

1 **MODEL UNCERTAINTY IN DISCRETE AND SMEARED CRACK PREDICTION IN RC**  
2 **BEAMS UNDER FLEXURAL LOADS**

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12 **Abstract**

13 Advanced non-linear finite element models are currently available to perform the design of concrete structures.  
14 The development and calibration of the safety factors for the next generation of design guidelines will require  
15 a deep understanding of the uncertainty associated with such models. This paper presents a first study focusing  
16 on the assessment of the uncertainty of discrete and smeared crack models applied to the simulation of the  
17 behavior of RC beams under flexural loads. The discrete strong discontinuity approach (DSDA) and the  
18 smeared crack model available in ATENA were chosen for this purpose. Experimental data for mean and  
19 maximum crack widths, and average crack spacing for four beams were used as reference. The mesh size  
20 dependency and its relation with the uncertainty in the prediction of deflections, crack openings and spacing  
21 was investigated. The model uncertainty of mean and maximum crack widths was evaluated for progressive  
22 load stages of serviceability conditions for the two crack models considering subsets of data based on the  
23 reinforcement ratios and concrete cover.

24 **Keywords:** Discrete crack models, smeared crack models, numerical simulation, model uncertainty

25 **1. Introduction**

26 Recent advances in material and computational science are widening the capabilities of the finite element  
27 method and extending the complexity of structural analyses. Simultaneously, the computational cost of  
28 comprehensive simulations is becoming more manageable and this places advanced non-linear models  
29 virtually in reach of any designer. Such tools, however, need to properly account for the changes in the  
30 structural response caused by fracture. It is well-known that cracking can deeply influence both serviceability  
31 conditions and the structural strength of concrete members. At stake is the safety of new and existing structures  
32 analysed using computational models.

33 Many contributions were made in the last decades to the development of crack models for concrete structures.  
34 One of the most popular and widely used techniques is based on the smeared crack concept in which the  
35 fracture is simulated on an average – i.e. ‘smeared’ – sense [1-4]. This assumption is particularly convenient  
36 for implementation and can easily handle the situations of diffused crack patterns found in concrete structures.  
37 However, the true material separation associated with the highly localised cracks cannot be directly simulated.  
38 Other limitation includes the ‘mesh dependency’, where the numerical solution strongly varies with the  
39 discretisation [2, 5]. Fortunately, this can be mitigated with specific regularisation techniques [2, 6].

40 The discrete crack approach can directly deal with the material separation since it mimics the kinematics of  
41 the discontinuity [7]. The first attempts found were based on the progressive mesh adaptation with damage  
42 propagation [8, 9]. As argued by many authors, this procedure is computationally demanding and can lead to  
43 inaccurate mesh representations – e.g. highly distorted elements – without advanced algorithms [10].  
44 Interestingly, elements with high aspect ratio have recently been applied to simulate fracture propagation along  
45 the edges of the finite elements with good results – see e.g. [11]. Finite element enrichment approaches can  
46 entirely avoid the need for remeshing by applying specific enhancements – e.g. nodal, element or constitutive  
47 based – to capture the discontinuous fields associated with the opening of the discontinuity [10, 12-19].  
48 Although the kinematical aspects of the discontinuity are more soundly simulated using a discrete crack  
49 approach, the tracking of the propagation of many cracks – particularly in the presence of diffused patterns –  
50 can be quite challenging with global formulations. In hybrid approaches, both smeared and discrete techniques  
51 are combined, so that the smeared approach is only used to simulate the onset and early stages of fracture  
52 propagation. Transition to a discrete crack model is made at the latest stages of damage when the effect of

53 material separation becomes more relevant [20, 21]. The correct definition of the transition threshold and the  
54 connection between formulations are critical aspects for which there is yet no established solution.

## 55 **2. Research significance**

56 As the advanced computational models become more available, it is no longer enough to validate them using  
57 limited experimental data that mostly focus on overall deflections. Instead, a deep technical understanding is  
58 now required in ways that are usable by designers and that should cover both ultimate and service limit states.  
59 The uncertainty of the advanced non-linear models needs proper quantification, so that reliability analyses [22-  
60 26] can be performed to support the development and update of design guidelines, and the proposal of partial  
61 safety factors [27-29]. Advanced numerical models often rely on a significant number of parameters, typically  
62 many more than standard analytical and classical numerical models, which can become a source for higher  
63 uncertainty that needs assessment. Unfortunately, only few numerical studies are available in this regard, and  
64 their focus has been mostly centred on ultimate limit states, in which case the prediction of the ultimate load  
65 capacity of the concrete structure was aimed [30]. For service limit states, only simplified analytical models  
66 for crack widths were deeply studied – see a comprehensive summary in [31]. A numerical study on the ability  
67 of a discrete crack approach to predict crack widths for RC beams under flexural loads can be found in [32],  
68 although no attempt was made to assess the model uncertainty.

69 To the best of the authors' knowledge this paper represents the first study on the performance of two crack  
70 models in the simulation of RC beams under serviceability. The models are thoroughly assessed in their ability  
71 to predict crack widths and spacing based on the model uncertainty supported by experimental data. One  
72 approach is based on the smeared crack model and is commercially implemented in software ATENA, whereas  
73 the other is a discrete model based on the Discrete Crack Strong Discontinuity Approach (DSDA). More details  
74 are provided in the following sections.

## 75 **3. Model uncertainty concept**

76 Advances in the design assisted by numerical simulations have led to the definition of global safety formats in  
77 the *fib* Model Code 2010 [33]. They are based on a probabilistic concept of the involved uncertainties, which  
78 can be related to the imperfections in material, geometry and model, among others. It is assumed that the  
79 uncertainties are random and can be described by a statistical distribution using its mean and coefficient of

80 variation. Based on such probabilistic data, which is often obtained with some degree of approximation, the  
81 design value of resistance can be calculated for a given reliability level. Since this subject is outside the scope  
82 of the paper, the interested reader can find more details in [30, 34, 35]. Similarly to the design situation  
83 described for ultimate resistance, the design for serviceability can also be assisted by numerical simulations,  
84 in which case the assessment of the crack widths is typically of interest.

85 The study presented in this paper is devoted to the assessment of the uncertainty of crack models in the scope  
86 of serviceability. The model uncertainty reflects the knowledge comprised in the mathematical description of  
87 physical cracking and can be referred to as an epistemic uncertainty. Although probabilistic data about model  
88 uncertainties is provided in the following sections, the safety formats involved in the serviceability design are  
89 not addressed in this publication, as well as the effect of other uncertainties due to material, geometrical and  
90 other possible effects. These sources of uncertainties can be treated separately, in a similar way as in the case  
91 of resistance. Since the model imperfections are difficult to detect rationally, it is assumed that they are random.  
92 Furthermore, despite the assumption of uncertainty decomposition due to its sources, it is evident that the  
93 model is affected by material defects, such as non-homogeneities. Thus, the material uncertainty is reduced  
94 only to the extent of known basic material parameters, such as tensile strength, fracture energy, among others  
95 [25, 36].

96 The quantification of the model uncertainty in this paper is made using the multiplicative relationship as  
97 defined in [25, 36], given by:

$$98 \quad \theta = \frac{w_{\text{exp}}}{w_{\text{mod}}}, \quad (1)$$

99 where  $w_{\text{exp}}$  is the experimental value from the test, and  $w_{\text{mod}}$  is the value provided by the model. For a series  
100 of crack measurements and corresponding simulations, a set of uncertainties  $\theta_i$  can be generated. By treating  
101 the model uncertainty as a random variable, the mean value,  $\mu_\theta$ , and coefficient of variation,  $V_\theta$ , for the full set  
102 of uncertainties can be estimated. The mean value describes the average agreement of the model with the  
103 experiment. A mean uncertainty  $\mu_\theta$ , approaching '1' confirms the good agreement, while a deviation from '1'  
104 flags a systematic flaw in the model. The coefficient of variation,  $V_\theta$ , describes the scatter of the model  
105 uncertainty and can be used for a probability-based reliability assessment in design.

106 In this paper, the experimental results from Pérez Caldentey, Peiretti [37] are used to quantify the model  
107 uncertainty. Data for mean and maximum crack widths, and average crack spacing in four beams were  
108 measured for different load levels, which are herein treated as reference observations of  $w_{exp}$ . For the sake of  
109 simplicity, the material models are assumed homogeneous with parameters determined from accompanying  
110 material tests.

#### 111 **4. Discrete and smeared crack models**

112 The DSDA is selected for the numerical simulations within the scope of the discrete crack approach. A  
113 complete description of the method can be found in [15, 38] and only the main features and assumptions are  
114 addressed in the following paragraphs.

115 When adopting the DSDA, it is assumed that the displacement caused by the opening of a discontinuity can  
116 be transmitted to its neighbourhood as if it were a rigid body movement. This assumption is reasonable for  
117 brittle and quasi-brittle materials, such as concrete, and can be shown to enable addressing the discretisation  
118 of discontinuity and neighbouring material as independent. The discontinuity can then be directly embedded  
119 within the finite element mesh as if it were an interface element, but without the need to constrain it to the  
120 edges of the element. Both discontinuity and continuum contribute to the total displacement at any given point  
121 of the body independently, although they remain connected by equilibrium conditions. A set of additional  
122 nodes is placed along the path of the crack, such that there is always a node at the intersection points between  
123 the crack path and each edges of the element – see Fig. 2. These additional nodes measure the opening of the  
124 discontinuity and resulting tractions, which are the quantities of interest for the characterisation of the  
125 behaviour of the cracked structure.

126 During the numerical analysis, new discontinuities are embedded whenever the tensile strength of the material  
127 is reached. At the onset of localisation, the discontinuity can still transfer tensile stresses. With the progressive  
128 opening of the crack, the stresses are gradually softened until reaching a state of complete separation.

129 In this paper, the numerical analyses with the DSDA are performed in 2D by assuming plane stress state  
130 conditions and using bilinear finite elements. The Rankine criterion is applied to track the onset of localisation  
131 and determine the propagation angle, which is then kept fixed upon initiation. As a part of the finite element  
132 implementation, only one discontinuity can be embedded in each finite element. New crack paths start from

133 the centre of the finite element, whereas existing paths propagate from the tip whenever the Rankine criterion  
 134 is met. The system of equations is solved using the non-iterative automatic procedure proposed in [39]. The  
 135 reader can find more information about this algorithm and applications to concrete structures in [32, 40-42].

136 The simulations with the smeared crack model are made using the commercial program ATENA with 2D plane  
 137 stress representation. During the analysis, the total strains are decomposed into elastic, plastic and fracture  
 138 parts. The latter component is smeared within the finite elements using a crack band control of strain  
 139 localisation – see Fig. 2b. The crack band size is related to the element size depending on the crack orientation  
 140 [43]. The crack orientation is kept fixed during the analysis. It should be mentioned that two modes of failure  
 141 are considered at the crack face: mode-I due to normal stress and mode-II due to shear stress. More information  
 142 about the constitutive models can be found in the paper by Cervenka and Papanikolaou [44].

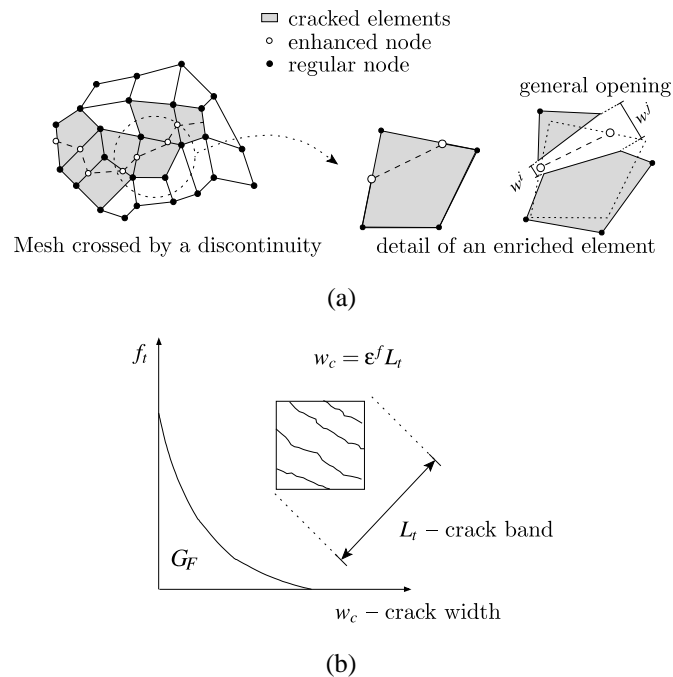


Figure 1. Crack models: (a) DSDA; (b) smeared approach.

## 143 5. Experiments

144 Results from the experimental programme carried out by Pérez Caldentey, Peiretti [37] are herein used to  
 145 assess the model uncertainty of the numerical approaches. The selected beam specimens were produced from  
 146 the same concrete batch and had the geometry and cross-section as represented in Fig. 2. The loading set-up  
 147 consisted of a four-point bending scheme with a constant moment region over the central span region. A total  
 148 of four beams – all without stirrups in the central span – and with different combinations of longitudinal  
 149 reinforcement (four 12 mm steel bars or four 25 mm bars) and concrete cover (20 mm or 70 mm) are herein

150 chosen for analysis. Each specimen is identified using a generic labelling system 'd'-'c', where 'd' stands for  
 151 the bar diameter and 'c' stands for the cover.

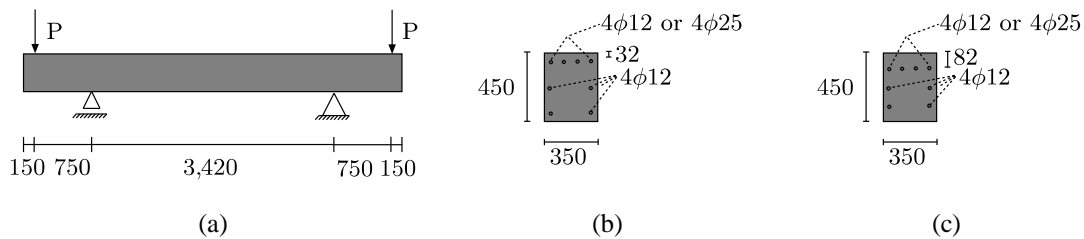


Figure 2. (a) Set-up; cross-section for specimens: (a) 12-20 and 25-20; and (b) 12-70 and 25-70 (all dimensions in mm).

152 The experimental study was designed to investigate the process of cracking under increasing flexural loads.  
 153 The average material properties for concrete were 26.9 MPa and 2.14 MPa, respectively for the compressive  
 154 and tensile strength, and the steel had a yielding stress of 550 MPa. During the loading, a measurement system  
 155 registered the displacements for the points located at the mid-span and underneath the applied loads (bottom  
 156 surface). Longitudinal surface concrete displacements were also measured using DEMECs based on a grid of  
 157 points 200 mm apart placed at the uppermost edges of the beam. The longitudinal displacements were then  
 158 used to indirectly determine the crack openings at the top. The crack width was considered given by the  
 159 displacement between two grid points having into account the number of cracks within the measured length,  
 160 as discussed and validated by Pérez Caldentey, Peiretti [37]. Having all the openings identified along the mid  
 161 span of the beam, the average and maximum crack widths could be directly evaluated, as summarised in Table  
 162 1. The crack spacing was evaluated for the final stage of loading by inspecting directly the crack pattern of the  
 163 beam and equating the average distance between consecutive pairs of cracks in the middle span. It should be  
 164 mentioned that the experimental data is shown at three selected stages of loading roughly corresponding to  
 165 maximum crack widths of 0.2, 0.4 and 1.0 mm at the level of the main steel reinforcements. As overall  
 166 observation, for the same rebar diameter the specimens with 70 mm concrete cover have significantly higher  
 167 average crack spacing and, consequently, also higher crack widths at the same stages of loading. It should be  
 168 denoted that each crack opening recorded in Table 1 is treated as an independent observation for the model  
 169 uncertainty in the following sections. This means that each measurement of the crack width is considered not  
 170 affected by other measurements taken during the test, in which case they can constitute a sample for the  
 171 calculation of the set of uncertainties, which are independent observations of the model uncertainty – see Eq.  
 172 (1). These are then used to estimate the average and coefficient of variation for the distribution using standard  
 173 statistical procedures.

174

Table 1. Mean and maximal crack width from experiments, Pérez Caldentey, Peiretti [37].

Beam		Stage I	Stage II	Stage III	Av. crack spacing (mm)
12-20	P (kN)	70	90	175	173
	$w_{mean}$ (mm)	0.117	0.198	0.505	
	$w_{max}$ (mm)	0.261	0.396	0.966	
12-70	P (kN)	50	60	100	236
	$w_{mean}$ (mm)	0.192	0.279	0.579	
	$w_{max}$ (mm)	0.328	0.469	1.222	
25-20	P (kN)	100	180	400	131
	$w_{mean}$ (mm)	0.134	0.139	0.346	
	$w_{max}$ (mm)	0.174	0.342	0.834	
25-70	P (kN)	60	100	300	227
	$w_{mean}$ (mm)	0.111	0.205	0.652	
	$w_{max}$ (mm)	0.159	0.356	1.161	

175

176 The evaluation of crack widths is based on the following definitions. Average crack width  $w_{mean}$  is the average  
 177 of the crack width values in the investigated span of the beam measured at the top surface for a given load  
 178 level. The maximum crack width  $w_{max}$  is the maximum value found in the span of beam under consideration.

## 179 6. Numerical data

180 The numerical results obtained with the discrete and smeared crack models are herein presented. Only half of  
 181 the beam (see Fig.1) is modelled by using the appropriate boundary conditions on the axis of symmetry. Two  
 182 mesh sizes, coarse and fine, see Fig. 3., are used to investigate the impact of the discretisation size on the  
 183 overall behaviour of the beam and predicted crack patterns.

184 The steel reinforcements are simulated with linear elements which are connected to the concrete elements  
 185 using the bond-slip law from Model Code 2010 [33]. Accordingly, the onset of the bond slip is 0.19 MPa and  
 186 the ultimate peak bond strength is 9.58 MPa for 0.6 mm slip. The Young's modulus for the steel reinforcement  
 187 is 200 GPa and is linear elastic until yielding; after that, a linear hardening response is followed up to 578 MPa  
 188 for a strain of 0.1mm/mm. The concrete elements are simulated under plane stress, with a Young's modulus  
 189 of 30 GPa and a Poisson's ratio of 0.20. Under uniaxial stress, the maximum strength is 26.9 MPa and  
 190 2.14 MPa, respectively under compressive and tensile stresses. Cracking is simulated with a mode-I softening  
 191 model based on the expression proposed by Hordijk [45], with the fracture energy of 0.050 N/mm for a  
 192 maximum aggregate size of 8 mm, in accordance with the Model Code 1990 [46].

193 It should be mentioned that the conceptual and practical differences between the smeared and discrete models  
 194 are found at the discretisation level, i.e., in the representation of fracture within the finite elements, as discussed



195 in Section 4. Therefore, the constitutive laws and discretisation adopted for concrete, steel reinforcements and  
 196 bond-slip behaviour described above remain the same for both approaches.

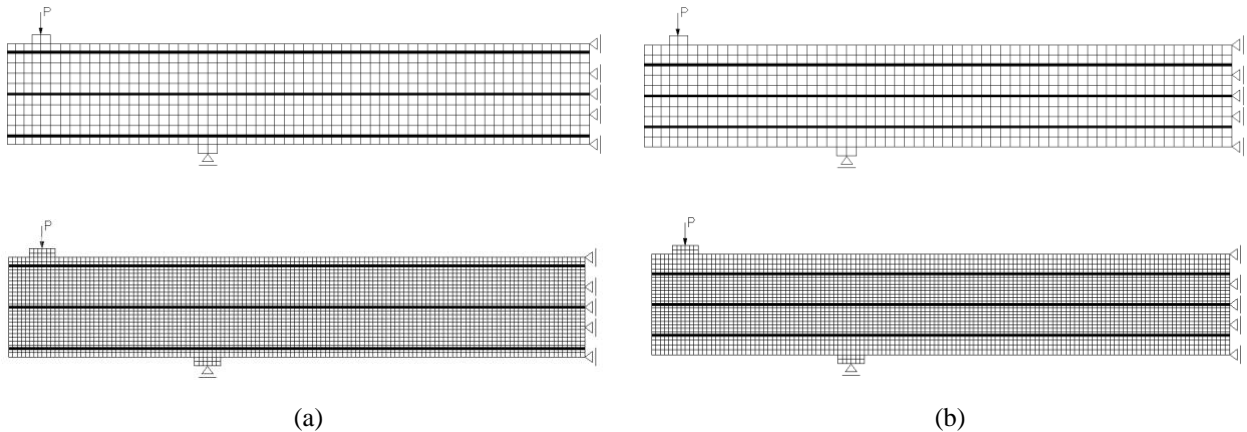


Figure 3. Coarse and fine meshes adopted for the beams with: (a) 20 mm; and (b) 70 mm cover.

197 The load vs. displacement curves obtained with both meshes are shown in Fig. 4 for all the beams. As general  
 198 observation, the coarser mesh can be considered accurate enough for capturing overall deflections, since only  
 199 negligible differences are identified with increasing discretisation. This also shows that the two approaches are  
 200 insensitive to the mesh size, at least for displacements.

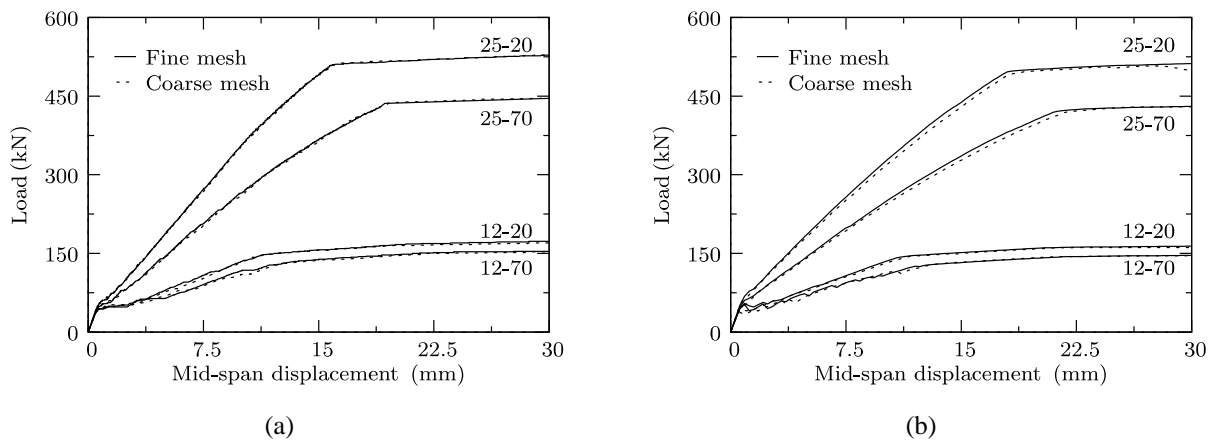


Figure 4. Load vs. displacement curves: (a) discrete; and (b) smeared models.

201 Further comparison between models and experimental data, is represented in Fig. 5, where the coarse mesh is  
 202 used for the simulation. There is a good overall agreement, and both smeared and discrete crack models predict  
 203 well the typical response stages under increasing flexural load, clearly identifiable by the changes in stiffness  
 204 associated with the increasing damage. Smeared and discrete models are very similar during the earlier stages  
 205 of loading and cracking. Differences are only noticed at later stages of loading, where the discrete model  
 206 provides load capacities slightly above the smeared model for identical vertical displacements.

207 The crack patterns are considered stabilised at the stage III identified in Table 1. Figs. 6 to 9 represent the  
 208 crack pattern provided by the fine mesh models. In the discrete model, only widths above 0.02 mm are shown  
 209 magnified 10 times for illustration purposes.

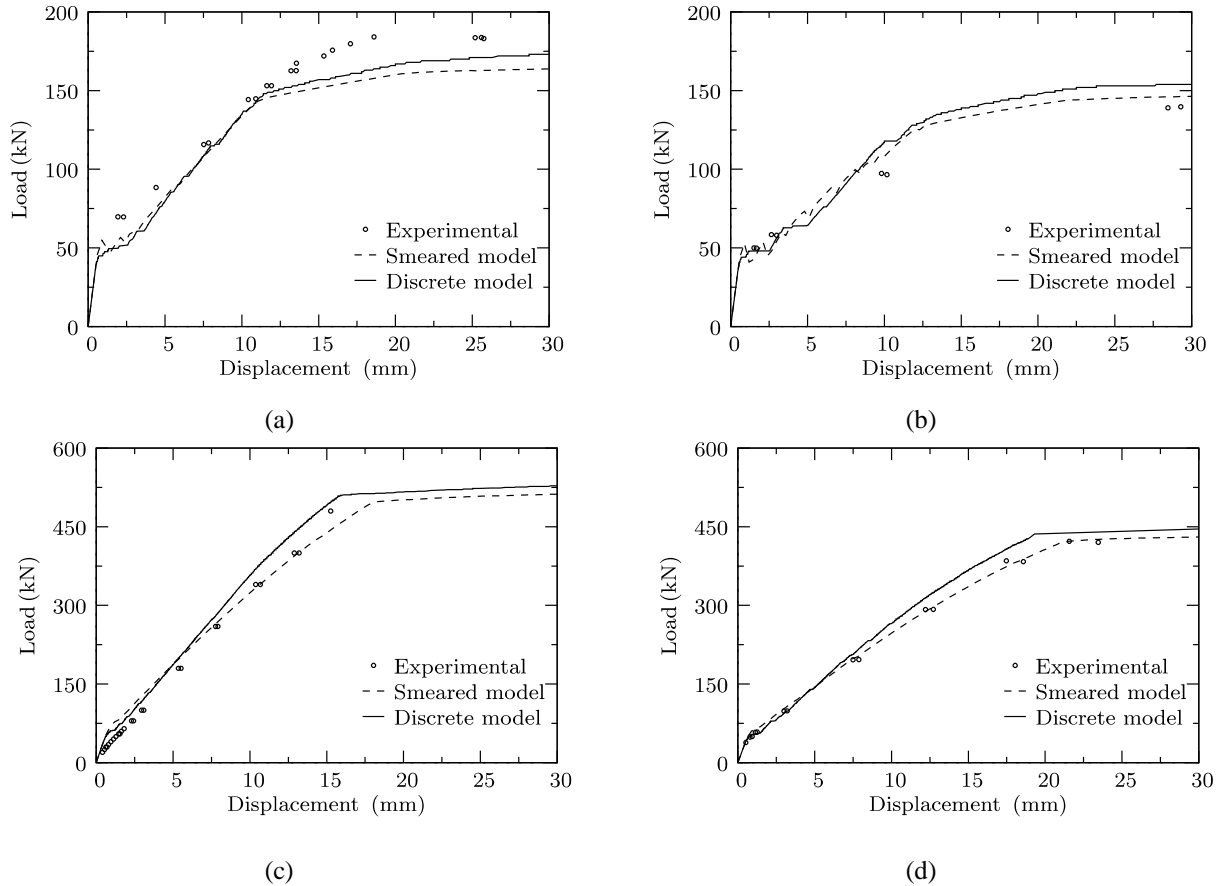
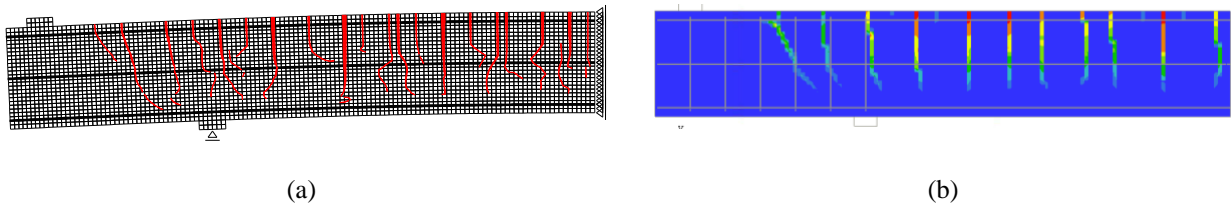


Figure 5. Load vs. displacement curves for specimen (finer mesh): (a) 12-20; (b) 12-70; (c) 25-20; (d) 25-70.

210 Generically, it can be observed that the overall pattern, crack widths and spacing are qualitatively very well  
 211 predicted by both discrete and smeared approaches. The impact of the concrete cover on the crack width is  
 212 clearly noticeable in the crack maps, with the number of cracks reducing substantially with its thickness. For  
 213 the same thickness and load level, the 25 mm bars constrain more effectively the crack propagation along the  
 214 depth of the beam than the 12 mm bars – compare Figs. 6 and 8.

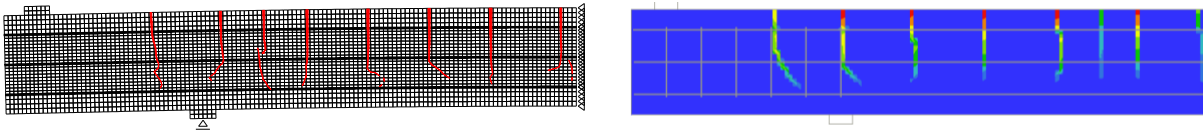




(c)

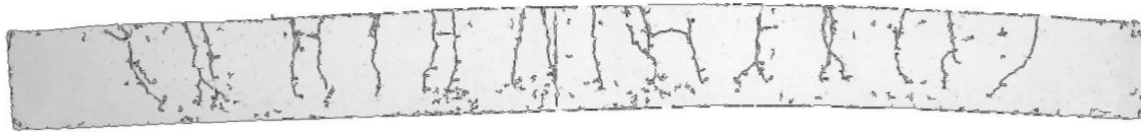
Figure 6. Crack pattern for specimen 12-20: (a) discrete and (b) smeared model at the third stage of loading; and (c) experimental test at failure.

215



(a)

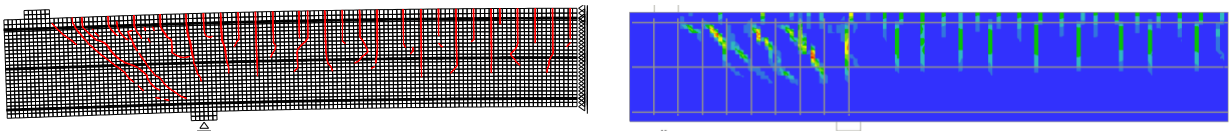
(b)



(c)

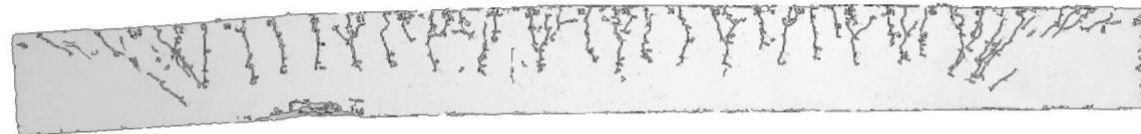
Figure 7. Crack pattern for specimen 12-70: (a) discrete and (b) smeared model at the third stage of loading; and (c) experimental test at failure.

216



(a)

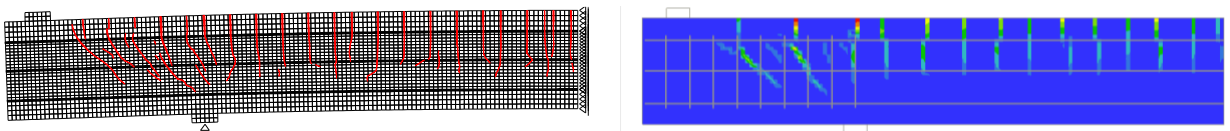
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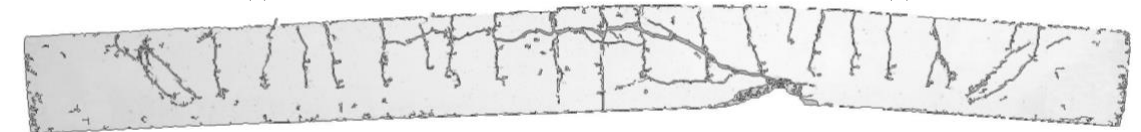
Figure 8. Crack pattern for specimen 25-20: (a) discrete and (b) smeared model at the third stage of loading; and (c) experimental test at failure.

217



(a)

(b)



(c)

Figure 9. Crack pattern for specimen 25-70: (a) discrete and (b) smeared model at the third stage of loading; and (c) experimental test at failure.

218 Table 2 shows a comparison between experimental and numerical average crack spacing. Numerically, the  
 219 crack spacing was evaluated by identifying the location of the cracks at the top surface for each model of the  
 220 beam at the final load stage, and by directly calculating the distance between each consecutive pair of cracks  
 221 and then the average along the span. In general, the numerical models underestimate the average crack spacing  
 222 for all the beams tested – except for 12-70. The model uncertainty is above 1.4 for the finer mesh and both  
 223 approaches. The discretisation has a negligible impact on the discrete model, since the model uncertainty is  
 224 kept nearly unchanged for each mesh. The smeared crack model provides a better approximation when used  
 225 with the coarser mesh, in which case the model uncertainty is 1.32.

226

Table 2. Average crack spacing.

Beam	Exp.	Smeared				Discrete			
	(mm)	Coarse (mm)	$\theta$	Fine (mm)	$\theta$	Coarse (mm)	$\theta$	Fine (mm)	$\theta$
12-20	173	116	1.49	110	1.57	105	1.65	115	1.50
12-70	236	251	0.94	254	0.93	270	0.87	267	0.88
25-20	131	80	1.64	81	1.62	100	1.31	86	1.52
25-70	227	185	1.23	139	1.63	116	1.96	116	1.96
		$\mu_\theta$	<b>1.32</b>	$\mu_\theta$	<b>1.44</b>	$\mu_\theta$	<b>1.45</b>	$\mu_\theta$	<b>1.47</b>
		$V_\theta$	<b>0.23</b>	$V_\theta$	<b>0.24</b>	$V_\theta$	<b>0.32</b>	$V_\theta$	<b>0.30</b>

## 227 7. Model uncertainties of crack widths

228 Tables 3 and 4 summarise all results for average and maximum crack widths, and provide the estimates for the  
 229 main statistical parameters of the model uncertainty. The overall parameter values are marked in bold. It should  
 230 be mentioned that the crack openings for the discrete model are obtained directly from the simulations by  
 231 extracting the opening of each active crack at the top surface of the beam and then computing the average and  
 232 maximum values in the span. With the smeared model the process is similar after having into account the crack  
 233 band size – see representation in Fig. 1b.

234 As a general observation, it can be stated that good predictions are given by both smeared and discrete crack  
 235 approaches, although the model uncertainty may vary significantly with the formulation and parameter under  
 236 consideration. The overall model uncertainty for the mean crack width is close to 1.05 using the discrete crack  
 237 model, a value that denotes the high degree of proximity between prediction and experimental data. The overall  
 238 variation is also consistent with the values reported for the crack spacing, i.e., less than 0.35. Furthermore,  
 239 only negligible changes can be identified in the uncertainty parameters with the element size.

240 The model uncertainty for the smeared approach shows some mesh sensitivity. The best estimates are obtained  
 241 with the coarse models, situation where the overall uncertainty for the mean crack width is 1.25 and the  
 242 coefficient of variation 0.45.

243 Table 3. Uncertainty in the average and maximum crack opening of the discrete model.

	(a) Coarse mesh	Model uncertainty parameters				(b) Fine mesh	Model uncertainty parameters			
		$\mu_\theta$	$V_\theta$				$\mu_\theta$	$V_\theta$		
12-20	P (kN)	70.9	90	159.4						
	$w_{mean}$ (mm)	0.227	0.237	0.4						
	$w_{max}$ (mm)	0.284	0.334	0.941						
	$\theta_{w mean}$	0.515	0.835	1.263	0.87	0.43				
	$\theta_{w max}$	0.919	1.186	1.027	1.04	0.13				
12-70	P (kN)	50	60	100.2						
	$w_{mean}$ (mm)	0.305	0.324	0.52						
	$w_{max}$ (mm)	0.31	0.364	0.61						
	$\theta_{w mean}$	0.630	0.861	1.113	0.87	0.28				
	$\theta_{w max}$	1.058	1.288	2.003	1.45	0.34				
25-20	P (kN)	99.8	180.5	400.4						
	$w_{mean}$ (mm)	0.096	0.125	0.273						
	$w_{max}$ (mm)	0.13	0.177	0.688						
	$\theta_{w mean}$	1.396	1.112	1.267	1.26	0.11				
	$\theta_{w max}$	1.338	1.932	1.212	1.49	0.26				
25-70	P (kN)	59.6	99.9	300.5						
	$w_{mean}$ (mm)	0.126	0.165	0.392						
	$w_{max}$ (mm)	0.14	0.218	0.561						
	$\theta_{w mean}$	0.881	1.242	1.663	1.26	0.31				
	$\theta_{w max}$	1.136	1.633	2.070	1.61	0.29				
All	$\theta_{w mean}$	0.855	1.013	1.327	<b>1.06</b>	<b>0.31</b>				
	$\theta_{w max}$	1.113	1.510	1.578	<b>1.40</b>	<b>0.29</b>				
12-20	P (kN)	70.1	90.1	160.1						
	$w_{mean}$ (mm)	0.239	0.3	0.416						
	$w_{max}$ (mm)	0.271	0.366	0.793						
	$\theta_{w mean}$	0.490	0.660	1.214	0.79	0.48				
	$\theta_{w max}$	0.963	1.082	1.218	1.09	0.12				
12-70	P (kN)	50	60	100.2						
	$w_{mean}$ (mm)	0.252	0.316	0.491						
	$w_{max}$ (mm)	0.292	0.368	0.592						
	$\theta_{w mean}$	0.762	0.883	1.179	0.94	0.23				
	$\theta_{w max}$	1.123	1.274	2.064	1.49	0.34				
25-20	P (kN)	100	180.7	400.2						
	$w_{mean}$ (mm)	0.116	0.156	0.204						
	$w_{max}$ (mm)	0.132	0.213	0.348						
	$\theta_{w mean}$	1.155	0.891	1.696	1.25	0.33				
	$\theta_{w max}$	1.318	1.606	2.397	1.77	0.31				
25-70	P (kN)	60	102	300.8						
	$w_{mean}$ (mm)	0.118	0.176	0.405						
	$w_{max}$ (mm)	0.13	0.199	0.514						
	$\theta_{w mean}$	0.941	1.165	1.610	1.24	0.28				
	$\theta_{w max}$	1.223	1.789	2.259	1.76	0.30				
All	$\theta_{w mean}$	0.837	0.900	1.425	<b>1.05</b>	<b>0.34</b>				
	$\theta_{w max}$	1.157	1.438	1.984	<b>1.53</b>	<b>0.32</b>				

244

245

Table 4. Uncertainty in the average and maximum crack opening of the smeared model.

	(a) Coarse mesh	Model uncertainty parameters				(b) Fine mesh	Model uncertainty parameters			
		$\mu_\theta$	$V_\theta$				$\mu_\theta$	$V_\theta$		
12-20	P (kN)	70	90	162						
	$w_{mean}$ (mm)	0.206	0.204	0.937						
	$w_{max}$ (mm)	0.245	0.301	1.947						
	$\theta_{w mean}$	0.568	0.971	0.539	0.69	0.35				
	$\theta_{w max}$	1.065	1.316	0.496	0.96	0.44				
12-70	P (kN)	50	60	100						
	$w_{mean}$ (mm)	0.237	0.297	0.551						
	$w_{max}$ (mm)	0.259	0.345	0.617						
	$\theta_{w mean}$	0.810	0.939	1.051	0.93	0.13				
	$\theta_{w max}$	1.266	1.359	1.981	1.54	0.25				
25-20	P (kN)	100	180	400						
	$w_{mean}$ (mm)	0.068	0.094	0.14						
	$w_{max}$ (mm)	0.088	0.158	0.412						
	$\theta_{w mean}$	1.971	1.479	2.471	1.97	0.25				
	$\theta_{w max}$	1.977	2.165	2.024	2.06	0.05				
25-70	P (kN)	60	100	300						
	$w_{mean}$ (mm)	0.078	0.148	0.511						
	$w_{max}$ (mm)	0.091	0.199	0.798						
	$\theta_{w mean}$	1.423	1.385	1.276	1.36	0.06				
	$\theta_{w max}$	1.747	1.789	1.455	1.66	0.11				
All	$\theta_{w mean}$	1.193	1.193	1.334	<b>1.24</b>	<b>0.45</b>				
	$\theta_{w max}$	1.514	1.657	1.489	<b>1.55</b>	<b>0.31</b>				
12-20	P (kN)	70	90	162						
	$w_{mean}$ (mm)	0.187	0.257	0.9						
	$w_{max}$ (mm)	0.231	0.31	1.666						
	$\theta_{w mean}$	0.626	0.770	0.561	0.65	0.16				
	$\theta_{w max}$	1.130	1.277	0.580	1.00	0.37				
12-70	P (kN)	50	60	100						
	$w_{mean}$ (mm)	0.248	0.279	0.458						
	$w_{max}$ (mm)	0.294	0.34	0.611						
	$\theta_{w mean}$	0.774	1.000	1.264	1.01	0.24				
	$\theta_{w max}$	1.116	1.379	2.000	1.50	0.30				
25-20	P (kN)	100	180	400						
	$w_{mean}$ (mm)	0.068	0.081	0.198						
	$w_{max}$ (mm)	0.088	0.159	0.358						
	$\theta_{w mean}$	1.971	1.716	1.747	1.81	0.08				
	$\theta_{w max}$	1.977	2.151	2.330	2.15	0.08				
25-70	P (kN)	60	100	300						
	$w_{mean}$ (mm)	0.059	0.126	0.341						
	$w_{max}$ (mm)	0.075	0.17	0.63						
	$\theta_{w mean}$	1.881	1.627	1.912	1.81	0.09				
	$\theta_{w max}$	2.120	2.094	1.843	2.02	0.08				
All	$\theta_{w mean}$	1.313	1.278	1.371	<b>1.32</b>	<b>0.41</b>				
	$\theta_{w max}$	1.586	1.725	1.688	<b>1.67</b>	<b>0.33</b>				

246 Looking at the model uncertainty parameter values individually, it can be concluded that regardless of the  
 247 crack approach, the model uncertainty for mean crack widths is always smaller or close to 1.0 for the specimens  
 248 with 12 mm bars – i.e. the lowest reinforcement ratio. The opposite trend is observed in the specimens with  
 249 25 mm bars, where the model uncertainty can be significantly above 1. This suggests that both approaches

250 provide their best estimates for mean crack widths in specimens with smaller reinforcement ratios, situation  
251 where the numerical value overestimates the experimental reference. This can be quite relevant for design  
252 purposes, as it sets the range where safest estimates can be expected. It also indicates a potential need for model  
253 improvement in the case of higher reinforcement ratios.

254 The highest model uncertainties are found in the maximum crack widths. In this case, the discrete model can  
255 underestimate the experimental value by nearly 53% overall, whereas the smeared model underestimates it by  
256 67% with the fine mesh. Both models are also sensitive to the mesh size, but not significantly. Individually,  
257 the model uncertainties can be even higher, approaching in some cases the uncertainty value of 2.0. The worst  
258 results for this parameter are most likely related with an insufficiency of the modelling assumptions. Indeed,  
259 without a random variation of the material properties, the numerical models are unable to capture properly the  
260 variations likely to impact on the maximum crack widths. It is speculated that such random material and  
261 geometrical defects may be responsible for the underestimation of the maximum crack widths. This  
262 observation is quite relevant for design, where quite often good estimates for this parameter are critical. As an  
263 additional comment, the experimental measurement of the maximum crack width is also very difficult to obtain  
264 and strongly depends on the measurement method. All these factors weigh-in to explain the increased model  
265 uncertainty found on maximum crack widths.

266 The above conclusions are based on limited experimental data including only four independent beam tests.  
267 This means that the model uncertainty found is supported by a low confidence. However, the main goal of this  
268 study was the comparison of two discretisation methods used for crack propagation in concrete, where the  
269 model uncertainty was the tool selected for such comparison. A detailed investigation using more extensive  
270 experimental data set would certainly increase the confidence level of the model uncertainty

## 271 **8. Conclusions**

272 The present study compared two crack models, one based on the discrete crack model and another based on  
273 the smeared crack approach. Four reinforced concrete beams tested using a four-point bending scheme were  
274 considered as reference. The experimental data included deflections, mean and maximum crack widths and  
275 average crack spacing, for three stages of service loads. This data was used to estimate the mean and coefficient  
276 of variation for the model uncertainty of the crack widths.

277 The study in this paper confirmed that the discrete crack model is nearly insensitive to the mesh size not only  
278 for displacements, but also for average crack widths and spacing. Good predictions were found for average  
279 crack widths, where the average model uncertainty was 1.05 and 1.06, respectively, for the fine and coarse  
280 meshes. Such proximity between the two values also further supports the conclusion of mesh independency.  
281 The coefficient of variation for the model uncertainty of the discrete approach was nearly the same for the  
282 average crack spacing and mean crack widths, with values in the neighbourhood of 0.30.

283 For the smeared crack model, some mesh sensitivity was found, with the best results provided by the coarse  
284 meshes. The mean model uncertainty and corresponding coefficient of variation for average crack widths were,  
285 respectively, 1.24 and 0.45. When analysing each model in detail, it was concluded that both smeared and  
286 discrete crack approaches have a similar trend in their estimates, with the crack widths being overestimated  
287 for the smallest reinforcement ratios and underestimated for the highest ones, although the discrete crack model  
288 showed a better performance.

289 The model uncertainty in the maximum crack widths was very high for both crack models, with values that  
290 could reach 2. Such poor estimation could be attributed to the insufficiency of the modelling assumptions,  
291 which did not account for imperfections and material defects.

292 Following this work several recommendations are worth mentioning. In terms of design, the general belief that  
293 extremely refined meshes provide better results was not observed, particularly for the smeared crack models.  
294 In addition, numerical estimates for maximum crack widths based solely on homogeneous material properties  
295 can be quite far from reality. Random distributions of material properties may have to be considered for  
296 reducing the model uncertainty if better estimates are indeed required.

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