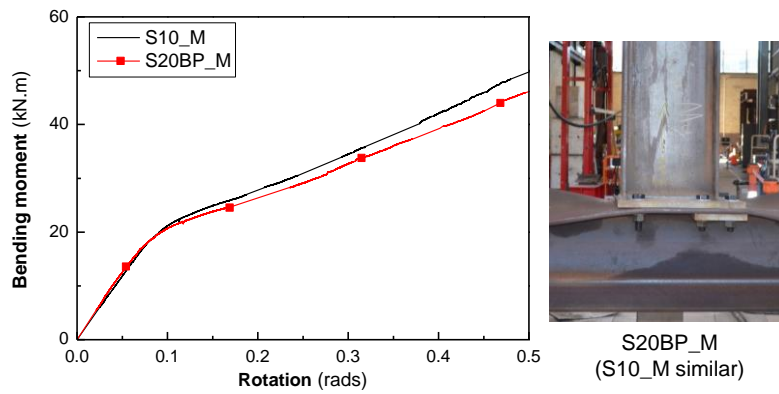


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Fig. 9. Results of the bi-axial bending tests on the 20 mm thick end-plate connections

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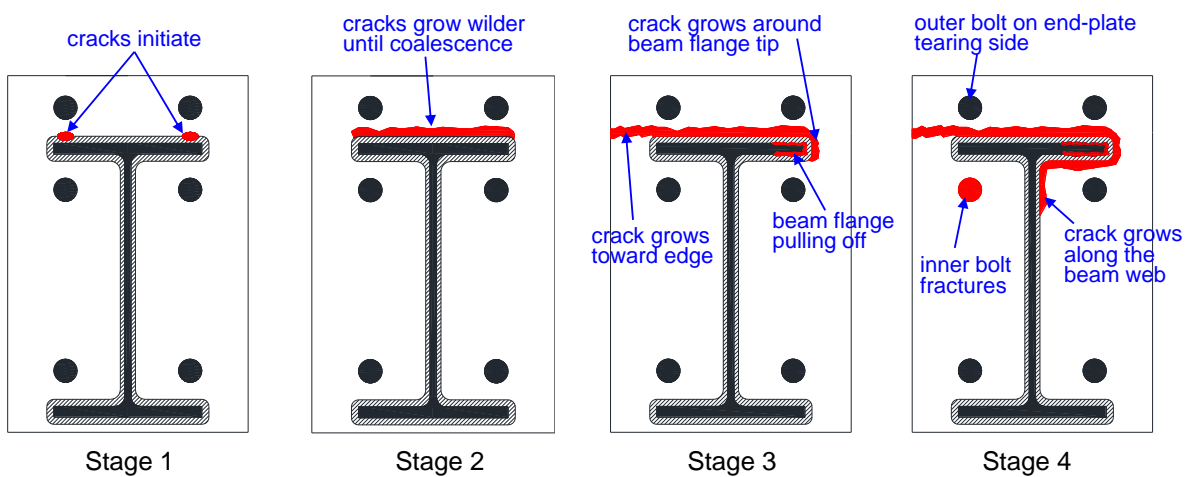


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Fig. 10. Results of the minor-axis bending tests

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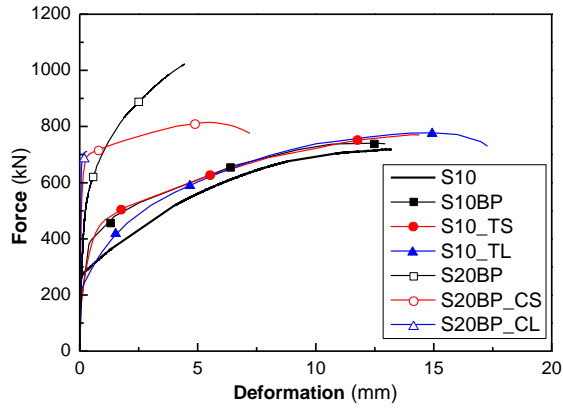


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Fig. 11. Failure mechanism for end-plate bending failure mode

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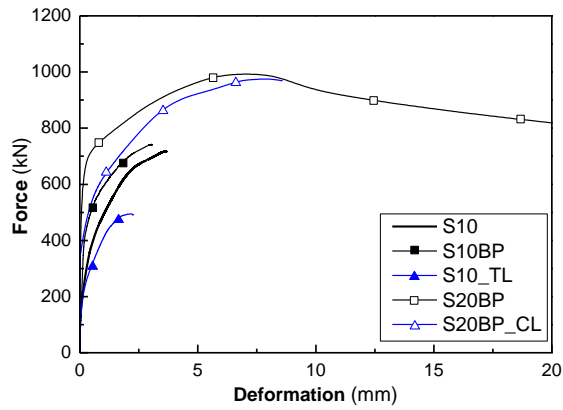


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Fig. 12 Force versus displacement responses of the end-plate bending component.

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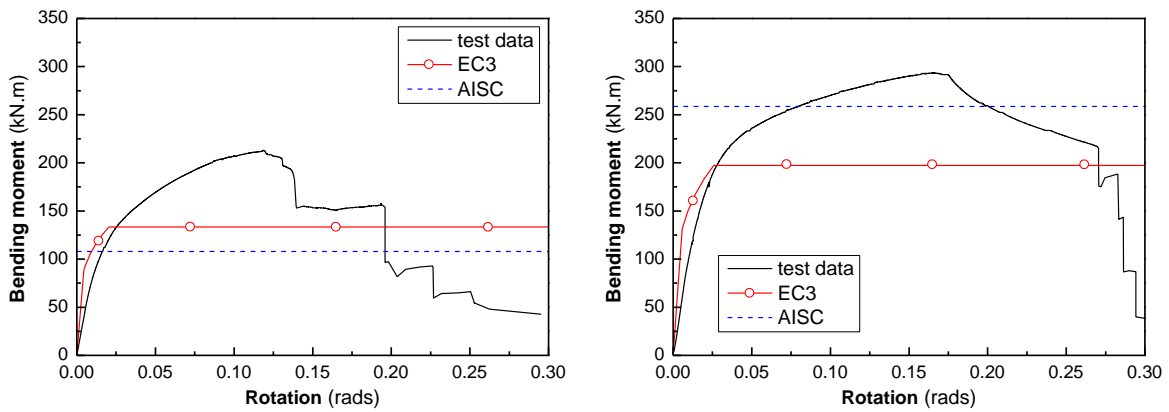


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Fig. 13 Force versus displacement responses of the column web buckling component.

533



534

535

(a) S10

(b) S20BP

536

Fig.14 Connection assessment according to Eurocode3 and AISC Design Guide.

537