

1
2
3
4
5 **Simulated multileaf collimator tracking for stereotactic liver radiotherapy guided by kilovoltage**
6 **intrafraction monitoring: dosimetric gain and target overdose trends**
7
8
9

10 Per R Poulsen^{a,b}, Ghulam Murtaza^{a,c}, Esben S Worm^d, Thomas Ravkilde^d, Ricky O'Brien^e, Cai Grau^{a,b}, Morten
11 Høyer^b and Paul Keall^e
12
13

14 ^aDepartment of Oncology, Aarhus University Hospital, Aarhus, Denmark
15

16 ^bDanish Center for Particle Therapy, Aarhus University Hospital, Aarhus, Denmark
17

18 ^cSchool of Health Sciences, University of Management & Technology, Lahore, Pakistan
19

20 ^dDepartment of Medical Physics, Aarhus University Hospital, Denmark
21

22 ^eACRF Image X Institute, Sydney Medical School, University of Sydney, Sydney, NSW, Australia
23
24
25

26 **Corresponding author:**

27 Per Rugaard Poulsen

28 Department of Oncology and Danish Center for Particle Therapy

29 Aarhus University Hospital

30 Palle Juul-Jensens Boulevard 25, Entrance B3, 8200 Aarhus N, Denmark

31 Tel: +4578462651

32 Fax: +4578464522

33 Email: per.poulsen@rm.dk
34
35
36
37

38 **Number of pages:** 20

39 **Number of tables:** 0

40 **Number of figures:** 6

41 **Running head:** KIM-guided MLC tracking for liver SBRT
42
43
44
45

46 **Key words:** Image-guided radiation therapy; radiotherapy target organ alignment; intrafraction motion;
47 kilovoltage intrafraction monitoring; multileaf collimator tracking
48
49
50
51

52 **Highlights**
53

- 54 • Tumor motion during liver SBRT can compromise the tumor dose
- 55
- 56 • KIM-guided MLC tracking can restore the tumor dose coverage
- 57
- 58 • Tumor motion perpendicular to the MLC leaves can create dose hotspots in the tumor
- 59
60
61
62
63
64
65

Abstract

Purpose: To investigate the potential benefit of multileaf collimator (MLC) tracking guided by kilovoltage intrafraction monitoring (KIM) during stereotactic body radiotherapy (SBRT) in the liver, and to understand trends of target overdose with MLC tracking.

Methods: Six liver SBRT patients with 2-3 implanted gold markers received SBRT delivered with volumetric modulated arc therapy (VMAT) in three fractions using daily cone-beam CT setup. The CTV-to-PTV margins were 5 mm in the axial plane and 10 mm in the cranio-caudal directions, and the plans were designed to give minimum target doses of 95% (CTV) and 67% (PTV). The three-dimensional marker trajectory estimated by post-treatment analysis of kV fluoroscopy images acquired throughout treatment delivery was assumed to represent the tumor motion. MLC tracking guided by real-time KIM was simulated. The reduction in CTV D95 (minimum dose to 95% of the clinical target volume) relative to the planned D95 ($\Delta D95$) was compared between actual non-tracking and simulated MLC tracking treatments.

Results: MLC tracking maintained a high CTV dose coverage for all 18 fractions with $\Delta D95$ (mean: 0.2 percentage points (pp), range: -1.7-1.9 pp) being significantly lower than for the actual non-tracking treatments (mean: 6.3 pp range: 0.6-16.0 pp) ($p=0.002$). MLC tracking of large target motion perpendicular to the MLC leaves created dose artifacts with regions of overdose in the CTV. As a result, the mean dose in spherical volumes centered in the middle of the CTV was on average 2.4 pp (5mm radius sphere) and 1.3 pp (15mm radius sphere) higher than planned ($p=0.002$).

Conclusions: Intrafraction tumor motion can deteriorate the CTV dose of liver SBRT. The planned CTV dose coverage may be restored with KIM-guided MLC tracking. However, MLC tracking may have a tendency to create hotspots in the CTV.

Introduction

Stereotactic body radiation therapy (SBRT) emerged a quarter of a century ago (1,2) and has recently seen increased interest for both metastases (3) and primary tumors in the liver (4). With few fractions and steep dose gradients the treatments should be delivered as accurately as possible, but motion during liver SBRT is a challenge that may compromise the tumor dose (5-7).

A high treatment accuracy in the presence of tumor motion may be obtained by real-time tumor tracking with the robotic CyberKnife system (8) or the gimbaled Vero system (9), which are however highly specialized treatment machines. A potential alternative approach on a conventional linear accelerator is multileaf collimator (MLC) tracking, where the MLC aperture is adjusted continuously to follow the tumor motion. In the first clinical trials, real-time tumor localization by implanted electromagnetic transponders was used to guide prostate (10) and lung (11) cancer MLC tracking. In a recent prostate cancer trial, MLC tracking was integrated with kilovoltage intrafraction monitoring (KIM), where the three-dimensional (3D) real-time target position signal is supplied by x-ray imaging perpendicular to the treatment beam (12). A useful feature of KIM-guided MLC tracking is that the real-time target localization and motion adaptation only rely on a gantry-mounted kV imager and an MLC, which are both standard equipment of modern conventional linear accelerators. With no additional hardware costs, this tracking method has large potential for widespread use.

A future clinical application of MLC tracking is for liver SBRT, where drift and respiratory motion can be large and cause substantial tumor dose reductions in treatments without real-time motion adaptation (5,6). Retrospective KIM of implanted marker motion during volumetric modulated radiotherapy

(VMAT) delivery in the liver has shown sub-millimeter localization accuracy (5), but so far KIM-guided MLC tracking has not been investigated for liver SBRT. **Due to the large tumor motion** it is important to establish the accuracy of MLC tracking for liver SBRT. In this study, we use kV images acquired during liver SBRT treatments to perform realistic simulations of KIM-guided MLC tracking. We use dose reconstruction with and without the simulated MLC tracking to quantify the dosimetric gain of the tracking. The MLC tracking doses provide new and valuable information on systematic tendencies that MLC tracking can have to create dose hotspots in the target.

Materials and methods

Patients, planning and imaging

This study includes the same six liver SBRT patients as Ref. (5), where the planned tumor doses in VMAT treatments (Figure 1, upper row) were compared with the actually delivered doses as reconstructed by use of the 3D tumor motion during treatment delivery (Figure 1, middle row). The patients had one (n=3), two (n=1), four (n=1) or six (n=1) metastases and 2-3 gold markers implanted close to the metastases. Delineation of the lesions in the mid-ventilation phase of a 4DCT scan formed both the gross tumor volume (GTV) and the clinical target volume (CTV). Following our institutional standard, the CTV was extended by 5mm margins in the left-right (LR) and anterior-posterior (AP) directions and 10mm margins in the cranio-caudal (CC) direction to generate the planning target volume (PTV) (13). VMAT plans with 5-6 arcs covered the CTV with 95% and the PTV with 67% of the prescribed mean CTV dose, which was 56.25Gy (n=2) or 75Gy (n=4) in three fractions. The patients were treated on Trilogy accelerators with Millennium MLCs (Varian Medical Systems, Palo Alto, CA) using a flattening filter and a nominal dose rate of 600 MU per minute. Abdominal compression was used for all patients. During treatment delivery, fluoroscopic kV images were acquired perpendicular to the treatment beam with 5Hz frequency. After the treatments, the gold marker closest to the isocenter was segmented in the kV images and its 3D motion was estimated from the projected 2D motion with the probability-based method of

1
2
3
4
5 KIM (14). Analysis of continuous portal images from the treatments showed that the post-treatment 3D motion
6
7 estimation by KIM had sub-millimeter accuracy (5). In the current study, we therefore use this motion as the
8
9 actual ground truth tumor motion in the simulated treatments. Over all fractions the mean (and range) of the
10
11 peak-to-peak tumor motion per fraction was 4.8mm (2.1-8.7mm) (LR), 16.2mm (7.3-35.4mm) (CC) and 6.4mm
12
13 (2.0-9.7mm) (AP). More details on the patients, treatments, tumor motion and KIM imaging dose can be found
14
15 in Ref. (5).
16
17

18 19 *MLC tracking simulations*

20
21
22 KIM-guided MLC tracking treatments on a Trilogy accelerator was simulated for all fractions (Figure 1, bottom
23
24 row). Since the jaws are static during MLC tracking on a Trilogy accelerator the jaw positions of each VMAT
25
26 field was extended 15mm and the positions of all closed MLC leaf pairs were moved behind the new jaw
27
28 positions to allow MLC aperture shifts within the fixed jaw-defined field. Due to large field sizes this procedure
29
30 was not possible for the two patients with four or six metastases. Therefore, new VMAT plans with the original
31
32 collimator and gantry angles, but only covering the largest metastasis, were made for these patients. After jaw
33
34 extension all plans were recalculated and renormalized to CTV mean doses of 100%. It should be noted that the
35
36 plans were not originally designed for MLC tracking. In clinical VMAT MLC tracking of respiratory motion, the
37
38 MLC leaves are typically aligned along the CC direction (11). However, for the 31 VMAT fields in this study,
39
40 the MLC leaves were rotated 30° (n=4), 45° (n=12), 60° (n=4), or more (n=11) from the CC direction.
41
42
43
44
45

46 The MLC tracking treatments were simulated with in-house developed software similar to the TrueBeam
47
48 tracking simulator described in Ref (15), but optimized to simulate MLC tracking on a Trilogy accelerator and
49
50 with the capability to emulate real-time tumor localization by KIM. In accordance with Ref. (16) the MLC leaf
51
52 adjustment to a requested step Δx was assumed to last 56ms for $\Delta x < 1.3\text{mm}$ and to occur with 22ms latency
53
54 followed by leaf motion at 3.8cm/s for $\Delta x \geq 1.3\text{mm}$. Trilogy MLC tracking (17) differs from TrueBeam MLC
55
56 tracking (18) by a higher maximum MLC leaf speed (3.8cm/s (16) versus 2.5 cm/s (18)) and a different
57
58
59
60
61
62
63
64
65

1
2
3
4
5 prediction algorithm (kernel density estimation predictor (19) versus linear Kalman filter prediction (18)), and by
6
7 having static rather than tracking jaws during MLC tracking. Trilogy MLC tracking has been integrated with a
8
9 wide range of real-time localization methods, including KIM (17), while TrueBeam MLC tracking has been
10
11 integrated with optical monitoring and electromagnetic monitoring, only (18).
12
13
14

15 The width of the aperture-forming MLC leaves was 5mm. The tracking simulations assumed kV
16
17 imaging at the same time points and with the same 2D marker positions in the images as in the actual clinical
18
19 treatments. Real-time KIM estimation of the 3D marker position of the latest kV image was performed by first
20
21 fitting a 3D Gaussian probability density function (PDF) to a series of previous images, including the latest
22
23 image, and then estimating the 3D position as the most likely position given the projected 2D position and the
24
25 3D Gaussian PDF (14). In clinical real-time KIM treatments, kV images are acquired during a pre-treatment
26
27 gantry rotation (20) or as part of a cone-beam CT scan (21) and used to build the PDF prior to treatment start.
28
29 However, such pre-treatment kV images were not available in the current simulation study. Therefore, the 3D
30
31 tumor position was not estimated by KIM until 90 images had been acquired during the first VMAT field of a
32
33 fraction. In this initial period, the MLC tracking assumed perfect tumor alignment in the LR and AP directions
34
35 and only compensated for the CC tumor motion, which was directly visible in the kV images. After acquisition
36
37 of 90 kV images, the full 3D tumor position was estimated in real time by KIM and compensated by MLC
38
39 tracking. At the subsequent fields, the PDF for KIM was estimated from the last 200 images of the preceding
40
41 field and all images acquired so far during the current field. The KIM-guided MLC tracking has a system latency
42
43 of 290ms (17), which was accounted for by the kernel density estimation-based prediction algorithm (19) used in
44
45 a lung MLC tracking trial (11). The prediction started 8 seconds into the first field delivery when sufficient
46
47 training data had been recorded.
48
49
50
51
52
53

54 *Geometric and dosimetric delivery errors*
55
56
57
58
59
60
61
62
63
64
65

1
2
3
4
5 For all simulated MLC tracking fractions, the root-mean-square (rms) error of the real-time tumor
6
7 localization was calculated separately for the two steps of 3D position estimation by KIM and motion prediction
8
9 as well as for the two steps combined. For all fractions, non-tracking and tracking, the time resolved tumor
10
11 position error in beam's eye view (BEV) was calculated and its rms value was reported. It provided a geometric
12
13 error quantity that was directly applicable to both non-tracking and MLC tracking treatments. For MLC tracking
14
15 treatments, the BEV tumor position error at a time point was calculated as described in Ref. (7) as the difference
16
17 between the current MLC aperture position and the ideal aperture, which was defined as the planned MLC
18
19 aperture shifted in BEV to the current target position.
20
21
22
23

24 For all treatment fractions, motion-including tumor dose reconstruction was performed by
25
26 dividing each VMAT beam into multiple sub-beams that were each given an isocenter shift that reflected the
27
28 tumor position when the sub-beam was delivered (5,22). The sub-beam generation was performed in an in-house
29
30 built Matlab program (version R2016a), while the dose of the motion-encoded plan was calculated in the
31
32 treatment planning system (Eclipse, Varian Medical Systems). Rather than using the original clinical plans, the
33
34 actually delivered dose without tracking was reconstructed using the tracking prepared plans with extended jaws
35
36 and altered to irradiate only the largest metastasis for two patients. It allowed direct comparison between non-
37
38 tracking and tracking doses. The motion-including doses were used to calculate the CTV ΔD_{95} , i.e. the reduction
39
40 in the minimum dose to 95% of the CTV relative to the planned dose. A two-sided Wilcoxon signed rank test
41
42 was used to investigate for significant differences between CTV ΔD_{95} in non-tracking and MLC tracking
43
44 treatments. Pearson's correlation coefficient was used to test for correlation between the BEV rms position error
45
46 and CTV ΔD_{95} at a fraction.
47
48
49
50
51

52 In order to investigate potential trends in the dose as a function of distance from the center of the CTV
53
54 all dose distributions were exported as Dicom dose files from the treatment planning system and analyzed in a
55
56 Matlab program. A radial dose distribution was determined by calculating the mean dose in 1mm thick spherical
57
58 shells with the same center as the CTV and increasing radii in steps of 1mm. Furthermore, the mean dose
59
60
61
62
63
64
65

1
2
3
4
5 delivered in spherical regions with 5mm, 10mm and 15mm radius and centered in the CTV center was compared
6
7 with the planned dose using a two-sided Wilcoxon signed rank test.
8
9

10 11 12 13 14 *Supplementary experiments*

15
16
17 In order to investigate if experiments show similar dose error trends as the treatment simulations
18
19 the dose errors of a recent experimental MLC tracking study (23) were investigated. Optically guided MLC
20
21 tracking with a TrueBeam accelerator was performed with the treatment plan and tumor motion from one
22
23 fraction for five of the six liver SBRT patients of the current simulation study (23). The dose distributions of
24
25 tracking and non-tracking motion experiments as well as static reference experiments were measured for a total
26
27 of 25 VMAT fields with a biplanar Delta4 dosimeter (Scandidos, Sweden). For each experiment, the radial dose
28
29 distribution was extracted as described in the previous paragraph and the mean dose in spherical regions was
30
31 compared between the motion experiments and static experiments.
32
33
34

35 36 **Results**

37
38
39 Figure 2 compares the real-time KIM estimated liver tumor motion with the actual motion for the first VMAT
40
41 field at a treatment fraction. In the MLC tracking treatments, the LR and AP position was assumed to equal the
42
43 planned position in the first 18s until 3D KIM localization was initiated after 90 kV images. The mean gantry
44
45 rotation at all fractions before initiation of 3D real-time localization and MLC tracking in the LR and AP
46
47 direction was 34° (range: 22-45°). In subsequent fields, 3D localization took place from the start of the field
48
49 delivery. The 18s with only CC MLC tracking constituted 2.9-4.5% of the treatment delivery duration (3.9% in
50
51 mean). After 8s treatment the prediction algorithm started to compensate for the tracking system latency.
52
53 Irregular breathing occasionally caused substantial prediction errors, an example being after ~70s in Figure 2
54
55 where a breathing period longer than the preceding periods cause erroneous prediction. The mean rms error of
56
57 the real-time tumor localization per fraction was 0.54mm (LR), 0.04mm (CC), and 0.52mm (AP) for the 3D
58
59
60
61
62
63
64
65

1
2
3
4
5 position estimation by KIM, 0.36mm (LR), 1.26mm (CC), and 0.50mm (AP) for the prediction, and 0.66mm
6
7 (LR), 1.26mm (CC), and 0.72mm (AP) for both steps combined.
8
9

10
11 In BEV, a larger part of the tumor motion (53.2% variance) was perpendicular to the MLC leaves
12
13 than parallel to the leaves (46.8% variance), reflecting that the collimator angle was not optimized for MLC
14
15 tracking of liver tumor motion. The 2D rms tumor position error in BEV per fraction had a mean (range) of
16
17 4.5mm (2.4-6.4mm) without MLC tracking and 2.4mm (1.4-4.2mm) with MLC tracking.
18
19

20
21 Figure 3 presents the planned and delivered dose distributions accumulated over the 3-fraction
22
23 course for the three patients whose CTV $\Delta D95$ without MLC tracking was smallest (Patient 3), largest (Patient
24
25 5) and closest to the mean (patient 1). The dose distributions for the other three patients are shown in
26
27 Supplementary Figure 1. For all patients, the CTV dose volume histogram (DVH) indicates the presence of CTV
28
29 low dose regions without MLC tracking and hot spots with higher doses than planned with MLC tracking. MLC
30
31 tracking was in general able to maintain a high CTV dose coverage as indicated by a mean CTV $\Delta D95$ of 0.2
32
33 percentage points (pp) (range: -1.7-1.9 pp) for individual fractions. It was significantly lower than CTV $\Delta D95$
34
35 without MLC tracking (mean: 6.3 pp, range: 0.6-16.0 pp) ($p=0.0002$). For the accumulated dose over the three-
36
37 fraction treatment courses, the mean (and range) of CTV $\Delta D95$ was 0.5 pp (-1.4-1.6 pp) with MLC tracking and
38
39 5.6 pp (0.7-11.9 pp) without tracking. CTV $\Delta D95$ per fraction was highly correlated with the 2D rms error in
40
41 BEV ($r=0.90$, $p<<0.0001$) (Figure 4).
42
43
44
45
46

47
48 At 9 out of 18 fractions, MLC tracking resulted in higher CTV D95 doses than planned (negative
49
50 $\Delta D95$ in Figure 4). The radial dose distributions showed that MLC tracking gave significantly higher doses than
51
52 planned in the central part of the CTV (Figure 5). The mean dose was on average 2.4 pp (range: 1.2-4.4 pp, p
53
54 $=0.0002$), 2.1 pp (1.1-3.9 pp, $p=0.0002$) and 1.3pp (0-3.1 pp, $p=0.0002$) higher than planned in central spherical
55
56 regions of 5mm, 10mm and 15mm radius, respectively. Without tracking the mean dose in the same regions was
57
58 on average 0.5 pp ($p=0.14$), 1.0 pp ($p=0.006$) and 2.0 pp ($p=0.002$) lower than planned.
59
60
61
62
63
64
65

1
2
3
4
5 Analysis of the target overdose trend of MLC tracking indicated that it was caused by the finite
6 speed of the MLC leaves when they adjusted to tumor motion perpendicular to the MLC leaves in BEV. An
7 intuitive explanation is illustrated in the top row in Figure 6. When the target moves one leaf width
8 perpendicular to the MLC leaves, the adjustment of the MLC aperture to the new target position will in general
9 involve extension of some leaves (indicated with red arrows in Figure 6) and retraction of some other leaves
10 (blue arrows). The leaf extension takes a finite time during which the area not yet covered by the extending leaf
11 is unintentionally exposed to radiation (red arrows in Figure 6a-c). These over-exposed areas will often be
12 located in the central part of the MLC aperture since the extending leaf typically moves towards the center.
13 Similarly, the area still covered by a retracting leaf will be under-exposed. The under-exposed areas will often be
14 located in the periphery of the MLC aperture since the leaf typically retracts toward this region. The net result is
15 a shift of dose from the periphery to the center of the MLC aperture caused by the finite leaf speed when the
16 MLC aperture is adjusted to perpendicular target motion. Figure 6(d) shows the cumulative exposure error of the
17 MLC adjustment in Figures 6(a-c). Similarly, Figure 6(e) presents the cumulative MLC exposure errors caused
18 by MLC adjustments for the simulated MLC tracking treatments for the three patients in Figure 3.
19 Corresponding figures for the three other patients are presented in Supplementary Figure 2. The figures show a
20 clear systematic trend of overexposure in the central part of the MLC aperture and underexposure near the rim.
21 The over-exposure in BEV in Figure 6(e) and Supplementary Figure 2 affected varying parts of the tumor during
22 the tracking treatments due to gantry rotation during VMAT fields and collimator rotation between fields. The
23 result was a tendency of hotspots in the CTV as seen in the MLC tracking dose distributions in Figure 3 and
24 Supplementary Figure 1.

25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

Supplementary Figure 3 shows the dose distributions measured for five of the six patients in static experiments along with the dose errors in motion experiments with and without MLC tracking. As reported in Ref. (23) MLC tracking largely improved the delivered dose distributions compared to non-tracking experiments. However, similarly to simulations MLC tracking resulted in overdosed regions within the target,

1
2
3
4
5 which is further illustrated by the radial dose distributions in Supplementary Figure 4. Averaged over all 25
6
7 VMAT fields in the experiments the mean dose with MLC tracking was 2.5 % ($p=0.0003$), 2.3 % ($p=0.0001$)
8
9 and 1.9 % ($p=0.0001$) higher than in the static experiments in central regions of 5mm, 10mm and 15mm radius,
10
11 respectively. This is summarized in Supplementary Table 1 along with separate statistics for fields with the MLC
12
13 leaves rotated at most 45° from the CC direction and least 60° from the CC direction. For 15 fields with at least
14
15 60° leaf rotation, MLC tracking gave significantly higher mean doses than static treatments in all three spherical
16
17 regions ($p=0.0002-0.0004$). For 10 fields with at most 45° leaf rotation, MLC tracking gave significantly higher
18
19 mean doses than static treatments in the 10mm radius region ($p=0.04$), but not in the 5mm radius region ($p=$
20
21 0.11) nor 15mm radius region ($p=0.06$) (Supplementary Table 1).
22
23
24
25

26 Discussion

27
28
29
30 Simulation of KIM-guided MLC tracking for six liver SBRT patients showed that the tracking can
31
32 maintain a high tumor dose coverage even in the presence of large intrafraction motion that clearly reduced the
33
34 tumor dose coverage without MLC tracking. KIM-guided MLC tracking has been implemented for prostate
35
36 cancer (12) and may see widespread clinical use since it only requires software upgrades of standard-equipped
37
38 conventional linear accelerators. It would allow reduction of motion margins and function as a safety belt that
39
40 ensures high tumor dose coverage even for the patients with largest motion. The KIM imaging adds an effective
41
42 dose per fraction that is comparable to the dose of a cone-beam CT scan (5).
43
44
45

46
47 A tendency of hotspots in the CTV with MLC tracking was observed and an intuitive explanation
48
49 was given in terms of the finite leaf speed in MLC adjustments to perpendicular tumor motion. It stresses the
50
51 need for proper selection of the collimator angle for MLC tracking of respiratory motion. MLC exposure errors
52
53 during MLC tracking are not only caused by MLC adjustments, but are also due to real-time target localization
54
55 errors and MLC leaf fitting errors (17). It is, however, only the MLC adjustment error that has the general
56
57 tendency illustrated in Figure 6 to over-expose the central part of the MLC aperture increasing the CTV dose.
58
59
60
61
62
63
64
65

1
2
3
4
5 Investigation of previous MLC tracking experiments with the same treatment plans as in the simulations
6
7 revealed a similar tendency of central overdose. This overdose was largest for treatment fields with largest MLC
8
9 leaf rotation relative to the CC direction, supporting the assumption that it is caused by target motion
10
11 perpendicular to the MLC leaves.
12
13
14

15 In the current study, we used the original clinical VMAT plans to allow direct comparison of MLC
16
17 tracking with actual non-tracking treatments in our department. Therefore, the collimator angle was not
18
19 optimized for MLC tracking. Alignment of the MLC leaves along the CC axis, which is standard for lung
20
21 VMAT MLC tracking (11), would reduce the fraction of BEV target motion perpendicular to the MLC leaves
22
23 from 53.2% of the target position variance to 20.3% of the variance. This would decrease the tendency of
24
25 hotspots in the target. It has previously been shown that liver tumors in general have a dominant motion
26
27 direction that can be estimated with good accuracy from a 4DCT scan (24). For the patients in this study, this
28
29 could be exploited to further reduce the perpendicular tumor motion to 13.3% of the variance by VMAT plans
30
31 with gantry dependent collimator angles that align the MLC leaves along the main motion direction (first
32
33 principal component) of the 4DCT motion (25). The MLC exposure errors from MLC adjustment to
34
35 perpendicular target motion may also be decreased by faster leaf motion or by reducing the leaf motion needed
36
37 to adapt to perpendicular target motion. This can be obtained by designing less complex VMAT plans (26) or
38
39 using the Y-jaws rather than the MLC leaves to define the field edges (27).
40
41
42
43
44

45 Despite the non-optimal collimator angles and lack of full 3D target localization in the first 18s of
46
47 a treatment the MLC tracking ensured good target coverage for all treatment fractions in this study (Figure 4).
48
49 Furthermore, the large target motion perpendicular to the MLC leaves gave new insight into systematic
50
51 dosimetric errors with a net transport of dose from the periphery to the central part of the MLC aperture. The
52
53 tendency of MLC tracking to create hotspots in the target region has been observed previously by other
54
55 researchers, who did, however, not explain the underlying mechanism (28-29). In experiments comparing couch
56
57 tracking with MLC tracking for prostate SBRT VMAT treatments with integrated boost, Ehrbar *et al.* found that
58
59
60
61
62
63
64
65

1
2
3
4
5 MLC tracking significantly increased the mean doses to the index lesions and the urethra (28). In a comparison
6
7 between gating and MLC tracking for prostate, Colvill *et al.* showed examples of increased target doses with
8
9 MLC tracking (see Figure 5 in Ref (29)). In this study, we could explain the trend of overdosing the target by
10
11 MLC adjustments to target motion perpendicular to the MLC leaves. While the hotspots may be clinically
12
13 acceptable for the SBRT treatments of the current study it will naturally be less acceptable for treatments with
14
15 planned target dose inhomogeneities such as prostate plans with higher doses to index lesions or lower doses to
16
17 the urethra.
18
19
20
21

22 MLC tracking of perpendicular target motion is discretized by the finite leaf width, and large leaf
23
24 motion is sometimes needed to adapt to a small target motion. Couch tracking (28), robotic tracking (8) and
25
26 gimbal tracking (9) do not have these limitations. On the other hand, only MLC tracking has the potential to
27
28 adapt to target deformations and differential motion of multiple targets. Since the target motion is always known
29
30 in tracking treatments motion-including dose reconstruction as in the current paper will in general be possible in
31
32 order to examine whether the delivered dose is acceptable. With current developments in real-time dose
33
34 reconstruction (30-31) this examination may even be performed online as the treatment is being delivered, which
35
36 could enable improved MLC tracking with dose-guided MLC aperture adaptation.
37
38
39
40

41 In conclusion, simulations showed that KIM-guided MLC tracking can restore the planned tumor
42
43 dose coverage in liver SBRT, but tumor motion perpendicular to the MLC leaves can create a tendency of target
44
45 overdose due to the finite MLC adjustment speed.
46
47
48
49
50

51 **Acknowledgements**

52
53
54 This study was supported by the Danish Cancer Society and Danish Comprehensive Cancer Center Radiotherapy
55
56 - The Danish National Research Center for Radiotherapy.
57
58
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

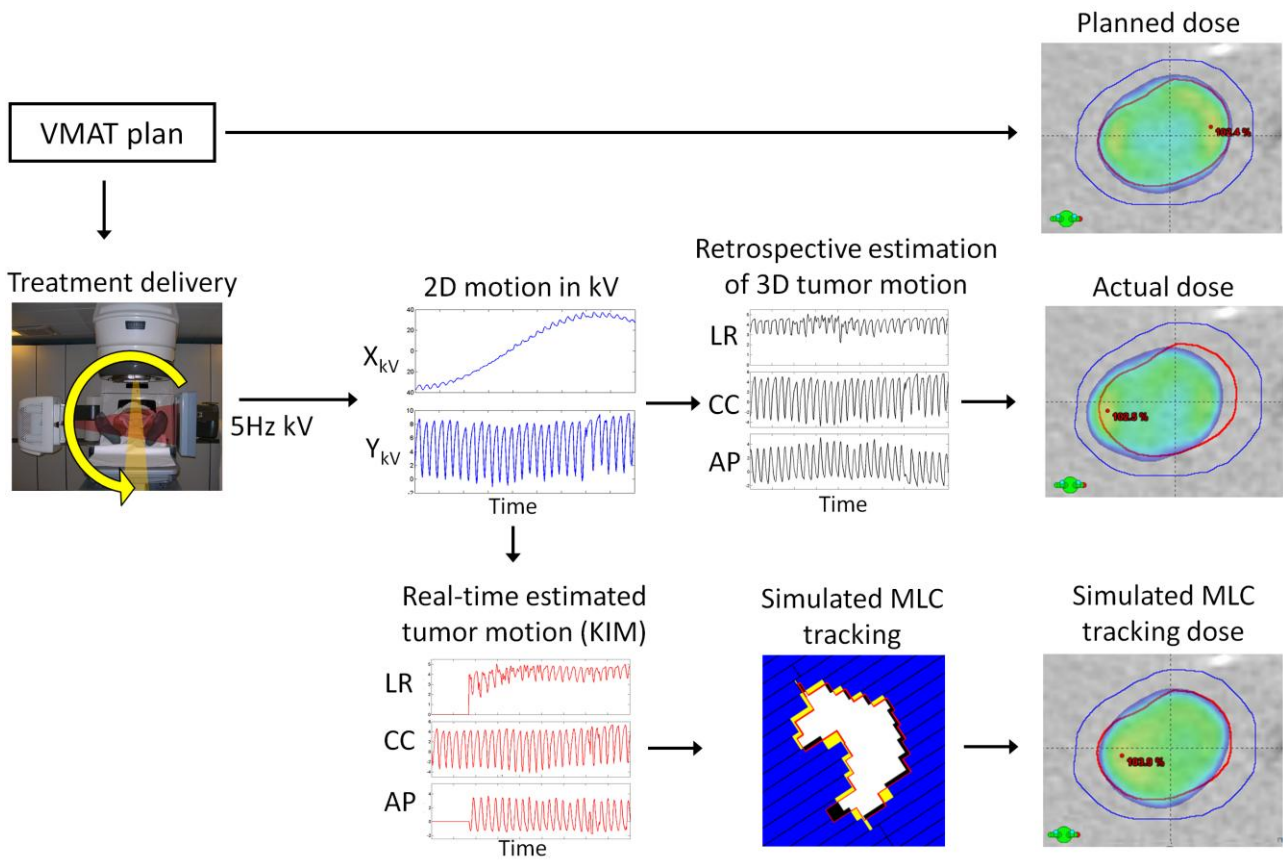


Figure 1. Method overview. For each VMAT treatment, the actually delivered dose without real-time motion adaptation (middle row) and the dose of simulated MLC tracking guided by real-time kilovoltage intrafraction monitoring (KIM) (bottom row) were compared with the planned dose without motion (top row).

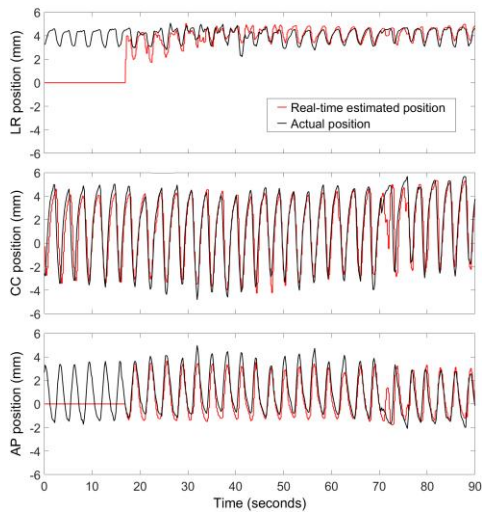


Figure 2. Actual (black) and real-time estimated (red) tumor position used for MLC tracking in the left-right (LR), cranio-caudal (CC) and anterior-posterior (AP) directions during the first field at a fraction (Patient 5, fraction 2).

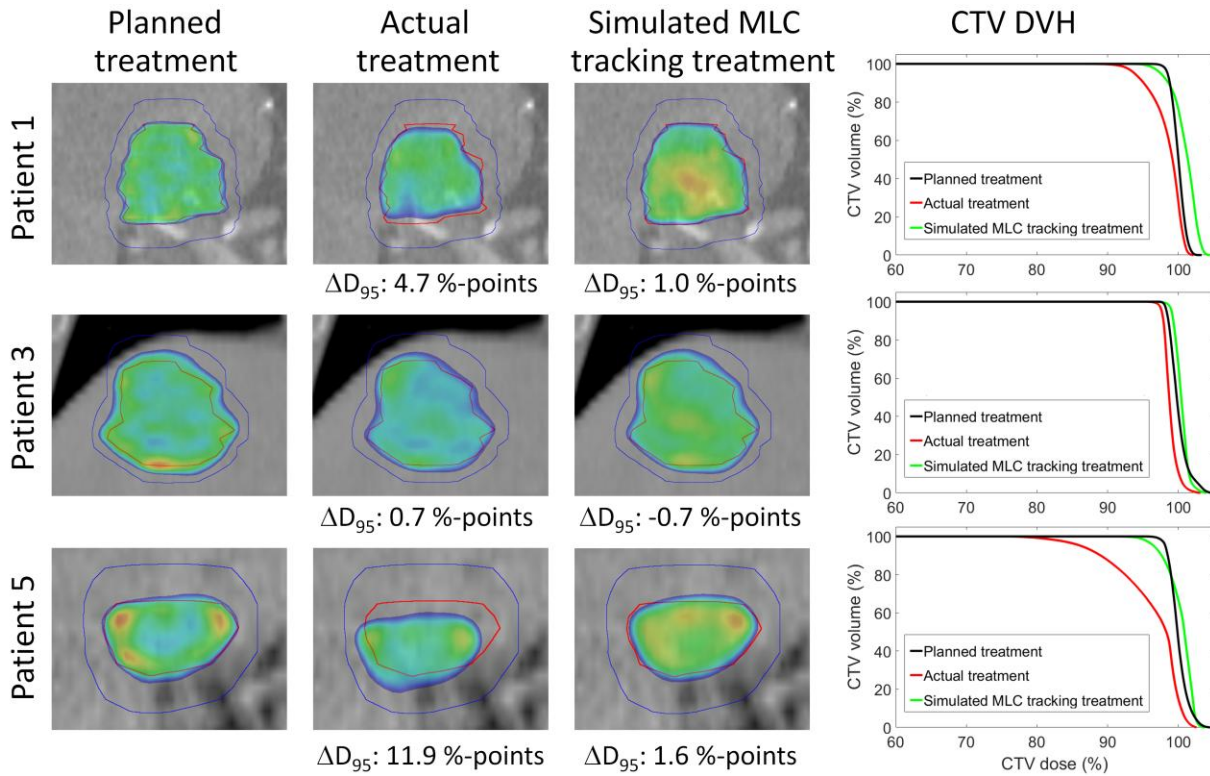


Figure 3. Examples of doses. Dose distributions and CTV dose volume histograms (DVH) as planned and delivered in the actual non-tracking treatments and simulated MLC tracking treatments for three patients. The doses are

accumulated over all three fractions and shown in the coronal plane through the center of the CTV (red structure) and PTV (blue) with a dose color wash range of 95-105% dose.

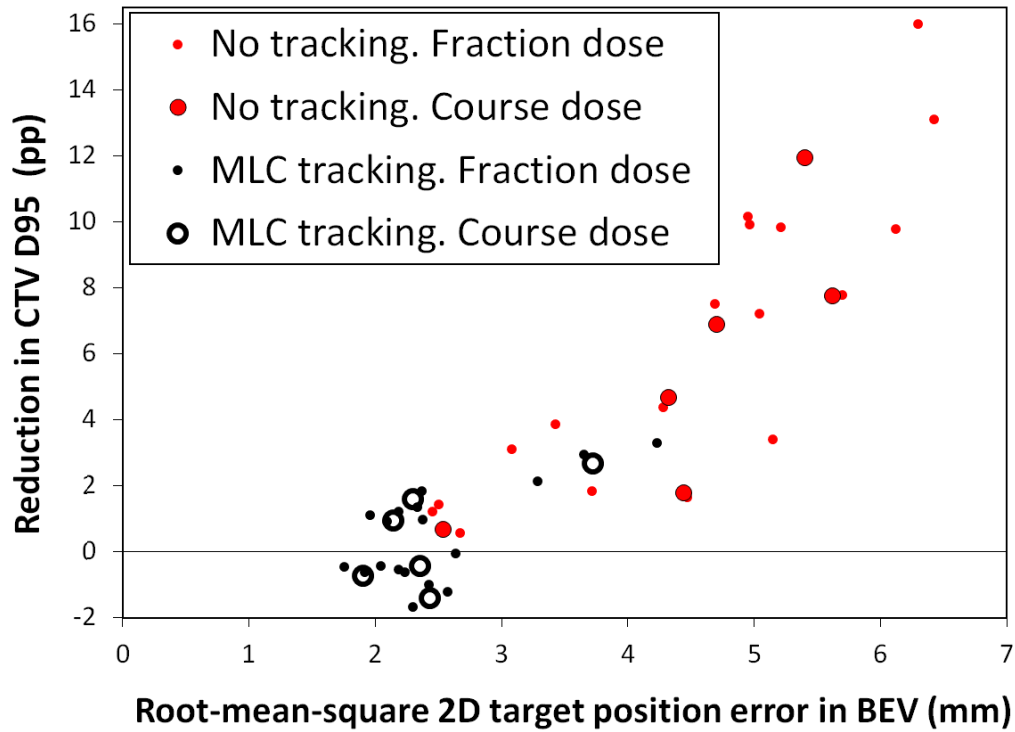


Figure 4. Relation between geometric and dosimetric errors. Percentage point (pp) reduction in CTV D95 versus the root-mean-square 2D target position error in beam's eye view (BEV) with (black) and without (red) MLC tracking for individual treatment fractions (small circles) and treatment courses (large circles).

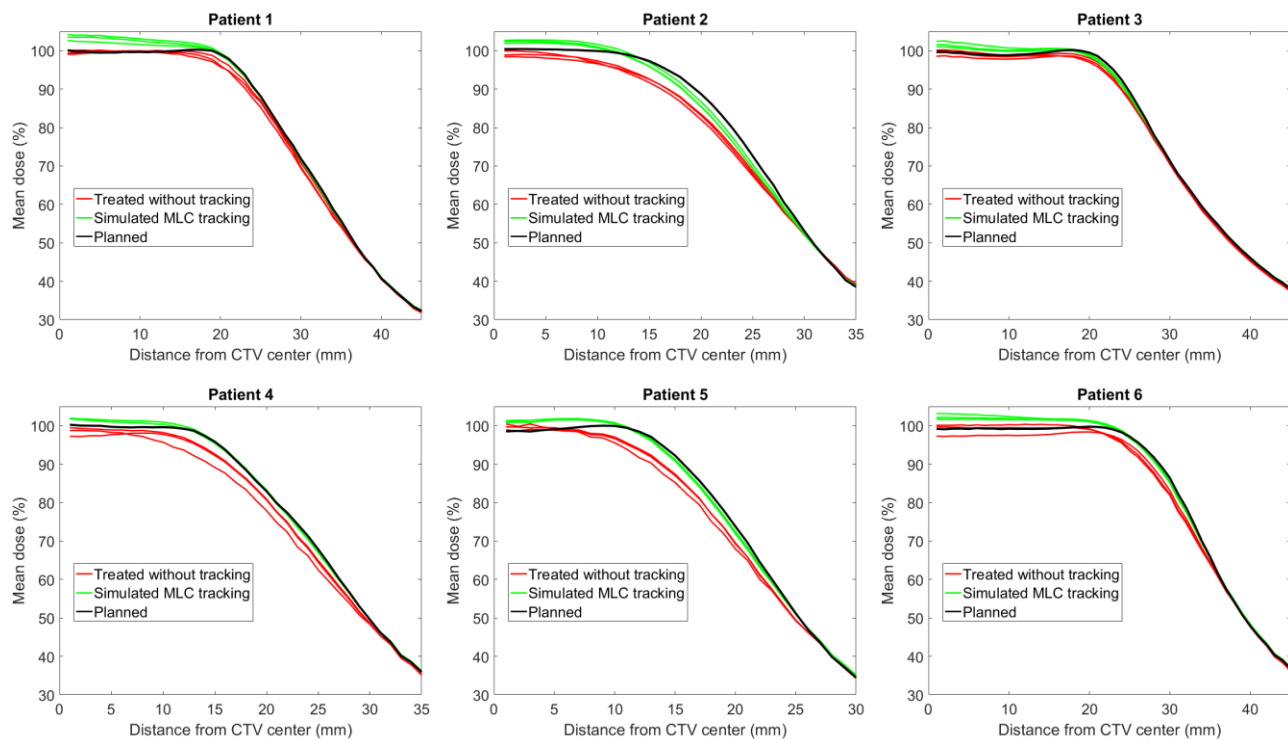


Figure 5. Radial dose distributions. Mean dose as function of radial distance from the CTV center as planned (black) and delivered at each fraction with (green) and without (red) MLC tracking.

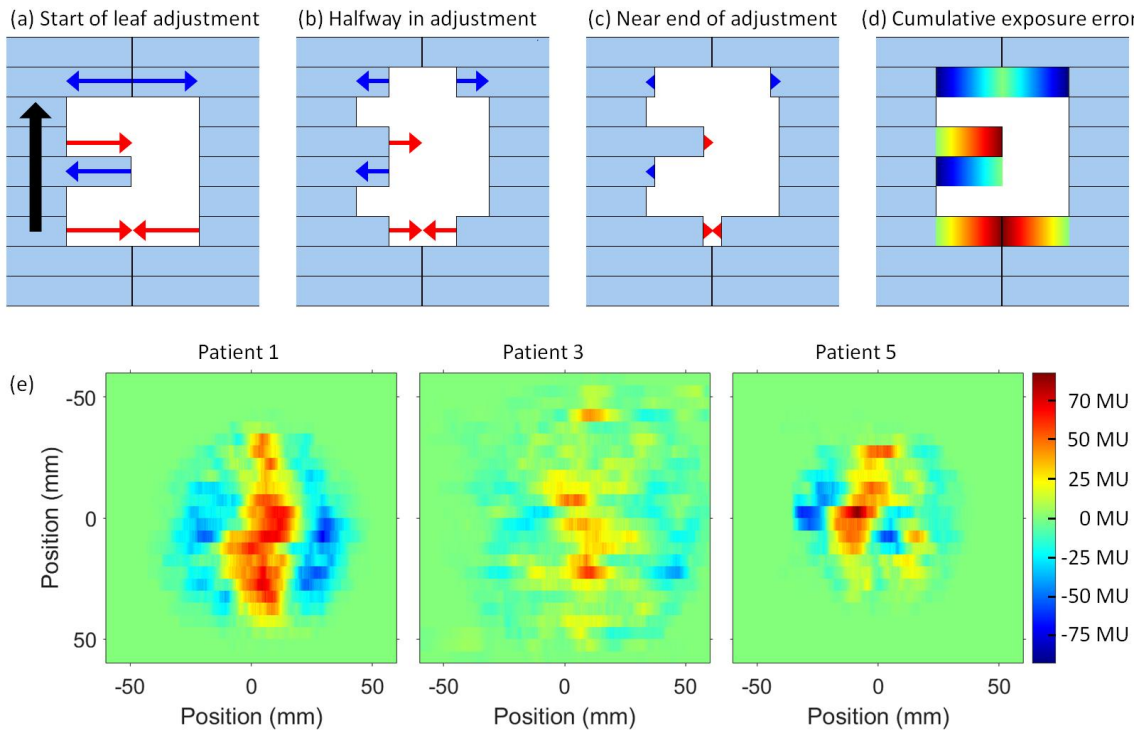


Figure 6. Top: MLC tracking of target motion perpendicular to the MLC leaves. When the target moves one leaf width along the black arrow the MLC leaves will be extended and retracted as indicated by red and blue arrows, respectively. The arrows in (a)-(c) show the not yet performed leaf adjustment, i.e. the MLC exposure error caused by the finite duration of the leaf adjustment. The red and blue arrows represent over-exposed and under-exposed areas, respectively. (d) The cumulative exposure error of the MLC adjustments in (a)-(c) with blue, green and red representing under-exposure, correct exposure and over-exposure, respectively. (e) The cumulative MLC exposure error of MLC adjustments during the simulated MLC tracking treatments for three patients. The MLC exposure errors were cumulated over all arc fields at each fraction and averaged over all three fractions. The unit is monitor units (MU).

References:

1. Blomgren H, Lax I, Näslund I, et al. Stereotactic high dose fraction radiation therapy of extracranial tumors using an accelerator: Clinical experience of the first thirty-one patients. *Acta oncologica* 1995;34:861-870.
2. Lax I, Blomgren H, Naslund I, et al. Stereotactic radiotherapy of malignancies in the abdomen. Methodological aspects. *Acta Oncol* 1994;33:677-83.
3. Petrelli F, Comito T, Barni S, et al. Stereotactic body radiotherapy for colorectal cancer liver metastases: A systematic review. *Radiother Oncol* 2018;129:427-434.
4. Rim CH, Kim HJ, Seong J. Clinical feasibility and efficacy of stereotactic body radiotherapy for hepatocellular carcinoma: A systematic review and meta-analysis of observational studies. *Radiother Oncol* 2019;131:135-144.
5. Poulsen PR, Worm ES, Petersen JB, et al. Kilovoltage intrafraction motion monitoring and target dose reconstruction for stereotactic volumetric modulated arc therapy of tumors in the liver. *Radiother Oncol* 2014;111:424-430.
6. Worm ES, Hoyer M, Hansen R, et al. A prospective cohort study of gated stereotactic liver radiation therapy using continuous internal electromagnetic motion monitoring. *Int J Radiat Oncol Biol Phys* 2018;101:366-375.
7. Nankali S, Worm ES, Hansen R, et al. Geometric and dosimetric comparison of four intrafraction motion adaptation strategies for stereotactic liver radiotherapy. *Phys Med Biol* 2018;63:145010.
8. Bibault J-E, Dewas S, Vautravers-Dewas C, et al. Stereotactic body radiation therapy for hepatocellular carcinoma: prognostic factors of local control, overall survival, and toxicity. *PLOS One* 2013;8:e77472e.
9. Depuydt T, Poels K, Verellen D, et al. Treating patients with real-time tumor tracking using the Vero gimbaled linac system: Implementation and first review. *Radiother Oncol* 2014;112:343-351.

- 1
2
3
4
5 10. Keall PJ, Colvill E, O'Brien R, et al. The first clinical implementation of electromagnetic transponder-guided
6
7 MLC tracking. *Med Phys* 2014;41:020702.
- 8
9
10 11. Booth JT, Caillet V, Hardcastle N, et al. The first patient treatment of electromagnetic-guided real time
11
12 adaptive radiotherapy using MLC tracking for lung SABR. *Radiother Oncol* 2016;121:19-25.
- 13
14 12. Keall PJ, Nguyen DT, O'Brien R, et al. The first clinical implementation of real-time image-guided adaptive
15
16 radiotherapy using a standard linear accelerator. *Radiother Oncol* 2018;127:6-11.
- 17
18 13. Hoyer M, Roed H, Hansen AT, et al. Phase II study on stereotactic body radiotherapy of colorectal
19
20 metastases. *Acta Oncol* 2006;45:823-830.
- 21
22
23 14. Poulsen PR, Cho B, Keall PJ. A method to estimate mean position, motion magnitude, motion correlation,
24
25 and trajectory of a tumor from cone-beam CT projections for image-guided radiotherapy. *Int J Radiat*
26
27 *Oncol Biol Phys* 2008;72:1587-96.
- 28
29
30 15. Toftegaard J, Hansen R, Ravkilde T, et al. An experimentally validated couch and mlc tracking simulator
31
32 used to investigate hybrid couch-MLC tracking. *Med Phys* 2017;44:798-809.
- 33
34 16. Fledelius W, Keall PJ, Cho B, et al. Tracking latency in image-based dynamic MLC tracking with direct
35
36 image access. *Acta Oncol* 2011;50:952-959.
- 37
38
39 17. Poulsen PR, Fledelius W, Cho B, et al. Image-based dynamic multileaf collimator tracking of moving targets
40
41 during intensity-modulated arc therapy. *Int J Radiat Oncol Biol Phys* 2012;83:e265-71.
- 42
43
44 18. Hansen R, Ravkilde T, Worm ES, et al. Electromagnetic guided couch and multileaf collimator tracking on a
45
46 TrueBeam accelerator. *Med Phys* 2016: 43; 2387-2398.
- 47
48 19. Ruan D. Kernel density estimation-based real-time prediction for respiratory motion. *Phys Med Biol*.
49
50 2010;55:1311–1326.
- 51
52 20. Keall PJ, Aun Ng J, O'Brien R, et al. The first clinical treatment with kilovoltage intrafraction monitoring
53
54 (KIM): A real-time image guidance method. *Med Phys* 2015;42:354-8.
- 55
56
57
58
59
60
61
62
63
64
65

- 1
2
3
4
5
6 21. Nguyen DT, O'Brien R, Kim JH, et al. The first clinical implementation of a real-time six degree of freedom
7 target tracking system during radiation therapy based on kilovoltage intrafraction monitoring (KIM).
8 *Radiother Oncol* 2017;123:37-42.
9
10
11 22. Poulsen PR, Schmidt ML, Keall P, et al. A method of dose reconstruction for moving targets compatible
12 with dynamic treatments. *Med Phys* 2012;39:6237-46.
13
14
15 29. Ravkilde T, Skouboe S, Hansen R, et al. First online real-time evaluation of motion-induced 4D dose errors
16 during radiotherapy delivery. *Med Phys* 2018;45:3893-3903.
17
18
19 24. Worm ES, Hoyer M, Fledelius W, et al. Variations in magnitude and directionality of respiratory target
20 motion throughout full treatment courses of stereotactic body radiotherapy for tumors in the liver. *Acta*
21 *Oncol* 2013;52:1437-44.
22
23
24 25. Murtaza G, Toftegaard J, Khan EU, et al. Volumetric modulated arc therapy with dynamic collimator
25 rotation for improved multileaf collimator tracking of the prostate. *Radiother Oncol* 2017;122:109-115.
26
27
28 26. Falk M, Larsson T, Keall P, et al. The dosimetric impact of inversely optimized arc radiotherapy plan
29 modulation for real-time dynamic mlc tracking delivery. *Med Phys* 2012;39:1588-94.
30
31
32 27. Fast MF, Nill S, Bedford JL, et al. Dynamic tumor tracking using the elekta agility MLC. *Med Phys*
33 2014;41:111719.
34
35
36 28. Ehrbar S, Schmid S, Johl A, et al. Comparison of multi-leaf collimator tracking and treatment-couch tracking
37 during stereotactic body radiation therapy of prostate cancer. *Radiother Oncol* 2017;125:445-452.
38
39
40 29. Colvill E, Poulsen PR, Booth JT, et al. DMLC tracking and gating can improve dose coverage for prostate
41 VMAT. *Med Phys* 2014;41:091705.
42
43
44 30. Kamerling CP, Fast MF, Ziegenhein P, et al. Real-time 4D dose reconstruction for tracked dynamic MLC
45 deliveries for lung SBRT. *Med Phys* 2016;43:6072.
46
47
48 31. Skouboe S, Ravkilde T, Bertholet J, et al. First clinical real-time motion-including tumor dose reconstruction
49 during radiotherapy delivery. *Radiother Oncol* 2019;139:66-71
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65